

BUILDING ON EXISTING RELEVANT WORK ON RESEARCH AGENDAS AND KNOWLEDGE GAP ANALYSIS, IDENTIFYING INTERDISCIPLINARY RESEARCH AND ACTION PRIORITIES, THAT CONTRIBUTE TO A STRATEGIC RESEARCH AGENDA ON BIODIVERSITY AND PANDEMICS ADDRESSING THE CRITICAL INTERLINKAGES BETWEEN RELEVANT SECTORS NEEDED TO MAKE FUTURE ACTIONS MORE EFFECTIVE.

# DRAFT REPORT

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European Commission's Directorate-General for Research & Innovation (EC-DG RTD)

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June 2023 Eklipse Expert Working Group

# A draft report of the Eklipse Expert Working Group on Biodiversity and Pandemics

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# GLOSSARY

Term	Definitions	Key References
Agrobiodiversity	The variability of animals, plants and microorganisms that are necessary for sustaining key functions of the agro- ecosystem, including its structure and processes for, and in support of, food production and food security. is the result of natural selection processes and the careful selection and inventive developments of farmers, herders and fishers over millennia.	FAO https://www.fao.org/ 3/y5609e/y5609e01. htm
Agro-ecosystem	a cultivated ecosystem, generally corresponding to the spatial unit of a farm and whose ecosystem functions are valued by humans in the form of agricultural goods and services.	Agroecology Dictionary <u>https://dicoagroecol</u> <u>ogie.fr</u>
Biodiversity	The variability among living organisms from all sources including, inter alia, terrestrial, marine and other aquatic ecosystems and the ecological complexes of which they are part; this includes diversity within species, between species and of ecosystems.	CBD <u>https://sustainablede</u> <u>velopment.un.org/in</u> <u>dex.php?page=view&amp;</u> <u>type=30022&amp;nr=135</u> <u>7&amp;menu=3170</u>
Ecosystem	Geographic area where plants, animals, and other organisms, as well as weather and landscape, interact to form a distinct life space.	Vocational Geographic Society <u>https://education.nat</u> <u>ionalgeographic.org/r</u> <u>esource/ecosystem/</u>
Epidemic	A widespread occurrence of an infectious disease in a community at a particular time.	Oxford Dictionary

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Evidence	A fact more or less probable which is communicated clearly.	Macmillan Dictionary
Evidence approach	Contributes towards better understanding of the problem, the various factors that may lead to successful delivery.	FAO https://www.fao.org/ sustainability/en/
Evidence-based	refers to any concept or strategy that is derived from or informed by objective evidence—most commonly, educational research or metrics of school, teacher, and student performance.	<u>https://www.edgloss</u> ary.org/evidence- <u>based/</u>
Evidence-based research	is the use of prior research in a systematic and transparent way to inform a new study so that it is answering questions that matter in a valid, efficient, and accessible manner	NIH https://pubmed.ncbi. nlm.nih.gov/3297949 1/#:~:text=Evidence %2Dbased%20resear ch%20is%20the,%2C %20efficient%2C%20 and%20accessible%2 0manner. DOI: 10.1016/j.jclinep j.2020.07.020
Evidence-informed policymaking	Using the best available evidence to help make policy decisions. It is characterised by the systematic and transparent access to, and appraisal of, evidence as one input into the policymaking process.	FAO
Innovativeness	The extent to which the case study presents an element of change in the approach taken.	Collins dictionary
Knowledge Gap	Unavailability of evidence-based or non-	Collins dictionary

	anecdotal knowledge necessary to answer a specific question, leading to the need for further investigation, evidence synthesis, and knowledge exchange.	
One Health	An integrated, unifying approach that aims to sustainably balance and optimize the health of people, animals, and the environment. It recognizes the health of humans, domestic and wild animals, plants, and the wider environment (including ecosystems) are closely linked and inter-dependent. The approach mobilizes multiple sectors, disciplines and communities at varying levels of society to work together to foster well- being and tackle threats to health and ecosystems, while addressing the collective need for clean water, energy and air, safe and nutritious food, taking action on climate change, and contributing to sustainable development This definition includes five key underlying principles, and can be found in full at https://doi.org/10.1371/journal.ppat.1010537	OHHLEP (endorsed by WHO/WOAH/FAO/U NEP)
Pandemic	Rapid spread of an infectious disease across multiple continents; an epidemic occurring worldwide or over a very wide area, crossing international boundaries and usually affecting a large number of individuals.	WHO
Preparedness	The knowledge and capacities developed by governments, response and recovery organizations, communities and individuals to effectively anticipate, respond to and recover from the impacts of likely, imminent or current disasters.	UNDRR
Prevention of spillover	Refers to preventing the critical first step, i.e. preventing a pathogen from transferring from animals to humans.	OHHLEP

P		
Risk	A situation involving exposure to danger.	Oxford Dictionary
Scoping review	A preliminary assessment of the potential size and scope of available research literature. It aims to identify the nature and extent of research evidence.	<u>Grant and Booth,</u> <u>2009</u>
Spillover	Transmission of a pathogen from a reservoir population to a novel population	Power and Mitchell 2004
Theory of Change	A thinking and action approach to navigate in the complexity of social change processes.	World Bank
Triangulation	Use of multiple theories, data sources, Triangulation methods or investigators within the study of a single phenomenon	
Zoonotic spillover	Transmission of a pathogen from an animal to a human being	WHO
Zoonosis	onosis An infectious disease that is transmitted from animals to humans.	

NB: A glossary is an alphabetized list of words, accompanied by definitions. It helps readers understand specialized terminology they might not be familiar with.

# **ABBREVIATIONS**

CAP: Common Agricultural Policy **CBD:** Convention on Biological Diversity EC: European Commission EC - DG AGRI: European Commission's Directorate-General for Agriculture and Rural Development EC – DG ENV: European Commission's Directorate-General for Environment EC – DG HERA: European Commission's Directorate-General for Health Emergency preparedness and **Response Authority** EC- DG RTD: European Commission's Directorate-General for Research and Innovation EWG: Eklipse Expert Working Group FAO: Food and Agriculture Organization of the United Nations MEG: Eklipse Method Expert Group **NVI: Norwegian Veterinary Institute OHHLEP: One Health High Level Expert Panel** PREZODE: Preventing ZOonotic Disease Emergence Project HERA: Health Environment Research Agenda for Europe UNEP: United Nations Environment Programme. UNESCO: United Nations Educational, Scientific and Cultural Organization UNDRR: United Nations office for Disaster Risk Reduction WHO: World Health Organization WOAH: World Organisation for Animal Health

# **1. EXECUTIVE SUMMARY**

The Expert Working Group (EWG) established by Eklipse in June 2022 worked for a year and undertook a number of different studies aimed at synthesising the current state of knowledge on biodiversity and pandemics. The group consisted of scientists with relevant expertise in the natural, biomedical and social sciences.

The EWG focused on identifying and prioritising research gaps, while also collecting evidence on, and validating policy recommendations for, the interface of biodiversity and pandemics.

The main finding is the need for a transdisciplinary scientific approach at the interface of human/anthropogenic and natural/wild environments, combining on equal terms the social and natural science methods and insights. While the broad knowledge of ecology and other relevant natural science disciplines is crucial, a comprehensive study and understanding of human-biodiversity interfaces and their drivers is not possible without social sciences, e.g. anthropology, sociology, political science, economics, history, archaeology, playing a major and autonomous role.

#### Transdisciplinary science at the wild animal / domestic animal / human interfaces

The relationship between biodiversity and pandemics is complex. It is an issue of global concern, but it is defined by small-scale events crafted within local contexts – yet still influenced by global as well as local drivers. The greatest challenge is to understand the causes of pathogen spillover events from wildlife hosts - part of biodiversity and also the source of the diversity of pathogens - and other species of interest, such as humans or domesticated animals within given ecosystems. These spillover events occur at the wild animal / domestic animal / human (W/D/H) interfaces. The socio-ecology of pathogen transmission at the W/D/H interfaces is therefore an important field of investigation lying at the meeting point between several scientific domains spanning natural, biomedical and social sciences. There is, therefore, a need for a greater understanding of the W/D/H interfaces in order to be better prepared to prevent spillover events or to detect the first signs of their occurrence. Studying the W/D/H interfaces is needed not only across space but also longitudinally through time. There is a need to understand: i) how host and non-host populations (and their interactions) adapt to changing W/D/H interfaces; ii) the consequences these changes have on pathogen transmission dynamics and other aspects of epidemiology; iii) the risk of spillover at these interfaces; iv) how to assess the pandemic potential of a given spillover event; and finally v) to study processes at multiple spatial scales simultaneously to understand emerging threats and properties when translating from one scale to another.

#### The role of the social sciences and local communities

The full breadth of the social sciences – anthropology, sociology, political science, economics, history, and archaeology – are needed not only to successfully communicate with local communities living at the

W/D/H interface, but also to understand the mechanisms of past, present and future disease emergence. Crucial contexts for such understanding are, among others: colonialism, political ecology, market dynamics, and extractive economies. To formulate truly transformative pandemic-prevention and preparedness policies, the research and policy communities need to understand and consider the broader social context in which these interfaces are created and in which they operate. This will allow addressing not just the results but also the causes of spillovers and crises. This means more recognition of indigenous knowledge systems that have captured largely untapped knowledge on the relationship between biodiversity and health through centuries of living amongst/with biodiversity in particular local contexts.

Moreover, the EWG recommends problem-led approaches to the science of the W/D/H interface, developed by both natural and social scientists. Currently, research projects on biodiversity and pandemics are led and dominated by natural scientists. Involving social scientists later on in the design or the research process does not guarantee that the insights from the social sciences are properly incorporated. Hence, we recommend that joint natural and the social science funding calls are announced in the field of biodiversity and pandemics.

Beyond the interdisciplinarity required, project engineering should ensure transdisciplinarity to integrate indigenous knowledge systems in the design, framing, implementation and monitoring of research, ensuring that the research objectives and outcomes are understood, accepted and shared by all stakeholders.

Last but not least, projects with a life-span of 3 or 4 years cannot achieve significant objectives in this domain. In addition to it taking some time for multidisciplinary groups to develop effective working relationships, the questions that need to be addressed are complex and intransigent. Meaningfully addressing the research gaps required to understand the biodiversity and pandemics relationship and to mitigate future disease threats requires long-term, well-funded, interdisciplinary, and transdisciplinary, approaches, potentially including monitoring, implemented step by step (e.g. 5 + 5 years or 10 + 10 years).

#### Policy recommendations: from incremental to transformative

There exist two non-exclusive and complementary modes of policy interventions in the field of biodiversity and pandemics. The first one is to be reactive relative to the increasing and changing W/D/H interfaces. This incremental pathway requires policies "as usual" to try to manage and mitigate the risks of spillover at the W/D/H interfaces.

The other mode of action relates to the need for systems transformation, targeting the drivers of the W/D/H interface creation, expansion and change. This is a far more complex and wicked path, but it is proactive against the pandemic risk. Both modes of action are probably necessary, but the balance should shift increasingly towards transformative policies that improve resilience against pandemic

emergence – rather than getting stuck with incremental pathways that only manage or partially mitigate the risks.

In other words, one can always try to predict pathogen spillover at the W/D/H interfaces and this is necessary. However, without trying to mitigate the infringing of humans and their economy on the natural habitats (i.e., host biodiversity), and without transforming food systems so that they do not act as zoonotic routes and amplification chambers for "wild" pathogens, the risks of pandemics will always increase and their occurrence will remain largely unpredictable.

In order to achieve these goals, and given that pandemics start with local spillover events at the W/D/H interface, community-based knowledge systems should be better recognised in policies with regards to the relationship between biodiversity and pandemics. These policies should make sure that they do not further compromise social and environmental justice by choosing the benefit of global health security without consideration for the interests and needs of local actors.

# **2. INTRODUCTION**

## 2.1 BACKGROUND

Biodiversity, the variety of life on Earth, plays a crucial role in delivering essential ecosystem services and regulating ecological functions. These services and functions are pivotal in supporting human societies and food systems, as well as maintaining life support systems that contribute to the health and well-being of our planet. However, human activities such as deforestation, land use change mainly for agricultural production, urban development and resource exploitation are causing a rapid loss of biodiversity and increasing the risk of pandemics. This loss of biodiversity has been linked to zoonotic disease emergence and the occurrence of pandemics. A study by the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES) found that "zoonotic diseases - diseases that are transmitted from animals to humans - represent a growing threat to global health security and are responsible for a significant proportion of emerging infectious diseases in humans" (IPBES, 2020). The COVID-19 pandemic, caused by the SARS-CoV-2 virus, has highlighted the link between biodiversity loss and infectious diseases. Several studies have shown that the destruction of natural habitats and the loss of biodiversity can lead to the emergence and spread of zoonotic diseases (e.g., Keesing et al. 2010, Gibb et al. 2020).

The COVID-19 crisis has revealed how fragile and vulnerable our societies are to pandemics and how challenging it is to enact informed political and policy responses when faced with such an emergency. As a global community, we were not prepared for a novel zoonotic pandemic despite scientific predictions (e.g. Cunningham 2005) that this would occur consequent to the current unprecedented rates of land degradation and conversion, consumption of natural resources, increasing livestock production, and acceleration of biodiversity loss.

The pandemic has revealed a broad range of science-policy challenges and knowledge gaps related to biodiversity and pandemics. Addressing these could reduce the risk of future pandemics while also better preparing us for the next crisis that emerges. Current knowledge gaps range from the role of wildlife trade and consumption in the emergence of zoonotic diseases (Kock and Caceres-Escobar 2022), to the knock-on effects of climate change on biodiversity (Parmesan et al. 2019) and subsequent disease emergence, and the role of microbial communities in ecosystem functioning and resilience (Delgado Baquerizo et al. 2021). While research has been conducted on each of these topics, there is an urgent need for further investigations to determine their scope and driving mechanisms, as well as to determine how to manage and mitigate the risk these factors pose.

In terms of biodiversity, a pressing knowledge gap is the impact of climate change on species distribution and adaptation. Research has shown that climate change is driving significant changes in the geographic distribution of many species, which can have cascading effects on ecosystems and human societies (Parmesan et al., 2019). However, more research is needed to understand the mechanisms by which species are responding to changing environmental conditions and how to mitigate the negative impacts of these changes.

Another knowledge gap in biodiversity research is understanding the role of microbial communities in ecosystem functioning and resilience. Microbes play crucial roles in nutrient cycling, soil formation, and ecosystem stability, but we have only just begun to scratch the surface of understanding the diversity and function of these communities (Delgado-Baquerizo et al., 2021). More research is needed to fully understand the role of microbes in ecosystem processes and how to manage microbial communities to promote ecosystem health.

In the context of biodiversity and pandemics, knowledge gaps include understanding the role of wildlife trade and consumption in the emergence of zoonotic diseases. The COVID-19 pandemic has highlighted the need to address the risks associated with wildlife trade and consumption, but more research is needed to fully understand the scope of the problem and how to mitigate the risks (Kock and Caceres-Escobar 2022). The growth of commercial wildlife farming is of particular concern in this regard (Green et al. 2023).

In addition to filling knowledge gaps, policy recommendations are important for addressing biodiversity and pandemics because they can help to protect and conserve ecosystems, as well as prevent the emergence and spread of diseases. Biodiversity loss has been linked to an increased risk of zoonotic disease transmission (Keesing et al. 2010, Gibb et al. 2020), which can lead to pandemics. Therefore, policies that focus on conservation and sustainable use of biodiversity can help to reduce the likelihood of zoonotic disease spillover and transmission. Similarly, strongly worded policies with effective enforcement mechanisms behind them that address the factors that contribute to the emergence and spread of pandemics, such as deforestation, habitat destruction, and the wildlife trade, can help to prevent future pandemics. By implementing policies that address these root causes, governments can reduce the risk of future zoonotic disease outbreaks arising from wildlife.

Within this context, the request Biodiversity and Pandemics aims to enhance our comprehension and utilization of pandemic science to optimise coordination and coherence across policy sectors, building better resilience and response strategies (proactive and reactive approaches) in the context of the interface between Biodiversity and Pandemics. Eklipse was granted additional funding by the European Commission, under the H2020 Green Deal Call, as part of the EU response to the COVID-19 pandemic in order to answer policy-relevant needs for evidence related to Biodiversity and Pandemics.

### 2.2 PREPARATORY WORK UNDERTAKEN BY EKLIPSE PRIOR TO THE EXPERT WORKING GROUP

An online cross-sectoral workshop was co-organised in May 2021 by <u>Eklipse</u> and the <u>European Commission - Knowledge Centre for Biodiversity (EC-KCBD)</u> to explore these needs and to identify highly policy-relevant topics. The workshop brought representatives from a range of European Commission services together with experienced scientists to identify challenges and evidence needs related to the links between Biodiversity and Human Health, including zoonotic and other infectious diseases. During the workshop, seven policy-relevant knowledge needs (hereafter referred to as "Requests") were identified, and the one that was ranked highest was "Developing a strategic research agenda on Biodiversity and Pandemics, jointly with all relevant agencies and aligned with relevant sectoral policy agendas".

An Eklipse Scoping Group proceeded to a literature screening and a Call for Knowledge to gather relevant knowledge and searched for existing or planned initiatives. An online Focus Group was also organised to narrow down the request to be processed by an independent and interdisciplinary Eklipse Expert Working Group (EWG) and to ensure the selected request will meet all Eklipse criteria to start the answering process. This focus group led to the creation of a cross-sectoral consortium of requesters working with the European Commission's Directorate-General for Research and Innovation (EC-DG RTD), co-developing the knowledge needs and expecting a knowledge synthesis. This consortium will follow up the Eklipse process and ensure that the produced evidence will be jointly and timely taken up by policy. A framing exercise led to a provisional formulation of the request: "make sense/some analysis of the existing research agendas/knowledge gap analyses to extract the priorities in the view of interlinkages (between sectors)."

## 2.3 A CROSS-SECTORAL CONSORTIUM OF REQUESTERS

During the focus group mentioned above, one of the key objectives of the scoping phase was achieved through the creation of a cross-sectoral consortium of requesters working with EC-DG RTD to act as key points of contact to further co-develop the knowledge needs and follow the knowledge synthesis process (see Table 1 below).

Table 1. Consortium of Requesters

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Requesters	Description
DG Research and Innovation (EC-DG RTD)	Responsible for EU research agenda.
DG Environment (EC – DG ENV)	Responsible for EU policy on the environment.
DG Health Emergency preparedness and Response Authority (EC – DG HERA)	Responsible for preventing, detecting, and rapidly responding to health emergencies by anticipating threats and potential health crises through intelligence gathering and building the necessary response capacities.
DG Agriculture and Rural Development (EC - DG AGRI)	Responsible for EU policy and research on agriculture and rural development and deals with all aspects of the common agricultural policy (CAP).
Project HERA (Health Environment Research	EU funded project that involves 15 European countries, an international organisation and a European NGO, thus 24 partners
Agenda for Europe)	in total who are working hard to prepare the Health and Environment Research Agenda 2020-2030. The aim was to set the priorities for an environment, climate and health research agenda in the EU.
Norwegian Veterinary Institute (NVI)	Norwegian national biomedical institute delivers research-based knowledge and contingency support in animal health, fish health, and food safety.
PREZODE	International initiative with the ambition to understand the risks of the emergence of zoonotic infectious diseases and develop and implement innovative methods to improve prevention, early detection, and resilience to ensure rapid response to the risks of emerging infectious diseases of animal origin.
One Health High-Level Expert Panel (OHHLEP)	An initiative supported by the heads of FAO, WOAH, UNEP and WHO, and the governments of France and Germany, to further enhance the cross-sectoral collaboration, enhance strategic

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Requesters	Description	
	orientations and coordination and provide high political visibility	
	on the subject of One Health	

#### 2.4 FINAL FORMULATION OF THE REQUEST

As a final step, the request was reformulated by the Eklipse scoping group, and the following final reformulation was agreed upon by the consortium of requesters:

"Building on existing relevant work on research agendas and knowledge gap analysis, identify interdisciplinary research and action priorities that contribute to a strategic research agenda on Biodiversity and Pandemics addressing the critical interlinkages between relevant sectors needed to make future actions more effective."

And it was also agreed that the request process would include:

- Mapping of existing research agendas and knowledge gap analysis
- Filtering or analysing research recommendations related to Biodiversity and Pandemics
- Prioritising the identified research recommendations based on their potential for maximising the impact on policies for relevant sectors.

#### 2.5 THE EXPERT WORKING GROUP ON BIODIVERSITY AND PANDEMICS

To answer these primary questions, the Expert Working Group (EWG) on Biodiversity and Pandemics request was established. The group has been meeting remotely every week since 21.06.2021. It first received an introduction to the Eklipse call, a presentation on the request and the needs of the requester. The initial stages undertaken by Eklipse were also presented in a Document of Work and a summary of the recommended methods prepared by the Methods Expert Group (MEG). The EWG then selected four co-chairs to lead the subsequent meetings. After several discussions with the MEG, the EWG agreed on the research objectives and methods to be used.

More information can be found on the <u>Document of Work</u> of the request Biodiversity and Pandemics.

#### 2.6 OBJECTIVES OF THE REQUEST

To answer the request following workshops with the requesters, the EWG and Eklipse team agreed on three main objectives;

1. to rapidly **review and summarise the current state of evidence and knowledge** as reflected in peerreviewed articles, reports from organisational websites and grey literature on the topic of Biodiversity and Pandemics via a scoping review.

2. to **synthesise knowledge on the ongoing research initiatives, with a focus on funding programmes**, on the relationship between Biodiversity and Pandemics based on data collected by the Eklipse Scoping Group.

3. to validate and extend results collected in the first objective with a large number of external experts working on the topic of Biodiversity and Pandemics and to prioritise research recommendations related to identified knowledge gaps via an online survey, targeted expert consultation, and a focus-group discussion.

# **3. METHODOLOGICAL FRAMEWORK**

This section describes the methodology undertaken by the Eklipse Expert Working Group in a two-step approach. In the first step – the methodological framework – we describe the methods in general, in relation to the objectives and each other. The second section will describe the methods in more detail.

To achieve the objectives formulated above, the following three approaches were proposed (hereafter referred to as methods; see Figure 1 below for details):

- 1. Literature-based method: scoping review to summarise the current state of evidence and outline the key knowledge gaps and address objective 1.
- 2. An **Initiative scoping** to identify current research funding programmes relevant to "Biodiversity and Pandemics" and address objective 2.
- 3. People-based methods (online survey-based expert consultation, optional targeted interviews, and focus groups) to consolidate and validate results on knowledge gaps obtained from methods 1 & 2 and to prioritise the knowledge gaps and research recommendations identified by the group, thus addressing objective 3.

These methods were conducted in parallel, with an effective delayed start of the third method, in order to consider the results of the scoping review when formulating the questions in the online questionnaire (first of the two methods used for the objective 3). The use of the three approaches helps provide a more comprehensive answer to the request than a single method.

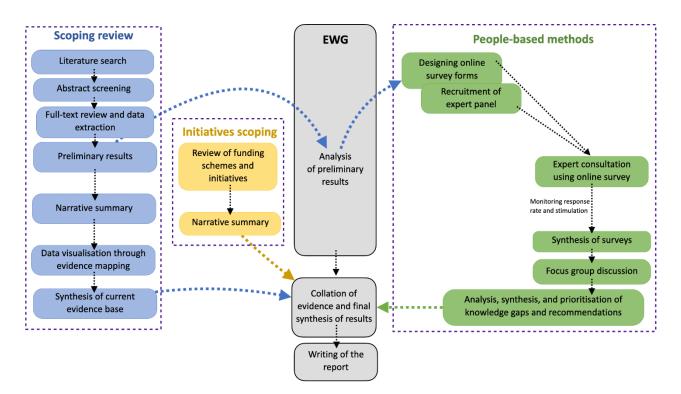


Figure 1. Graphical representation of the methodological framework

## 3.1 LITERATURE-BASED METHOD: SCOPING REVIEW

The scoping review aimed to provide an informed conclusion of the quantity and quality of research evidence relevant to recommendations on positive and negative impacts that biodiversity can have on the risk of pandemics using a structured and robust scoping review, together with a summary of what that evidence indicates.

This method was conducted as follows: The first phase was a structured search of the peer-reviewed articles, reports from organisational websites and grey literature to summarise the current state of knowledge and to identify potential contrasting evidence which might indicate knowledge gaps and the need for further investigation (see the details below). We chose to conduct the literature search across a broad scope exploring the impact of biodiversity on disease outbreaks and spillovers and also the effect of such outbreaks on biodiversity. The second phase consisted of a synthesis of the selected evidence and summarising the existing state of knowledge and gaps in evidence to contribute to the questionnaire and, more crucially, the design and focus of the survey and focus groups for the People-based methods. Finally, we visualised the results of the scoping review using evidence mapping methods to report the knowledge gaps and areas in need of further investigation.

The following methods protocol for the scoping review followed the Reporting Standards for Systematic Evidence Synthesis (ROSES) protocol (Haddaway et al., 2018).

## 3.1.1 Description of the method

#### **Research question**

We defined the key components of the research question based on the PerSPEcTiF framework (Booth et al., 2019) for systematic evidence synthesis (see Table 2 below).

Perspective	Setting	Phenomenon of interest	Environment	Timing	Findings
International articles and reports relevant to biodiversity and infectious diseases	Global	Impact of biodiversity on disease outbreaks and pandemics, and the effect of pandemics on biodiversity.	Terrestrial, freshwater and marine ecosystems	From, and including, 2000	Current state of evidence and knowledge gaps in the area of Biodiversity and Pandemics

Table 2. Components of the research question based on the PerSPEcTiF framework (Booth et al., 2019)

#### 3.1.2 Search strategy

#### Keyword search and text mining

We conducted text mining from abstracts of articles included in the previous literature search conducted by Eklipse on the subject 'Biodiversity and Pandemics' using the litsearchr package (Grames et al., 2019) in R version 4.2.1. The use of a quasi-automated literature search method reduced the time to conduct the search and ensured the transparency and reproducibility of the search by using text-mining and keyword co-occurrence networks to identify important search terms. We conducted a keyword search across bibliographic databases using the keywords listed in Annex 1 to ensure the completeness of the search. The keywords were defined in an iterative process to reflect the broad scope of this scoping review.

#### Supplementary searches

We conducted supplementary searches by citation chasing to ensure the completeness of the search using citation chaser (<u>https://estech.shinyapps.io/citationchaser/</u>).

#### **Bibliographic databases**

We searched across the following three electronic databases: Web of Science (<a href="https://clarivate.com/">https://clarivate.com/</a>),Scopus(<a href="https://www.scopus.com/search/form.uri?display=basic#basic">https://clarivate.com/</a>),AndEbsco(<a href="https://www.ebsco.com/find-my-organization">https://www.ebsco.com/find-my-organization</a>).

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#### Organisational websites

We carried out searches on international and national organisational websites relevant to biodiversity, outbreak preparedness, and OneHealth. The list of websites is inclusive but not restricted to the following:

- WOAH (<u>https://www.woah.org/en/home/</u>)
- WHO (<u>https://www.who.int/</u>)
- EU Law Regulations, Directives, and other acts (<u>https://eur-lex.europa.eu</u>)
- IUCN (<u>https://www.iucn.org/</u>)
- FAO (<u>https://www.fao.org/home/en/</u>)
- Ecohealth Alliance (<u>https://www.ecohealthalliance.org</u>)
- UNEP (<u>https://www.unep.org/</u>)

#### Grey literature searches

We used reports from international or intergovernmental organisations that address the intersections of pandemics, biodiversity and key issues such as climate change, trade policy and the relationship between nature and human societies. After discussions within the group, we specifically opted for reports from the period of 2020-2022 to ensure the information was up to date on a global scale regarding pandemics. For feasibility, we limited our choice to 20 reports.

At the European level, we included the EU Research Agenda for the Environment, Climate & Health 2021-2030 and the EU Biodiversity Strategy for 2030 as it directly concerns the request "Building on existing relevant work on research agendas and knowledge gap analysis, identifying interdisciplinary research and action priorities, that contribute to a strategic research agenda on biodiversity and pandemics".

We excluded reports from non-governmental organisations or those that focussed exclusively on the One Health approach, but we referred to the One Health Theory of Change from the OHHLEP as it aims at strengthening the scientific evidence base, fostering knowledge exchange in assessing the status of biodiversity and its relevance to health; reviewing traditional/indigenous forms of knowledge and inputs of marginalised groups and ensuring inclusive approaches or assessing spillover drivers and identify relevant risk reduction options.

#### Search language

We included all search languages including those in Table 3 determined by a preliminary keyword search on Web of Science. The articles in languages that were not within the expertise of the EWG were translated using DeepL Pro (<u>https://www.deepl.com/translator</u>).

**Table 3.** Languages included in the bibliographic search based on the preliminary keyword search.

English	French	German	Portuguese	Spanish
Polish	Dutch	Turkish	Arabic	Mandarin

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#### Estimating the comprehensiveness of the search

- Search not limited to the English language
- More than two bibliography electronic databases searched
- Reports from organisations relevant to biodiversity, pandemic prevention and One Health/Ecohealth included in the search.
- Forward citation chasing the selected literature to ensure the comprehensiveness of the search.

#### Search record database

After the searches were complete, we exported all references into Zotero version 6.0.16, a citation manager. We used the R package "Revtools" version 0.4.1. for duplicate removal.

## 3.1.3 Article screening

#### Screening strategy

We used a single-stage article screening strategy due to time constraints involving two members, CL and SJ of the EWG. We used the online software, Rayyan.ai (https://rayyan.ai/) to simultaneously screen and review the articles obtained from the bibliographic search. To ensure consistency during the screening process, we conducted a pilot test where the two members independently screened a randomly selected set of 20 articles. This test aimed to establish the eligibility criteria and evaluate the effectiveness of the screening tool. If the rate of disagreement exceeded 10%, the disagreements were carefully reviewed, and adjustments were made to the eligibility criteria as needed. Once the screening decisions agreed, the included articles, with their full texts, were assigned to the members of the EWG for data extraction.

#### Consistency checking

To ensure consistency in the selection of articles, we limited the screening process to two members of the EWG. In cases where conflicts arose during the screening, the two members simultaneously reviewed the full texts of the conflicted articles to reach an agreement on the final decision.

#### Inclusion criteria

Studies discussing the following were included for data extraction:

- Impact of biodiversity on disease outbreaks, zoonotic spillovers, and cross-species pathogen transmission.
- · Current policy on disease emergence related to biodiversity.
- Impact of pandemics and outbreaks on biodiversity.
- Relationship between agro-biodiversity or agricultural biodiversity and disease transmission.
- Effects of wildlife trade and bushmeat exploitation on disease outbreaks and transmission.

- · Consequences of anthropogenic modifications to the environment on biodiversity and disease.
- Impact of deforestation and climate change on biodiversity and its consequence on human infectious diseases.
- Monitoring and surveillance of pathogen transmission and spillover for pandemic preparedness.

#### **Exclusion criteria**

- Books, book chapters.
- Studies on diseases specific to non-mammalian taxa which have no transmission potential to humans.
- Plant infectious diseases which have no transmission potential to humans.
- Experimental and *in vitro* studies which were unrelated to biodiversity.
- Marine studies on diseases which have no transmission potential to humans.
- · Clinical trials unrelated to biodiversity.
- Pharmaceutical and therapeutic studies including ethnopharmacological studies.

#### 3.1.4 Data extraction

The data was extracted using a predefined template tool onto the collaborative online platform, Google Sheets. The data extraction tool consisted of a pre-filled metadata section, a data extraction section with dropdown menus for the attribute data, and an evaluation section for assessing the quality of evidence (see Table 4 below). Prior to the data extraction process, the EWG members were presented with a demonstration of the data extraction protocol and the usage of the data collection tool during a weekly meeting. The EWG members conducted a full-text review of the included literature to extract the study attribute data. Each member of the EWG was responsible for reviewing a range of 10 to 30 articles.

Section	Attribute	Explanation
Pre-filled metadata	Article source	Web of Science/ Scopus/ Ebsco
	Type of Publication	Review/ Original article/ Comment/ Letter
	Publication details	title, authors, publication year, DOI
	Language of publication	ENG/ CHI/ FRE/ ESP
Data extraction	Geographical location	Location or study area of the research
	Scale of the study	Global/ Continental/ Multi-regional/ National/ Regional/ Local
	Theme	Biodiversity/ Wildlife trade/ Climate change/ AMR
_	Ecosystem	Terrestrial/ Freshwater/ Marine
	Pathogen group	Virus/ Bacteria/Protozoan

Table 4. Data extraction form.

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	Disease by transmission type If recommendations were	Zoonoses/ Vector-borne/ Generalist Yes/ No/ NA
-	proposed Research type	Hypothesis or theoretical/ Experimental/ Field study/ Descriptive
	Knowledge areas	Model/ Theory/ Framework or protocol/ Lessons learnt
	Level of biodiversity	Genetic/ Species/ Ecosystem/ NA
-	Impact on biodiversity and disease outbreaks	"Extracted word by word from the article"
-	Limitations and challenges	"Extracted word by word from the article"
-	Knowledge gaps and future research	"Extracted word by word from the article"
-	Recommendations and proposed solutions	"Extracted word by word from the article"
Validation	Quality of evidence	High/ Medium/ Low
	Reviewer confidence	High/ Medium/ Low

## 3.1.5 Data synthesis

We synthesised the extracted data by different themes to derive policy recommendations and to identify knowledge gaps. First, we analysed the term frequency using text mining in R "tm" version 0.7-11. The policy recommendations were then categorised and ranked based on term frequency, and the corresponding recommendation was synthesised from the extracted data. The same process was followed for the knowledge gaps resulting in a list of policy recommendations and research gaps, which was used for the development of survey questions in the people-based methods.

# 3.1.6 Data visualisation

We developed an evidence map using EviAtlas (<u>https://estech.shinyapps.io/eviatlas/</u>) to detect regions with a local paucity of evidence. We also produced heat maps using the above shiny app, EviAtlas. To visually synthesise the data, we cross-tabulated the policy recommendations and knowledge gaps to illustrate the areas of evidence gap and limited studies.

## 3.1.7 Approach to organise Knowledge and Data

The list of included and excluded articles was stored in a Google spreadsheet accessible to the members of the EWG, and the focal and contacts points of other Eklipse governance bodies following the process (Methods Expert Group (MEG), Knowledge Coordination Body (KCB) and Eklipse Management Body (EMB)),

along with the tools used through the review process. The data extracted for the purpose of this scoping review was organised by geography and the predominant themes of the literature search in a collaborative spreadsheet. The preliminary results of the scoping review were used in the development of online survey forms in the people - based methods.

#### 3.2 INITIATIVES-BASED METHOD: INITIATIVES SCOPING

#### 3.2.1 Description of the method and Approach to organise Knowledge and Data

In order to scope funding initiatives relevant to Biodiversity and Pandemics, we primarily relied on reviewing the database compiled by the Eklipse team prior to the formation of the Expert Working Group together with EWG members' knowledge of funding initiatives. We focused on sources and mechanisms of funding rather than on individual projects. We also searched the internet for other relevant funding sources and programmes through Google using the terms "biodiversity", "pandemics" or "zoonotic disease", and "research funding", as well as the previous keywords with "initiative." The initiative scoping aimed to provide an overview of the current funding schemes and initiatives relevant to researching and improving our understanding of the relationships between biodiversity and the risk of pandemics.

We summarised the characteristics of these programmes, focusing on the amount of funding and duration of projects supported by the identified initiatives, as well as the geographic location(s) of both funded research projects and the research teams conducting them. Eligibility in terms of the type of organisation (academic, industry, NGO), discipline, and geographic location of teams were also considered based on publicly available information listed on initiative websites or other documentation.

#### 3.3 PEOPLE-BASED METHODS: ONLINE SURVEY AND ONLINE FOCUS GROUP DISCUSSION

In order to answer the following elements of the request: "Filtering or analysing research recommendations related to Biodiversity and Pandemics" and "Prioritise the identified research recommendations, based on their potential for maximising the impact on policies for relevant sectors", we decided to conduct peoplebased methods. If the scoping review could provide feedback on published research, people-based methods could capture more recent trends in terms of policy recommendations and knowledge gaps. The research process, from start to published papers takes several years. Thus, people-based methods allowed us to stand closer to the research frontier by engaging with researchers and other experts about their on-going work, drawing on their expertise and experience directly. In addition, given the recent COVID-19 pandemic, its impact on our knowledge and experience at the Biodiversity - Pandemic interface, and despite an enormous recent increase in scientific publications, we began with the assumption there is probably a great deal more information relating to this to capture from experts than is currently available in the published domain. We decided to implement an online survey and a focus group discussion (FGD). The online survey provided an opportunity to reach a large number and wide diversity of experts and professionals across the biodiversity and pandemic nexus and the FGD provided the opportunity to have more in-depth discussion about the outputs of the online survey with a selected number of experts.

# 3.3.1 Description of the method

We opted to link the Scoping review with the People-based methodology. To do so, we used preliminary results from the Scoping review: we extracted and synthesised, after a few rounds of reviewing and editing, two lists: 12 policy recommendations and 12 gaps in knowledge. The items on these lists were broad topics, summarizing converging outputs from the scoping review. Topics for policy recommendations are detailed in Table 5 and topics for research gaps are detailed in Table 6. We built the online survey based on these two lists and we reached out to a wide and diversified group of experts. Based on the outputs of the online survey, an online FDG was implemented, guided by a professional facilitator, to validate, consolidate and prioritise the items on the lists of knowledge gaps and policy recommendations.

## Online survey

The online survey was sent to a selected number of participants (n=301). The list was populated, using a structured process; in a few months trying to gather as many experts as possible from different sources:

i.from each EWG member's existing network;

ii.from existing expert lists obtained through Eklipse;

iii.from working groups known to the EWG;

iv.using the extensive Scoping review made by the EWG and identifying authors of relevant scientific articles.

Finally, the list of participants included:

- i. Relevant experts known by an EWG member (a column captured which EWG member knows this participant personally);
- ii. Relevant experts with no direct connection with an EWG member but well-known through their scientific articles, conference attendance, etc.;
- iii. Authors of relevant articles that were identified through the literature review.

The selection of participants covered a wide range of disciplines (e.g., health, environment, social & sustainability sciences, as well as academic, public, private and voluntary sectors), ecosystems and habitats, as well as representing various organisational backgrounds and geographic regions. In the list, contact details (name, email, city & country of residence), professional position and institution were added, along with a column indicating if the participant had relevant experience to be involved in the focus group discussion. EWG members were allowed to respond to the survey as they were initially selected based on their expertise on the topic.

The target was to get a 30% response rate in order to reach at least a hundred questionnaires completed out of the 300 participants invited to contribute. The survey was open from February, 2<sup>nd</sup> 2023 until March, 15<sup>th</sup>

2023 and every two weeks the participants were reminded to complete the survey. The survey was designed to last 10 to 15 minutes to complete in order to encourage completion by ensuring a small impact on participant activities and to acknowledge the many surveys participants are probably currently exposed to, leading to "survey fatigue". Pilot tests on the survey were run by colleagues of EWG members to assess the time taken to complete the survey.

The EWG submitted their methodology framework to the General University Ethics Panel of the University of Stirling, which was approved on April 6th, 2023 (see Annex 4). In the application the topic and main objectives of the request were described, as were the proposed methods to be applied, including: details of the participant population and the number of participants required (including brief characteristics as well as principal inclusion and exclusion criteria), the method of participant recruitment, and the proposed participant activities, and any incentives that the participants may receive for their participation. The consent and permissions modalities, as well as ethical implications were also outlined; and details of the data collection methods, data analysis, data storage and types of dissemination were also included in the submission.

The survey was structured as follow (the whole survey can be found in Annex 7):

- Introduction. In this section Eklipse, the request, the objectives of the survey, and how the participants' inputs would be used, were briefly introduced and explained. Each participant had to provide a personal or professional email, their last name and their first name.
- Section 1. Eklipse privacy policy and GDPR agreement. Participants were informed of the processing of their personal data under the EU's General Data Protection Regulation (GDPR) and the Eklipse privacy policy (<u>http://eklipse.eu/privacy-policy/</u>). Before starting to answer the survey, each participant had to agree with the following items by ticking boxes:
  - By answering the following survey, I agree with the collection, storage and use by the Eklipse team of the information provided by me. I retain the right to ask Eklipse to delete all my personal data at any moment. For further information: http://eklipse.eu/privacy-policy/
    - I declare that the information provided is under my own personal capacity and does not involve my affiliation's opinion.

- Section 2. List of Policy Recommendations. A list of 12 items was proposed (see below Table 5). Participants were asked to select the three most important items according to their own opinion, after reading them carefully. In addition, they were requested to add any missing items in an additional space at the bottom of the list. The ordering of the items on the list was random for each participant in order not to influence responses.

Table 5. List of policy recommendations in the online survey

GOVERNANCE	Promote responsible and inclusive governance systems in which policy makers take into account risk uncertainty, mitigation of environmental damage, and are accountable for bottom-up (or societal) requests
COLLABORATION	Foster intersectionality at policy and practitioner levels, interdisciplinarity at practitioner and research levels and transdisciplinarity between all stakeholders including local communities/general public at risk of pandemics, as promoted by the One Health concept
EDUCATION	Use adult and school education to increase understanding of the One Health (OH) approach and disease prevention in society and to build the future OH workforce
AWARENESS	Build and strengthen awareness in societies and government from local to global about the need for transformative changes to mitigate risks and drivers that contribute to pandemic emergence, biodiversity loss, and the depletion of ecosystem/natural resources
JUSTICE & EQUITY	Ensure that interventions in the context of pandemics and biodiversity account for and improve the situation of disadvantaged and marginalised groups within society, in particular regarding their access to health services and healthy ecosystems
VALUES	Integrate local values and worldviews in the management of health issues, including pandemic prevention, preparedness and response
FOOD SYSTEMS	Radically transform food and livestock production systems, trade, and their governance and policy, especially in their relation to nature and health
CONSERVATION	Decrease the encroachment of human activities into natural habitats and better manage landscape to combine conservation and local development objectives while mitigating the risk of emergence and pandemics

MONITORING	Develop long-term, robust, multi-faceted, open-data monitoring strategies for known and potential pathogens, infectious diseases and their systemic consequences along the anthropogenic gradient from natural to urban habitats, including pathogen genetic/genomic data, to enable prevention and early intervention against infectious disease emergence, including in post-disaster contexts
WILDLIFE	Regulate wildlife use and trade in national and international regulatory frameworks
BUSINESS	Strengthen and regulate links between business, investment and funding related to Pandemics and Biodiversity
RESEARCH	Promote and invest in interdisciplinary research on the links between Biodiversity and Pandemics

- Section 3 List of Research Knowledge Gaps. A list of 12 items was proposed (see below Table 6). As for Section 2, participants were asked to select the three most important items according to their own opinion after reading them carefully. In addition, they were requested to add any missing items in an additional space at the bottom of the list. The ordering of the items on the list was random for each participant in order not to influence responses.

Table 6. List of research knowledge gaps in the online survey

WILDLIFE-KEY SPECIES	Identify key wildlife species and their ecology and roles in infectious diseases emergence.
WILDLIFE-DOMESTIC-HUMAN INTERFACES	Identify drivers of contacts between wildlife, domestic and human animals.
MICROBIAL DIVERSITY	Study microbial diversity, ecology and epidemiology in nature to identify potential future agents at risk of emerging and triggering pandemics, and how this diversity changes in response to environmental change and human activities.

DILUTION	Conduct more research on different contexts to investigate possible biodiversity-modulated mechanisms underlying changes to zoonotic risk from wildlife (e.g. biodiversity loss increasing or decreasing zoonotic risk).
PATHOGENS	Evaluate what characteristics of pathogens from wild animals make them most likely to cross the species barrier and spread in new hosts.
DIAGNOSIS	Develop and invest in rapid and validated diagnostic tools methodologies for emerging infectious diseases in wildlife.
MODELLING	Develop mathematical models regarding the links between Biodiversity and Pandemics including the impacts of environmental changes such as climate change.
WILDLIFE-TRADE	Collect, integrate and make available reliable data on wildlife trade pathways both legal and illegal and their compliance with regulations
URBANISM	Identify and evaluate the risks posed by urban and peri-urban expansion and development in the context of biodiversity interactions and infectious disease emergence.
SOCIAL	Apply social science and humanities-driven methodologies to understand how perceptions, values and behaviours influence human interactions with wildlife and domesticated animals, and how to mitigate the ensuing risks regarding pandemics.
IMPACT	Develop integrated approaches to assess the societal and environmental impact of emerging infectious diseases, including potential prevention, response and recovery plans.
ECONOMICS	Study the return-on-investment for programmes that reduce the environmental changes and the human behaviours and activities that lead to pandemics.

- Section 4. Additional questions. Participants were finally asked if they wanted to be acknowledged in the final synthesis report as a participant of the survey or if they wanted to be contacted for the peer-review of the final synthesis report, for an interview, to attend a workshop or focus group to validate the results. They were then thanked for their time and contributions.

The outputs of this online survey were two consolidated lists, one each of research knowledge gaps and policy recommendations (later G&Rs). The ranking of G&Rs was synthesised across participants to identify the most prioritised ones. These consolidated lists were used for the FGD.

#### Focus Group Discussion

The objectives of the FGD were to validate, consolidate and prioritise further the lists of research knowledge gaps and policy recommendations by key experts. Using the list of online survey participants who agreed to be contacted for a workshop, we invited experts based on their expertise (e.g., epidemiology, ecology, social sciences), geographical location and priority items selected on both lists during the online survey in order to be as representative as possible of these three variables during the discussions.

The FGD was designed to be facilitated online using a facilitation board (Mural) managed by a professional facilitator for the occasion with support from the EWG. To support the facilitator, members of the EWG were allocated tasks such as "typing participant contributions on notes on the board", "organising participant contributions on notes on the board," and "introducing the FDG".

The FDG was structured in five sessions.

- The first session (40 minutes) consisted of the presentation of the Eklipse request (briefly as all FGD participants had already contributed to the online survey) and the objective of the FGD as referred to above and introductions of participants.
- The second session (40 minutes) focused on the list of Policy recommendations, requesting participants to comment on the definition of items in the list, possible addition and then commenting on the synthesis of the ordering of the items by the participants.
- The third session (25 minutes) focused on the list of Research gaps, requesting participants to comment on the definition of items in the list and suggest possible additions. We did not comment on the synthesis of the ordering of the items by the participants as each participant could be biased by their own field of research.
- Instead, we decided to design a fourth session (50 minutes) focused on interdisciplinarity. First interdisciplinary priorities were discussed (10 minutes). Then small groups of three to four participants were asked to brainstorm on the title, main objectives to make a "pitch" of a virtual or ideal interdisciplinary project that would gather at least three items on the list of research gaps in order to illustrate how multiple research gaps could be addressed, as well as the duration and funding that would be needed. In addition, they were asked to provide the length and budget of such a project. After 25 minutes of group work, a member of each group presented their outputs.
- Finally, the last session (20 minutes) was devoted to presenting the way forward of the request and thanking participants for their time and dedication to the process.

The final outputs of the people-based method process are the prioritised lists of research gaps in knowledge and policy recommendations, synthesised and commented on by the EWG.

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### 3.4 LIMITATIONS AND CHANGES TO THE ORIGINAL METHODOLOGY PROTOCOL

 Table 7. Limitations and changes to the original methodology protocol by method

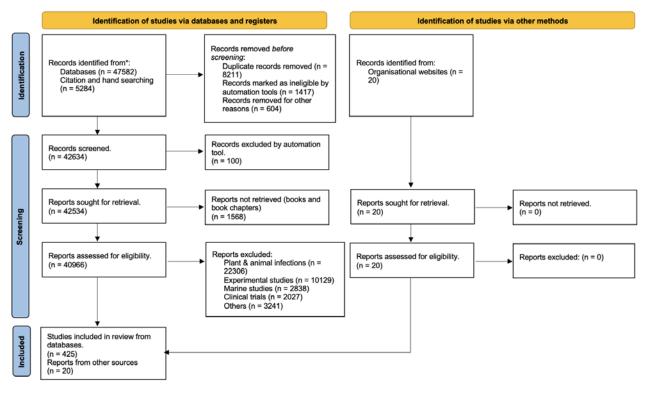
Methods	Steps	Changes
Scoping Review	General	Due to time constraints, a full systematic review was not feasible to meet the deadlines proposed.
	Literature search	Although extensive across a broad scope, was non-exhaustive due to language and timeline restrictions.
	Search languages	Addition of Mandarin Chinese
	Article screening	Two instead of three members of the EWG were involved in the screening.
Initiative Scoping		Simplified data collection on initiatives
		Results of initiative scoping not integrated into People-Based Methods
		Search only in English language
		Only publicly available information (e.g. websites) used to gather information
		Focus on international funding, limited searches for national and institution-based funding schemes

Methods	Steps	Changes
People-Based Methods	Online Survey	Initially, it was planned to have questionnaire respondents vote for the five most important knowledge gaps and five most important policy recommendations in order determine the first layer of prioritization. From the scoping review, EWG extracted and synthetised two lists of 12 policy recommendations and 12 gaps in knowledge and agreed that selection of three from each would be more focused and outcome-oriented.
		The survey was only available for a short period of time, limiting the reach to a larger number of responses.
	Targeted Expert Consultation	This tool was considered optional to target individuals who would not have responded to the online survey but were considered important to interview due to their knowledge or position initially. However, the online survey responses were adequate and EWG agreed that the FGD would produce relevant knowledge and recommendations without the need for a targeted expert consultation.
I	Focus Group Discussion	Initially, it was planned to conduct a discussion on knowledge gaps and research recommendations. However, we shifted to a discussion of both policy recommendations and research knowledge gaps based on the results ofonline survey.
		We had to plan the focus group discussion online to include experts from different geographical regions with different time zones. An in-presence meeting might have been more productive. Due to time constraints, we could only conduct one FGD and this limited the availability of all selected experts at the selected date and hour.

# 4. RESULTS

#### 4.1 LITERATURE-BASED METHOD: SCOPING REVIEW

We collected 47582 studies from searching the three databases, Web of Science, Scopus, and Ebsco. An additional 5284 articles were obtained from citation searching and hand searching. A final number of 42634 were included in the screening process following duplicate removal and accessibility errors reported by the citation manager. Finally, we included 425 studies and 20 reports from organisational websites for data extraction. This has been detailed in the PRISMA flowchart (Figure 2).



From: Page MJ, McKenzie JE, Bossuxt PM, Boutton I, Hoffmann TC, Mulrow CD, et al. The PRISMA 2020 statement: an updated guideline for reporting systematic reviews. BMJ 2021;372:n71. doi: 10.1136/bmi.n71. For more information, visit: http://www.prisma-statement.org/

Figure 2. Prisma flow chart detailing the records screened and included for data extraction.

To meet the deadlines for the development of the online survey forms, we initially extracted and synthesised data from 200 articles. The selection of articles for the preliminary analysis was based on year of publication; i.e. data extracted from articles published following the year 2010 were given priority. We synthesised 12 policy recommendations and 12 research knowledge gaps from the data extracted from the 200 articles for a wide expert consultation (see below in section 3) and then a focus-group discussion. The data from the

remaining 225 articles were later extracted. For the narrative summary and data visualisation of the scoping review, data from all 425 articles was used.

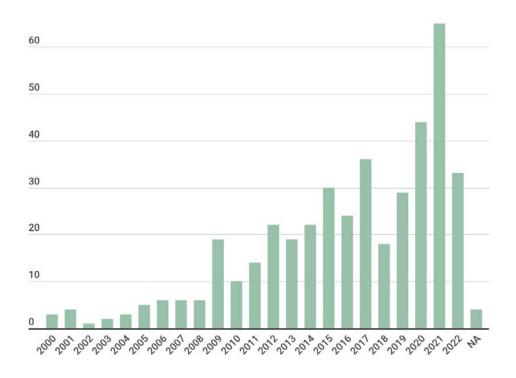


Figure 3. Evidence trends of publication of articles on Biodiversity and Pandemics.

We observed that the highest number of studies on Biodiversity and Pandemics, 65 (15.3% of the included studies), was published in the year 2021 (Figure 3). The year of publication was unavailable for 4 articles. Of the 425 included studies, a total of 15 studies were in languages other than English; 10 studies in French, 3 studies in Spanish and 2 in Chinese.



**Figure 4.** Evidence map illustrating the distribution of study area of the articles on Biodiversity and Pandemics; the blue dots represent individual studies, and the green circles represent clusters of articles from the same study area.

We chose articles studying Biodiversity and Pandemics at a local, regional and national scale to illustrate the geographical distribution of evidence. A total of 129 studies were described at a local, regional, and national scale and were plotted (Figure 5). We report that the United States of America had the highest number of articles (n=28, 21.7%) with study areas at a local, regional, and national scale. Although Europe had studies (18/425 included studies) at a continental scale, we observed a lack of evidence at a smaller scale. We observed a large amount of reviews (159/425 studies) and field studies (123/425 studies) among the included articles.

Policy recommendation										awareness on One Health					Bette veille and onitor	nce	ce Need for primary research mo				Reduction of anthropological modifications				Wildlife conservation and policy					Research and development			Health systems		
Scoring system Knowledge gap/ irrelevant association 0 1-10% of included articles 1 10-30% of included articles 2 30-60% of included articles 3 > 60% of included articles	nvesting interdisciplinary research	ndusion of social sciences research	Open source wildlife and wildlife disease data	Promote interdisciplinary and transdisciplinary research	nternational coalitions	One Health-based governance at all levels	Public-private partnerships	Dialogue between science and policymakers	Education from primary to tertiary levels	Awareness of stakeholder and public	Communication b/w science and stakeholdser & public	Enhancement of the health literacy of populations at risk	ntegrate diffrent worldviews between humans and nature	ongitudinal monitoring of pathogen at animal/human interface	Effective surveillance for emerging zoonoses	Disease reporting post environmental disasters	Understanding the fundamental ecological processes	nterlinkage b/w health & biodiversity to address env justice	veed to integrate theoretical and empirical approaches.	On introduced/invasive species on host-parasite interactions.	Minimise encroachment of human acitivities into wildlife habitat	reduce landscape fragmentation	imiting livestock density and extensive agriculture	ransform food livestock production systems & policy	mmediate action to mitigate climate change	ntegration of wildlife in national regulatory framework	Acknowledging the nexus b/w bushmeat, wet markets, & disease	Biosecurity implications of emerging infections from wildlife	nitiatives to eradicate wildlife trade	Health security challenges posed by urban & peri-urban wildlife	isk assessment at the biodiversity/health nexus	nvest on diagnostics suitable for field use-wildlife species	Genomics and bioinformatics tools targeting key hosts	Strenghten health systems in poor settings	Papid diagnostic systems in remotes regions to limit outbreak
Provide and	Ē	Ĕ	ð	Prc	르	ő	D.	Dia	Ē	Å	ē	Ē	Ē	2	H.	ß	5	트	Š	ő	Ξ	å	Ē	Tra	Ē	르	Ad	Bi	Ē	Ŧ	Ris	Ē	g	Str	Raj
Research gaps																												-							
1. Animal health & wildlife management Monitoring systems			0		2	1	2						1	2	1										0	2		2			2	1	0		
Targeted research on bat reservoirs	2		0	1	- 4	2	- 2	0					1	2	2		2			_	2	2	2		1	1	2	3			2	3	3	_	-
Non-invasive monitoring techniques	2	_		1		2		-	0		- 1			1	1		-				2	2	2		1	0	- 2	2		0	2	1	2		-
2. Anthrogenic environmental issues	-													-	-											-		-				1	0		
Effective initiatives to reduce deforestation	2				1	2		2	2	1	1		2				0	1			2	2	1		1	2									
Tackling AMR	2	-			3	1	1	2	-	1	-	1					Ľ	-		-	- 2	-	1	2	1	-		-					2	2	1
Action against climate change	1	_		1	2	-	-	-	-	-		-	0			2		-		0	0	0	1	1	1			-					-	-	-
3. Biodiversity	-			_	-											-					-	5	-		-										
Mechanisms of Disease Dilution	1			1		0											3		3	2	0	0				1		1		0					
Species-Specific Effects	0			0		0								0			2		1	0		-				0		<u> </u>		0		0			
Community Dynamics and Disease Reservoirs	2	_		1		1		0									3		3	2	1	1	0			0		1		1		2	1		
4. Pandemic preparedness				_																-	-												_		
Surveillance and Early Warning Systems	0			0	3	2	0							2	2	1					1	1				0	1	1		1	2	1	1	1	0
Rapid intervention strategies	0			1	0		1	1	2	1												_		2		0	2	2		1	1		1	1	1
Pathogen discovery and characterization	2			2	0	0								1	1	0	2			1							0	0			1	2	2		
5. Wildlife/bushmeat trade														_																					
Trade dynamics and consumer behavior	1	2		1	2			2		1	1	2	0								2	2	0	0	0	2	2	2	1		1				
Socio-economic dimensions	0	2			0	0		0		2	1										1		0	0		1	2	1	1	0					
Governance and policies	1	_			2	0		2		1	1	1									1	0	1	1	1	2	2	2	1						

**Figure 5.** Narrative summary of the scoping review in a format of a relationship matrix between the policy recommendations and the knowledge gaps.

The relationship matrix between the policy recommendations and the knowledge gaps from the included articles of the scoping review highlights the areas in need of action. This relationship matrix is a tool for policymakers to help them identify interdisciplinary research and action priorities that contribute to a strategic research agenda on biodiversity and pandemics. We used the following scoring system: 0 if 1-10% of the corresponding articles included in the scoping review addressed the research gap, 1 for 10-30% of the studies, 2 for 30-60% of the studies, and 3 for >60% of the studies. Red cells in the matrix are research areas that are poorly studied in interaction with mentioned policy recommendations and would require further research prior to translation into appropriate policy recommendations and actions. We observed that there was uncertainty among a few research gaps, in particular mechanisms of dilution effect and species-specific effects. There has not been a clear scientific consensus yet on these topics to lead to policy recommendations. Thus, there is a need for funding for further application-based research on such topics. We cite pertinent challenges and limitations from the included reports based on the knowledge gaps and policy recommendations.

For instance, the EU Biodiversity Strategy for 2030 states that:

"The fight against biodiversity loss must be underpinned by sound science. Research and innovation can develop and test 'green' solutions so that they can be prioritised over 'grey' infrastructure. It can also help authorities to support investments in nature-based solutions and green infrastructure, such as in old-industrialised, low-income or disaster-hit areas."

The Technical Information on Biodiversity and Pandemics (SBSTTA, Note by the Executive Secretary, CBD) highlights the fact that:

"Policies that make the human-environment connection to zoonotic transmission and pandemics clear can increase support for biodiversity conservation, especially for emotive subjects like the commercial trade in wildlife and deforestation. Furthermore, reducing pandemic risks substantially through better management of environmental resources would cost 1-2 orders of magnitude less than estimates of the economic damages caused by global pandemics. Collaboration among conservation biologists and epidemiologists should be strongly encouraged to provide scientific guidance for measures to reduce risk in these cases, such as culling of non-native species that host zoonoses, or launching disease surveillance programmes".

The data extracted from the organisational reports such as the above are detailed in the Annex 8 and could be used by policymakers to prioritise future actions.

#### 4.2 INITIATIVES-BASED METHOD: INITIATIVES SCOPING

#### 4.2.1 Research funding

Here we highlight several major funding initiatives and programmes relevant to the topic of Biodiversity and Pandemics. Overall, we find that there are very few research funding programs dedicated specifically and explicitly to Biodiversity and Pandemics, meaning they ask for a direct link to be drawn between biodiversity and pandemics. In addition to funding for research in the academic sense, we also include examples of surveillance networks and funding for implementation projects and highlight agencies that may also be relevant. The funding landscape often changes, with funders sometimes issuing a one-time thematic call related to biodiversity and pandemics, with subsequent calls shifting focus toward other topics. In addition, many funds may relate to Biodiversity and Pandemics but somewhat indirectly. For example, programs may fund pathogen surveillance in biodiverse regions without explicitly addressing the relationship between biodiversity, pathogen spillover and disease emergence.

We found two primary sources of funding dedicated specifically to the topic of biodiversity and pandemics that use this terminology: The Horizon Europe Cluster 6 (Food, Bioeconomy, Natural Resources, Agriculture and Environment) BIODIV-01-17: "Interlinkages between biodiversity loss and degradation of ecosystems and the emergence of zoonotic diseases". The program was created with extensive input from Eklipse and Prezode. This call follows up on Horizon Europe 2021/2022's topic Cluster 6 -BIODIV-01-11 - "What else is out there? Exploring the connection between biodiversity, ecosystems services, pandemics and epidemic risk." That 2021/2022 call funded two projects: BCOMING (4.9€ million over 4 years), coordinated by CIRAD

(France) with the aim of investigating how biodiversity conservation can mitigate the risks of emerging infectious disease in Europe and the tropics and BEPREP (5.4€ million over 4.5 years), coordinated by the University of Helsinki, with a focus on if and how nature restoration can prevent disease outbreaks.

The current BIODIV-01-17: "Interlinkages between biodiversity loss and degradation of ecosystems and the emergence of zoonotic diseases" call, whose deadline was March 2023, is far-reaching with projects required to address the effects of biodiversity loss on disease, particularly emerging zoonoses, mitigation of biodiversity loss to prevent disease, and to use this knowledge to propose practical strategies and monitoring. Up to three projects proposed by international consortiums can be funded, up to 4€ million each, generally lasting 3-4 years. Teams must be interdisciplinary and projects must include social scientists and the humanities. Consortiums should include at least one institution from a Member State and two from Member States or associated countries, other members of consortiums may be based in the EU, Horizon-associated countries, and middle- and lower-income countries. Different types of institutions including academic, civil society or NGO, government, small- and medium-enterprises, and stakeholders or end-users are eligible for the program.

In addition, Horizon Europe Cluster 1 (Health) ENVHLTH-02-01: "Planetary health: understanding the links between environmental degradation and health impacts" welcomed projects related to biodiversity and human health that do not overlap with Cluster 6 (e.g. not related to zoonotic disease emergence) within the scope of Planetary Health. The deadline for applications was April 2023. Teams are directed to include social sciences and humanities in projects. Five projects are expected to be funded up to 5€ million each, generally for 3-4 years. The eligibility criteria are in-line with those of Cluster 6.

The PEPR funding initiative from the French PREZODE initiative broadly focuses on global change, human impact, and emerging zoonotic diseases. The call was opened in February 2023. Letters of Intention were required by April 2023 and final project submission will occur in September 2023. Improving knowledge of the relationship between biodiversity loss and pathogen circulation is specifically mentioned as one of six goals within the program's Axis 2, "Strengthening our knowledge on potential reservoir populations and of system-based approaches to understand zoonotic diseases emergence in a changing environment". This initiative funds consortiums led by French research teams with funding of 1-3€ million per project given to French institutions for a duration of 3-5 years.

USAID is a major funder of research projects related to pandemics and zoonotic disease. Large projects have budgets of \$100 - 200 million USD for 5 to 10 years duration. They are generally led by a US-based university coordinating large consortiums of American and foreign academic institutions, NGOs (principally EcoHealth Alliance), and private companies. Major projects have included PREDICT (2009 – 2020, \$200 million USD), coordinated by the University of California - Davis, which focused on identifying viruses in biodiversity hotspots from potential wildlife hosts, DEEP VZN, implemented by Washington State University (5 years, \$125 million USD), which targets the discovery and characterization of viruses from selected families with potential for spillover, and STOP Spillover, coordinated by Tufts University (\$100 million USD, 5 years), which aims to better understand the dynamics and pathways of pathogen spillover for a selected number of known pathogens with local stakeholder input.

Also based in the US, a less targeted but relevant initiative is the on-going multi-agency Evolution and Ecology of Infectious Disease (EEID) program coordinated by the National Science Foundation (NSF). To be eligible, "projects must address the quantitative, mathematical, or computational understanding of pathogen transmission dynamics." The program description does not specifically mention biodiversity (or pandemics) but this could conceivably be an angle for proposed projects if linked to transmission dynamics. Funding is \$1.5 - 3 million USD for projects lasting five years. There are several binational agreements with the UK, China, and Israel with additional dedicated funding from the national funding agencies of those countries to support their teams. Projects in, and collaborations with, institutions in low- and middle-income countries are encouraged.

An important point raised in the Focus Discussion Group was the need to directly fund teams in the countries that are most affected by zoonotic diseases and potential pandemics. While partnerships and collaborations are often encouraged by the funding schemes we have identified, this is typically in collaboration with US or EU partners leading the project and these teams may not always be eligible to receive funding. In this context, one program we wish to highlight is the NIH International Research in Infectious Diseases program (https://grants.nih.gov/grants/guide/rfa-files/RFA-AI-23-023.html), which awards funding only to researchers in low-income economies, lower-middle-income economies, and upper-middle-income economies by World Bank Classification. Projects may receive up to \$125,000 per year over a maximum of five years (total maximum \$575,000). Seven to eight projects may be funded per year.

At a smaller scale, there are many initiatives and centres that have been established at individual universities and institutions. The funding of such initiatives provides a range, from shorter-term projects, often led or implemented by graduate students, with support of several thousand euros to large multidisciplinary projects that may last for several years. Some initiatives may involve large investments. For example, Wageningen University and Research recently launched ERRAZE@WUR (Early Recognition and Rapid Action in Zoonotic Emergencies) with 6.5€ millions of funding. Although not exclusive to biodiversity and pandemics, the program has funded projects incorporating biodiversity in disease ecology. University-based funding is generally available only to students, faculty, or other researchers at the specific university or in some cases only to those affiliated to a specific faculty or department.

# 4.2.2 Open Calls

In addition to these dedicated funds, researchers, particularly academic and/or university-affiliated researchers, may propose projects on the topic of "biodiversity and pandemics" to general funding schemes, such as the EU European Research Council funding, organisations such as the Wellcome Trust whose work includes infectious diseases, or national open funding schemes. National funding schemes may have restrictions on the location of partners. Some programs, such as the ERC, focus primarily on a single investigator and their laboratory group rather than the consortium of teams, which may affect the scale of the proposed project. The ERC also has excellence in science as the main assessment criterion, which may limit the policy links and on-the-ground change that ERC projects can achieve. Similarly, early-career researchers may consider postdoctoral fellowships, such as Marie Skłodowska-Curie Actions in Europe or

equivalent programs in their home and / or host institution countries, which typically include both stipends and some funds for carrying out research.

# 4.2.3 Thematic Calls

One challenge in identifying relevant initiatives is that funders often issue thematic calls that change with each funding cycle. We identified several one-time calls for projects over the past few years that have since closed. Here we show several examples of relevant one-time calls from several organisations and initiatives.

In 2018 - 2019, Biodiversa funded a call on the theme of biodiversity and animal, human, and plant health. Although not exclusive, the main theme for Biodiversa funding changes with each call and may include specific ecosystems, such as aquatic habitats. The focus of Biodiversa's 2022-2023 call was on biodiversity monitoring, which could potentially be linked to pandemics or disease emergence. The upcoming call for 2023-2024 will focus on Nature-Based Solutions, followed by Societal Transformation in 2024-2025. Biodiversa funds transdisciplinary teams that include at least three participating countries, with an emphasis on stakeholder engagement, policy relevance, and transnational importance for projects. Participating countries are those who provide funding to the program. There are currently 33 participating countries, primarily in Europe, as well as Brazil, Côte d'Ivoire, South Africa, Taiwan, and Turkey. Funding is generally 1.2-1.5€ million per project, lasting three years.

In2021theGermany-basedVolkswagenStiftungprogram(https://www.volkswagenstiftung.de/sites/default/files/documents/MB\_116d.pdf), issued a call on thetheme of "Preventing Pandemics: the Role of Human-Environmental Interactions". Proposals requiredinterdisciplinary teams of 3-5 researchers, including both natural and social scientists. At least one teammember was required to be based in Germany and two based in non-European lower- or middle-incomecountries. Projects could receive up to 1.5 million € for up to four years.

Funding may also address biodiversity in terms of microbial diversity or potential pathogens. In 2021/2022, the Bill and Melinda Gates Foundation and Chan-Zuckerman Initiative issued a call for its Global Grand Challenge focused on "Metagenomic Next Generation Sequencing to Detect, Identify, and Characterize Pathogens." Funding of \$200,000 USD, as well as training and Next Generation Sequencing equipment was offered for projects in low and middle income countries for up to two years focused on pathogen discovery, including within wildlife and domestic animal reservoirs.

Relevant initiatives may also focus on factors that affect biodiversity, such as climate change. For example, the Belmont Forum is currently issuing its second call for funding on Climate, Health, and Environment, with an emphasis on priorities for lower and lower-middle income countries. While biodiversity is not directly linked, the role of climate on disease emergence, including zoonoses, is included as a potential topic within the call. The Belmont Forum works in collaboration with a large number of national and international funding agency partners (<u>https://www.belmontforum.org/archives/news/call-announcement-climate-environment-and-health</u>). Eligibility is wide due to the large number of partner agencies, including countries across the income spectrum. Consortiums should include partners from at least three different countries for projects

lasting 3-4 years. Funding per project varies, depending on the amount offered by the agency or the eligibility criteria of partner applicants.

# 4.2.4 Surveillance Networks

There are many initiatives and networks focused on surveillance and preventing pandemics without necessarily explicitly incorporating biodiversity, although the activities may be based in highly biodiverse areas or specific projects may be funded that do address the topic more directly. For example, the Centers for Research in Emerging Infectious Diseases (CREID), an initiative funded by the US National Institutes of Health (NIH), is a network of laboratories in 28 countries across the world, largely in Africa, the Americas, and Asia. Activities include identifying pathogen hosts, host-pathogen interactions, and diagnostics. Coordination and support are provided for activities such as data management and reagent or diagnostic development. In addition, the program also provides fellowships for early researchers in LMICs or the US for 1-year projects and funding of \$150,000.

Connecting Organisations for Regional Disease Surveillance (CORDS) is another network connecting six regional networks in Africa, the Middle East, Southeast Asia, and southern Europe (SECID, MECIDS, MBDS, SACIDS, EAIDSNet, APEIR). The goal of CORDS is to catalyse collaboration amongst regional disease surveillance networks across the world in order to improve their capacity to detect and control the spread of epidemics.

The Rockefeller Pandemic Prevention Initiative is a USD 150 million investment working with partners around the world to prevent the spread of infectious diseases through strengthened global pathogen surveillance and response. The Pandemic Prevention Initiative has formed a network of over 40 partner organisations that bridge sectors and geographies to strengthen partnerships and enable an early warning system. Through grants to several network partners, it supports local institutions and health systems, as well as regional and global organisations to elevate national expertise and leadership around the world.

The Zoonotic Disease Integrated Action (ZODIAC) is an initiative led by the International Atomic Energy Agency (IAEA). This initiative aims to create a global network of designated national laboratories monitoring zoonotic disease that will promote collaboration and sharing information to enhance early detection, with an emphasis on South-South cooperation. There are five main pillars of the programme:

- Strengthening member states' detection, diagnostic, and monitoring capabilities through the development of necessary laboratory infrastructure and sampling and analysis protocols using nuclear and related techniques (ELISA, PCR);
- 2) Development of novel technologies for zoonotic disease detection and monitoring and making them available;
- 3) Real-time decision-making support tools for timely interventions through geo-visualization;
- 4) Understanding the impact of zoonotic disease on human health based on medical imaging;
- 5) Providing access to an agency coordinated response for zoonotic diseases.

ZODIAC also provides support for research, training, and capacity-building.

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## 4.2.5 Preparedness and / or Implementation Funding

Apart from funding for strictly research activities, there are a number of initiatives focused on preparedness and capacity building that are granted to institutions and governments, although they may not necessarily address biodiversity and pandemics explicitly. The Pandemic Fund is a long-term financing program for low and middle-income countries established in 2022 and administered by the World Bank to build capacity and implement projects to improve pandemic prevention, preparedness, and response, for example through disease surveillance, laboratory capacity, or strengthening health systems. The first round of funding began with Expressions of Interest in February 2023, with final proposal submission in May 2023. Projects must be proposed by eligible low- and middle-income countries and implemented by at least one of the 13 identified Implementing Entities, which include financial institutions (e.g. African Development Bank, Asian Development Bank) and UN agencies (e.g. FAO, UNICEF). Delivery partners, such as academic institutions, NGOs, private sector, or individuals, may be contracted. No specific funding limits are given for individual projects, but total funding for this round is \$300 million. Projects receive funding for up to three years, although they may continue beyond that time frame.

Another major fund focusing on partnerships with governments is Nature4Health (nature4health.org), established by the International Climate Initiative (IKI) of the German Federal Ministry for the Environment, Nature Conservation, Nuclear Safety and Consumer Protection (BMUV) with \$50 million initial funding to be disbursed in three phases lasting until 2030; the first phase began in October / November 2022. It is implemented through a consortium of eight partners, which include the IUCN, EcoHealth Alliance, WHO, WOAH/OIE, and several UN agencies. Eligible applicants to the fund are national, sub-national or regional government entities. In each phase, 4-6 country partners may be chosen. The country partners will then work with an Implementing Partner, chosen from the Consortium Partners to analyse local needs for strengthening OneHealth approaches through "One Health fitness" policy assessments. Based on these assessments, partners will then develop and implement OneHealth policies and actions that consider biodiversity and climate change to prevent future pandemics. This may include "capacity building, knowledge management, advocacy and awareness raising programmes and initiatives on the links between biodiversity, climate change and health" as well as strengthening "One Health collaboration and governance structures that facilitate sustained preventative action and policy".

A one-time relevant call for applied project funding was published in 2020 Germany's International Climate Initiative (IKI) (<u>https://www.international-climate-initiative.com/</u>) and included the topic "Pandemic preparedness: natural protective barriers between humans and animals by expanding, linking and improving protected areas" in its "Thematic Call" for funding. Eligible projects were implemented by consortiums of at least two organisations in OECD official development assistance countries, although project partners did not have to be based in these countries. Projects were preferably regional or at least bilateral. Consortiums could include a wide range of organisations including international intergovernmental organisations and institutions, NGO's, research institutions, or commercial enterprises. Projects could receive 5-30€ million and last up to eight years (more recent calls on other topics budget 10-20€ million per project).

## 4.2.6 Conservation initiatives

Funds focused on biodiversity and conservation may also fund research and programs relevant to Biodiversity and Pandemics. For example, the Critical Ecosystem Partnership Fund (CEPF) is dedicated to providing funding to civil society (non-governmental and academic) to implement projects in identified biodiverse regions that protect important ecosystems, habitats, and species diversity. The small grants program provides up to \$15,000 of funding while the large grants are typically \$150,000. For example, in the Indo-Burma region, the CEPF designates "Understand[ing] and support[ing] action to address linkages between biodiversity and human health, including the role of biodiversity loss in the emergence of zoonotic diseases" as one of its priorities, along with several actions to combat illegal wildlife trade and crime under the theme of zoonotic disease mitigation (https://www.cepf.net/our-work/biodiversity-hotspots/indo-burma/priorities).

Another potentially relevant program focused on species conservation is the Sustainable Wildlife Management Programme (SWM) (https://www.swm-programme.info/) from the FAO, along with CIFOR, CIRAD, and the Wildlife Conservation Society (WCS), which provides funding for wildlife conservation, thus maintaining species diversity through the lens of sustainable use and management. The program focuses on sustainable hunting when ecologically possible, capacity building for management in local and indigenous communities, and reducing demand for wild meat in distant (urban) markets as well as diversifying protein sources through the development of alternative proteins (e.g., chicken / fish value chains). SWM currently operates in 15 countries in Africa, the Pacific, and the Americas. While SWM does not currently work on pandemic prevention or pathogen surveillance, а recent SWM white paper (https://www.fao.org/3/cb1503en/cb1503en.pdf) proposes OneHealth surveillance at sites as a future direction, building on experience of program partners. This may include analyses of human-wildlife-livestock interfaces, sampling, surveillance, and risk assessment. A second phase of SWM is in preparation.

# 4.2.7 Relevant European Agencies

In addition to the above-listed funding programmes, initiatives, and networks, here we highlight relevant European agencies. While they have not, to our knowledge, issued specific calls related to Biodiversity and Pandemics, their programmes and mandates are broadly relevant to the topic.

Although it has not yet issued calls related to biodiversity and pandemics, we also identify the European Health and Digital Executive Agency (HaDEA) as a potentially relevant EU agency. HaDEA manages calls related to health. Current funding calls are within the Horizon Europe and Digital Europe programs. Other programs (without current funding calls) within HaDEA include EU4Health, the Single Market Program: Food, and Connecting Europe Facility.

European Centre for Disease Prevention and Control, established in 2005, is an EU agency aimed at strengthening Europe's defences against infectious diseases. Its mission is to identify, assess and communicate current and emerging threats to human health posed by infectious diseases. Its main objectives are to:

- search for, collect, collate, evaluate and disseminate relevant scientific and technical data;
- provide scientific opinions and scientific and technical assistance including training;
- provide timely information to the Commission, the Member States, Community agencies and international organisations active within the field of public health;
- coordinate the European networking of bodies operating in the fields within the Centre's mission, including networks that emerge from public health activities supported by the Commission and operating the dedicated surveillance networks;
- exchange information, expertise, and best practices, and facilitate the development and implementation of joint actions.

# 4.2.7 Limitations

This list, which is non-exhaustive and non-systematic, should be treated only as a set of examples rather than a definitive or authoritative list. We note the bias towards programs based or organised in the European Union or the United States, although most include much wider eligibility and encourage or require collaborations with a wider range of countries. This may be partially due to the funding sources members of the EWG were familiar with. Further, we searched for funding only in the English language, used only publicly available information (e.g. websites), and focused on international funding with limited searches for national and institution-based funding schemes.

#### 4.3 PEOPLE-BASED METHODS: ONLINE SURVEY AND ONLINE FOCUS GROUP DISCUSSION

# 4.3.1 Online survey

The survey was structured into two components: the first with policy recommendations and the second with research knowledge gaps; both lists were prepared based on the extensive review of scientific and institutional literature from 2018 to 2022 described in the section above for the literature-based method and following the <u>methodological protocol</u>. It was sent to more than 300 experts from various areas of expertise in natural or social sciences, such as biodiversity, infectious diseases, microbiology, wildlife conservation, climate change, food safety, etc. and from different geographical areas, with an objective to have a 30% response rate. The survey, prepared by the Eklipse Expert Working group, received 121 responses, a response rate slightly above 40%, exceeding the target of 30%.

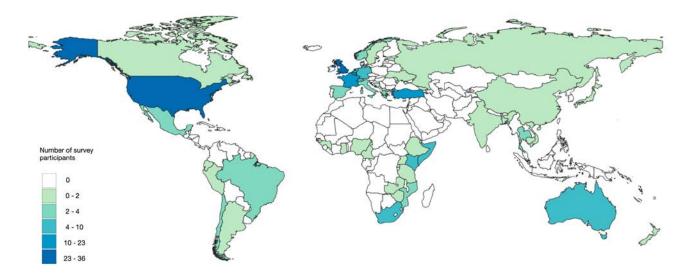
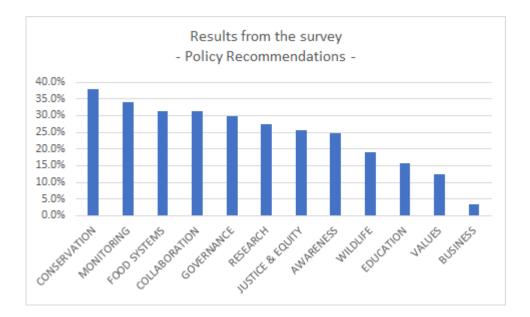


Figure 6. Geographical participation in the survey.

#### Results of Section 2: Ranking of the 12 items on Policy Recommendations

Overall, the survey responses showed no category dominating policy recommendations but rather indicated support across most categories (8/12) commanding at least 25% participant responses, with the least cited (**Business**) being the only item selected less than 5%. The two most cited categories were **Conservation** (38%) and **Monitoring** (33,9%). Responses to section 2 (policy recommendations) of the survey provided the results presented in Figure 7 below.



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**Figure 7.** Results from the survey - the percentage of respondents who identified the policy recommendations detailed in Table 5 as being the most relevant recommendations for policy.

**Conservation** refers to the impact of human activities on wildlife and natural habitats, such as human encroachment on remaining biodiversity habitat across the world, that lead to biodiversity loss. Encroachment, for example, is known to increase wild animal / domestic animal / human interfaces which promote the spillover and emergence of infectious diseases, some of which have pandemic potential.

**Monitoring,** referring to standardised monitoring over time of the ecological, social and epidemiological indicators at wildlife/domestic animal/human interfaces and along transition zones in order to better know and understand the processes that link biodiversity and pandemics.

The main driver of biodiversity loss and interface creation across the world is land use change for agriculture. A large part of this agriculture is intensive and aims at feeding humans and domestic animals which will feed humans. The need to transform **Food Systems** globally was the third most cited item and relates to the environment and biodiversity crises. Here participants indicated that this item is deeply linked to the risk of pandemics and that it constitutes a root cause of the pandemic risks associated with biodiversity.

The need for more **Collaboration** and **Governance** was also highlighted. Current trends in interdisciplinary approaches such as One Health and other types of integrated approaches to health point to this ambition and its relevance to addressing the complex and wicked problems that lie at the Biodiversity-Pandemic nexus. This cross-sectoral collaboration needs to enhance the relationship between science and policy (for example as the IPCC and IPBES do) in order for politics to take the relevant and challenging decisions needed to address the root causes of environmental crises that determine the risks of pandemics. These decisions to mitigate the pandemic risks at the human/biodiversity interface should be well-informed and made in a holistic context, especially promoting social **Justice & Equity** in order to make sure no population or group of humans is "left behind" and historical injustices towards poor or indigenous human communities are not maintained or amplified.

Finally, the need for **Awareness** about the pandemic risk across the different levels of societies, including civil society, the general public and various political spheres (e.g., national, provincial, district) is also critical to make sure political decisions are understood and pro-biodiversity behaviour changes are promoted.

In addition, participants were asked to add any missing items that they considered to be relevant. These items can be found, as written by the participants, below:

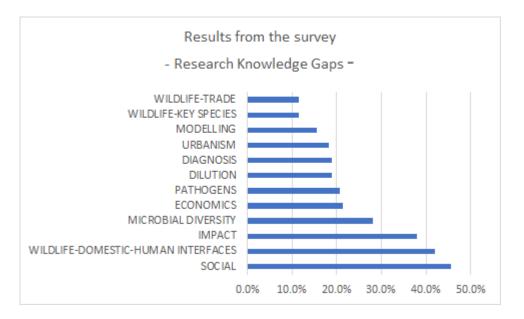
- Ensure proper SCREENING of research activities and CONTROL of laboratories manipulating pathogens
- Enforcements of the IHRS (International Height Reference System IHRS)
- Consider and shift VALUES and NARRATIVES in policy
- Build stronger LEGAL FRAMEWORKS / International HEALTH REGULATIONS

- CO-DESIGN
- WILDLIFE SUPPLY CHAINS
- AGRICULTURE SYSTEMS
- RISK-BASED APPROACH

Overall, the EWG decided that these items did not bring major additionality to the list of items from the preliminary work done by the Scoping review. However, to be exhaustive they were displayed as additional comments by participants during the FGD (detailed below).

#### Results of Section 3: Ranking of the 12 items on Research Knowledge Gaps

The result of the selection of the research gap items by the participants revealed significant heterogeneity across the categories with 6 categories selected by more than 20% of respondents (Figure 8). Three items were selected by between 35 and 45% (Wildlife-Domestic-Human Interfaces; Social; Impact) of respondents, with the next most selected item being selected by less than 30% (Microbial Diversity) and another five were close to 20% (Economics; Pathogen; Dilution; Diagnosis; Urbanism). Modelling, Wildlife-Key Species and Wildlife Trade received less than 20%.



**Figure 8.** Results from the survey - The percentage of respondents who identified the research knowledge gaps identified in Table 6 as important to be addressed.

The three most cited items showcase the need to better understand the dynamics of pathogens and risks of emergence at the biodiversity-society interface, especially in ecosystems in which strong wildlife/domestic/human interfaces exist at or inside natural habitats. The need to better understand spillover processes, and the biodiversity - spillover relationship from an ecological perspective (behavioural ecology, community ecology, disease ecology) is met by the need to better understand the interdependent

social processes (behaviour, value systems, economics) that create and influence the intensity and frequency of contacts between species at these interfaces. The third most important factor, **Impact**, highlights the current lack of information and understanding of what are the best ways to manage these interfaces and mitigate the risks of spillover at the biodiversity-society interface. Quantified and qualified assessment of current epidemics and pandemics and the gains made by avoidance of such events through preventive measures are key data to inform policies and trigger the paradigm shifts necessary to adopt relevant policies.

The dominance obtained for the three items (Wildlife-Domestic-Human Interfaces; Social; Impact) may be the result of many of the other items being components of more global and holistic knowledge gaps. For example, Microbial Diversity aiming at screening the unknown viral, bacterial and fungal diversity to identify future potential threats is one approach that is used to study the wildlife/domestic/human interface, while **Pathogens** is identifying the pathogen properties (e.g., receptor) that can make a pathogen more or less susceptible to being a threat for pathogen spillover. The identification of wild maintenance and bridge hosts in pathogen ecology is a fundamental component of disease ecology at the wildlife/domestic/human interfaces. Dilution, referring to the dilution effect is one of the hypotheses currently posited to understand the relationship between biodiversity and pathogen emergence. Wildlife Trade is a diverse and global phenomenon at the source of many emergency events, but can still be considered as a sub-component of the wildlife/domestic/human interface. Economics looks at the costs and benefits of interventions used to manage or mitigate disease emergence, and the outcomes of such interventions are an important part of Impact.

**Diagnosis** and **Modelling** are both essential tools to support research on biodiversity-pandemics. Developing diagnostic techniques adapted to the diversity of potential wildlife hosts (even if one concentrates on the orders more likely to transmit pathogens to domestic animals and humans – e.g., mammals and birds) is an enormous challenge. **Modelling** can help reproduce the complex patterns that unfold at the wildlife/domestic/human interfaces and predict the outcome of interventions or test the long-term evolution of current trends.

Finally, **Urbanism** refers to the most anthropogenic habitats on earth in which a subset of biodiversity has adapted, is currently evolving and hosts a biased subset of pathogen biodiversity. The urban environment provides specific wildlife/domestic/human interfaces that require the dedicated attention of the scientific community.

In addition, participants were asked to add any missing items that they felt were relevant. These items can be found, as written by the participants, in the list below:

- ECOGENOMICS: studying the interspecies implications of genomes/genetics/genes
- ENVIRONMENTAL AND MEDICAL HISTORIES: studying biodiversity loss and occurrence of infectious diseases in history
- MEASURES OF THE IMPACT OF SPILLOVER RISKS: studying the risks and/or effectiveness of spillover consequent to human activities.

- DRIVERS OF DISEASE EMERGENCE: investigating the underlying (or proximal) drivers of disease emergence
- ECOSYSTEM DESIGN: investigate if sustainable design, life friendly ecosystems has an impact
- IMPACT OF WILDLIFE/POPULATION DYNAMICS & COMMUNITY STRUCTURE ON PATHOGENS TRANSMISSION. collating evidence of the impact, and lack of impact, of local, national and international initiatives, policies and measures to conserve biodiversity and or reduce disease emergence.
- EFFECTIVE MITIGATION
- ECONOMIC COST-BENEFIT ANALYSIS
- PREVENTATIVE MEASURES:
- IMPLEMENTATION & EFFECTIVENESS OF DISEASE SURVEILLANCE REGULATIONS

Overall, the EWG decided that these items did not bring any major addition to the list of items derived from the preliminary work done by the Scoping review. However, to be exhaustive they were displayed as additional comments by participants during the FGD (details below).

# 4.3.2 Focus Group Discussion

In total 17 experts were invited to participate in a focus group discussion: 13 of them responded positively. Seven experts were able to join for the full session and 2 joined partially (see the list of participants in Annex 5).

The online focus group discussion was led by professional facilitator Estelle Balian and held on Zoom using Mural to create an environment for the experts to visualize the results of the survey, collaborate on their new ideas and engage in the discussion in an efficient way. A detailed report of the discussion that occurred during the FGD is presented as Annex 4.

Analysis is based on different sources:

- i. Minutes taken during the FGD by a member of the Eklipse Management Body;
- ii. Notes taken by members of the EWG acting as observers and rapporteurs during the FGS;
- iii. Transcription of the audio recording.

# Session 1: Introduction

During session 1, The facilitator welcomed the participants and after presenting a few rules of conduct gave the floor to a member of the EWG to summarise the background and objectives of the Eklipse request and of this FGD. After this short introduction, participants and EWG members facilitating the FGD were provided a space on the virtual board followed by a minute or two to introduce themselves. Prior to the discussion, the results of the survey and basic instructions on how to use the online programs and the agenda of the meeting were shared with the experts. Participants were asked if they had any question on the objectives or the process. Clarification of the definition of the request (e.g., what kind of pandemics?) and the outcomes of the process were required by two participants.

#### Session 2: Policy recommendations

In session 2, the facilitator asked a set of selected questions. During the first session the experts discussed the policy recommendations from the survey. The discussion started with one member of the EWG introducing the topics proposed in the survey and the survey prioritisation results. Then the experts were asked to discuss the proposed policy recommendations, highlighting any surprising results, adjustments needed and important items missing. Next in order, a discussion followed on the priorities given in the survey, captured by asking the experts what they thought the main criteria were for those priorities. In summary, participants suggested that policy recommendations were too broad as presented and needed to be simplified to provide more concrete policy recommendations for achieving broad aims. It was also noted that separate recommendations and research priorities may be needed for currently circulating versus emerging pathogens and zoonotic diseases. Participants generally agreed that the proposed policy recommendations lacked sufficient integration and reference to social sciences, community involvement, and economic and social drivers. Feedback from policy actors would probably be needed for this section. There was no significant trend observed in the prioritisation of items as differences between scores were low. Generally, as explained for the online survey results, all items in this list were indicated as important with some level of overlap between them.

#### Session 3: Knowledge gaps and Research Recommendations

The third session's discussion was on knowledge gaps and research recommendations. The discussion proceeded in the same way as the first session, starting with questions from the facilitator. In summary, FGD participants identified a few specific items like: the need for better diagnostics for zoonotic diseases in humans and wildlife; the relevance of the scale of studies within habitat/study site and between them in order to be able to compare them; the need for changing the way social sciences are currently "manipulated" in health studies in order to fully incorporate them; the need for more population sciences to understand the impacts of changing demographics on disease for humans and wildlife. An extensive discussion then continued on the relevance of research and its impacts. In terms of relevance, in-depth studies incorporating multi-scale and multi-disciplinary approaches are needed to address the complex systems in which disease and health issues occur. Then, research should be woven into risk-management systems to inform decisions and actions that are relevant for policy makers.

#### Session 4: Interdisciplinary priorities and possible projects

For the final session, participants were divided into two subgroups of 4-5 participants. The group members were pre-assigned and each group included participants from different disciplines to ensure interdisciplinary discussion. In this session, each group had to design an interdisciplinary research project at the intersection of at least three research gaps. The groups were asked to provide a potential project including a title and a

pitch, recommend length of the project to achieve its objectives, amount of funding required for such a project, and to give an example of how this could be done (e.g., One Health approach). The objective of this session was to have a more concrete interdisciplinary discussion on priorities and to move from general themes to more concrete research project ideas. During the discussions, the facilitator moved between groups to ensure the instructions were clear and to check how discussions went. Feedback from each group was presented to the entire focus group by one of the experts of each subgroup (see Figure 9 below).



**Figure 9**. Results of session 4: Interdisciplinary priorities and possible projects developed by each subgroup of the focus group.

#### <u>Group 1 feedback</u>

**Title**: Integrating socio-economic-political science into technical solutions for disease diagnosis and management: addressing externalities for enhanced public health outcomes.

This title sets the interdisciplinary frame for the whole thing. We build into the project a core component of socio-economic-political science in relation to externalities. We have the technology development, particularly in relation to the interface, whether it be wildlife, whether it be public health, or zoonosis

diagnosis. It's really trying to wrap this thing up so we're beginning to understand pathways and where things come from and go to.

A good example would be vaccines coming out of these management tools in relation to those sorts of pathogens that we see as a potential risk. The issue is that communities are becoming more resistant to things like vaccines because people are not involved a lot in the decision-making process, and the intervention is being imposed upon society in many ways through the political process. The community-based approaches are a key element to determine what is actually needed, and what is acceptable to societies. There's always this danger with medicine which is a bit like developing weapons against microbial nature, and there's an industrial complex that goes with these developments of drugs and vaccines. The socio-ecological systems research framework is a principle that would be very good, and we need pilot sites, from high to low income settings because they provide very different contexts. A five-year time frame, perhaps with a budget of 10M€. Isn't that modest?

#### Group 2 feedback

**Title:** Consortium to understand and mitigate public health threats that emerge from accelerating environmental changes in the tropics

It focuses on public health but is strongly linked to issues related to wildlife, livestock and ecosystem changes. We're looking at an initial period of 10 years potentially, maybe eight, with 12M€ of funding, followed by a 20-year implementation period including monitoring and real-time actions with further funding of potentially 20M€ or more. The focus here responds to the needs on the ground, including addressing the impacts of encroachment and habitat loss. A big element of this is understanding the social elements of why there is loss, how people are modifying their environment and why and what the economic, social and policy drivers are for habitat loss at a national level, but also how communities manage themselves with potentially unwritten policy at another level. Governance will be at all those multiple geographical scales. Secondly, this consortium would have a very specific focus on the biology of the pathogens at these encroached interfaces and a focus on wildlife, livestock and humans and the broader environment in which all of those things sit. We would have to deconstruct those parts of it much more before we would get the funding obviously. This is within a context of very strong Data Systems that support decisions and with real-time policy feedback: tinkering with developing policy interventions at different scales and testing those policy interventions to see what real world impact they have, which is why the timescale is so long, and then altering that policy very proactively to make sure that it's working in the most beneficial way. This speaks to the priorities of national governments which signed up to the priorities of Africa CDC, WHO, WOAH, UNEP and FAO through the OHHLEP mechanism in particular.

Following these two presentations, participants emphasised the need for local scientists to be promoted and supported when they are working on important topics. This is essential to improve countries' abilities to manage the risk of pathogen emergencies in wildlife and transmission at the human-animal-ecosystem interface whilst considering the protection of wildlife. This was linked again to the need to build collaborative interdisciplinary environments (including researchers, practitioners and civil society members; local and

international scientists) to implement research on the Biodiversity-Pandemics relationship. The comparative advantage of comparing countries in which land conversion has largely happened (e.g., India) and countries in which it is happening (e.g., most African countries) was also noted.

#### Session 5: Wrap up and next steps

Finally, during the last session, after thanking the participants for their time and involvement, the following comments summarising the discussions were made by two members of the EWG:

- In terms of policy, good governance is a key.
- In terms of knowledge gaps, the participants suggested many approaches and methods such as community-based, risk-based and theory of change.
- One of the things that really came up in both the policy as well as the research gaps was a focus on bottom-up approaches and having local communities being more involved to avoid top-down approaches.
- We found the social sciences were being brought in not just by the social scientists in the group.
   Recognizing the importance of a better integration of social sciences to address some of the biggest knowledge gaps is necessary because what's happening at the biological level cannot explain everything.
- We also heard the need to have more concrete policy suggestions. Our policy suggestions were very broad, although this did come from our scoping review of the scientific literature.
- There was a little bit of disagreement with the prioritisation of the policies. This comes back to the tension between a trend towards the need for broad transformational transitions at global level versus the need to work more at a local scale
- We noted the tension between the need to use monitoring and predictions in early detections to follow what's happening and to be able to react quickly versus the need to take into consideration overarching recommendations of conservation and food systems transformation in order to make the systems more resilient at the root. These two threads have to work in parallel.
- The project sessions integrated the idea of local chain, local involvement and local context but also bringing in a more global vision. Some of these tensions can be resolved partially when we put things into practice because it seems one can't do one without the other.

After the participants were offered a moment to reflect back on the FGD during which they thanked the facilitator and the organising team for a short but efficient workshop, then Serge Morand closed the meetings.

# 5. DISCUSSION AND RECOMMENDATIONS

The Expert Working Group (EWG), established by the Eklipse in June 2022, worked for a year and undertook a number of different studies aimed at synthesising the current state of knowledge in the field of the relationship between biodiversity and pandemics and identifying the most important research gaps in this field. The group consisted of scientists with relevant expertise in the natural, biomedical and social sciences, and the methods employed included studies of the scientific literature (both published and grey literature), existing funding schemes/initiatives, as well as studies involving external experts. In this way, the EWG managed to close the gap between the published research - which by its very nature is delayed in terms of reflecting the current research frontier of a given field - and the projects currently carried out by scientists working in the field of biodiversity and pandemics. The EWG put effort into contacting external experts from a variety of disciplinary and geographic contexts, reflecting the vast array of approaches and methodologies used by scientists working in the field of biodiversity and pandemics and pandemics and achieving the global perspective in its synthesis.

Thanks to this combination of methods and attention to inclusive diversity, the results obtained can be considered robust and as representative as possible for the past and ongoing work on the relationship between biodiversity and pandemics.

The EWG focused on identifying and prioritising research gaps, while also collecting evidence on, and validating, policy recommendations for the interface of biodiversity and pandemics. Thus, the results obtained are relevant both for science policy (i.e., funding and organisational policies in scientific research) as well as for general policies important for the wider society outside of science. In this discussion, we first discuss the research gaps and science policy recommendations, before we move to discussing general policy recommendations.

#### RESEARCH GAPS AND SCIENCE POLICY RECOMMENDATIONS

Pandemics are global events by definition. Biodiversity is the basis of Life on earth that supports life systems, at the source of the success of human societies even if biodiversity has remained an externality in economic systems. Biodiversity is ubiquitous and not concentrated in protected areas. By definition, biodiversity hosts a large diversity of pathogens, mostly unknown, including the ones with a pandemic potential. However, the relationship between biodiversity and pandemics focuses on local epidemiological events (e.g., pathogen inter-species spillover) that can trigger or not an amplifying transmission process in domestic animals or humans leading to a panzootic (i.e., animal pandemic) or a pandemic. These events or spillover happen between individuals, are local and extremely difficult to predict. The drivers of spillover events are themselves a mixture of global and local drivers: the most commonly cited global drivers are globalisation, including of food systems and the associated movements of animal and animal products, the transformation of natural habitats into agricultural land (i.e., land-use change) and human-induced climate change; local drivers are poverty, poor health services (both for animals and humans) and local practices embedded in

value systems managing risks differently than what risk-management requires in a global (i.e., ultraconnected) world. Global drivers strongly influence local drivers. **Therefore, the relationship between biodiversity and pandemics has the peculiarity of being an issue of global concern that is defined by smallscale events crafted within local contexts but influenced and impacted by global and local drivers**. The relationship between biodiversity and pandemics, once framed as simple biomedical problems with straightforward solutions, actually has all the properties of a wicked problem embedded in complexity.

The Gordian knot of the relationship between biodiversity and pandemics is therefore the understanding of spillover events between wildlife - part of biodiversity and the source of a diversity of pathogens - and species of interest (i.e., target species in Haydon et al. 2002) that can be human or domestic animal populations in given ecosystems. These spillover events occur at the so-called wild animal / domestic animal / human (W/D/H) interfaces. The socio-ecology of pathogen transmission at the W/D/H interfaces is therefore an important field of investigation lying at the meeting point between several heterogeneous scientific domains spanning natural, biomedical and social sciences (de Garine-Wichatitsky et al. 2021). Characterising and understanding such interfaces is a challenge because they are dynamic, constantly evolving and adapting to the changing local contexts impacted by global and local drivers (Caron et al. 2021). There is, therefore, a need for more understanding of W/D/H interfaces in order to be better prepared to prevent spillover events or to detect their first signs. Studying W/D/H interfaces is needed in different contexts but also longitudinally over time. There is a need to understand i) how host and non-host populations adapt to changing W/D/H interfaces; ii) the consequences that these changes have on different pathogen epidemiological dynamics; iii) the risk of spillover at these interfaces; iv) how to assess the pandemic potential of a given spillover event; and finally v) to study processes at multiple spatial scales simultaneously to understand emerging threats and properties when translating from one scale to the other. These "interface" studies cannot be pure biomedical studies, as many have been, without missing crucial information and producing biased and incomplete knowledge.

The main finding with regard to research gaps is the need for a transdisciplinary science approach at the interface between human/anthropogenic and natural/wild environments, combining on equal terms the social and natural science methods and insights. While the broad knowledge of ecology and other relevant natural science disciplines is crucial, comprehensive study of human-biodiversity interfaces is not possible without social sciences, e.g. anthropology, playing a major and autonomous role. Characterising the dynamics of pathogens and risks of emergence at the biodiversity-society interface, especially in ecosystems in which W/D/H interfaces exist, is urgent and requires major scientific efforts. The need to better understand spillover processes, and the biodiversity-spillover relationship from an ecological perspective (behavioural ecology, community ecology, disease ecology) is met by the need to better understand the interdependent social processes (human behaviour, value systems, economics) that create and influence the intensity and frequency of contacts between species at these interfaces.

There is an increasing recognition across funding sources of the need for interdisciplinarity and particularly the inclusion of social sciences, and to a lesser extent the humanities, in research relevant to Biodiversity and Pandemics, with an increasing number of funding calls requiring their integration. However, even within this

context, social sciences and humanities are largely relegated to supporting projects grounded in the natural sciences. Similarly, an increasing number of funders encourage or require the participation of local communities and civil society in research projects. It is crucial that the need for better and more intense inclusion of the social sciences in the field of biodiversity and pandemics is emphasised on both sides of the aisle: these concerns have been voiced in both natural and social science journals, and by both natural and social scientists. The full breadth of the social sciences - anthropology, sociology, political science, economics, history, and archaeology - are needed not only to successfully communicate with local communities living at the potential biodiversity - spillover interface, but also to understand the mechanisms of past, present and future disease emergence in the context of colonialism, political ecology, market dynamics, and extractive economies. To formulate truly transformative pandemic-prevention and preparedness policies, as we argue below, the research and policy communities need to understand and consider the broader social context in which these interfaces are created and in which they operate, in order to address not just the results, but the causes of spillovers and resultant health crises. This means more recognition of justice regarding indigenous knowledge systems that have captured largely untapped knowledge on the relation between biodiversity and health through centuries of living in/with biodiversity.

Thus, the EWG recommends problem-led approaches to research in which combine both the natural and the social sciences. Currently, research projects in biodiversity and pandemics are led and dominated by natural scientists. Generally, if social scientists are included, this occurs later on in the design or the research process and does not guarantee that the insights from the social sciences are properly incorporated and improve the final result. Hence, we recommend that funding calls in the field of biodiversity and pandemics be announced in both the natural and the social science contexts. Moreover, they should specifically name several social science disciplines that may or should be involved (e.g., anthropology, sociology, political science, economics, history, and archaeology). Such calls should still require the involvement of natural scientists and vice versa. Furthermore, the need to co-design research on the relationship between biodiversity and pathogen emergence with local stakeholders requires an expertise in engagement engineering or participatory sciences, which are found within the social sciences. A post-normal approach to research needs to be adopted, using research-action methodology and transdisciplinarity, and embedded in interdisciplinarity from both the natural and the social sciences. We advocate, therefore, for a framing of research studies aiming at understanding the relationship between biodiversity and pandemics in a systemic framework, using theory of change tools, understanding that spillover events occur in complex socio-ecological systems. Beyond the interdisciplinarity required, project engineering should ensure transdisciplinarity to integrate indigenous knowledge systems in the design, framing, implementation and monitoring of research, ensuring that the research objectives are understood, accepted and shared by all stakeholders.

This recommendation has several implications for project design and implementation. Firstly, this means that donors cannot expect a project to be framed in detail before local stakeholders are engaged (i.e., during an inception phase). Secondly, it is essential that external researchers do not neglect local health realities and only target global benefits without taking into account local concerns. Due to their importance at the local level and learnings for disease ecology in general, relationships between biodiversity and neglected endemic diseases should also be the target of such projects, regardless of whether these diseases have pandemic

potential. Thirdly, it means that the multi-stakeholder co-design of projects requires more time and resources than traditionally allocated for research projects: funding should be provided that allows proper and continuous engagement with local stakeholders. Projects with a life-span of 3 or 4 years cannot achieve significant objectives in this domain. Meaningfully addressing research gaps on the relationship between biodiversity and pandemics will require long-term, well-funded, interdisciplinary and transdisciplinary, approaches, possibly implemented in a stepwise manner (e.g., 5 plus 5 years, or 10 plus 10 years). Fourth, projects could build on and/or strengthen existing initiatives, especially in most highly-impacted and at-risk regions, mainly in the tropics. Finally, it requires that social scientists specialised in participatory sciences should have a leading position in any project to ensure the transdisciplinarity dimension. This new project engineering, already tested in several contexts, should ensure dimensions of justice, including procedural justice (e.g., making sure all stakeholders participate in decision making), recognition justice (e.g., encompassing different worldviews of the problem and recognising indigenous knowledge systems) and distribution justice (e.g., making sure the research benefits all) and should prevent replicating injustices when Northern hemisphere science is implemented in LMICs to the benefit of Northern hemisphere societies without any concern for local issues.

The expert consultation reported also more specific needs for research as:

- the identification and analysis of the economic, social and cultural drivers of human-animal interactions that increase risk of zoonotic pathogen spillover.
- the investigation of long-term / historical political and economic context shaping current behaviour (i.e. anthropology)
- the necessity to develop diagnostic tools and integrated data management related to pathogens carried by wildlife

From a geographical perspective, hotspots of emerging infectious diseases have been identified mainly in tropical regions (mainly Africa and Asia) (Jones et al. 2008) Recent outbreaks of EIDs have confirmed this prioritisation. Few European studies on the relationship between biodiversity and pandemics were identified by our scoping review. This observation agrees with existing literature indicating that Europe is not the most at-risk continent for the spillover of a pandemic pathogen at a W/D/H interface. This of course does not mean that Europe is not at risk of emergence of serious EIDs (albeit a priori not with a high pandemic risk), particularly the expansion of vector-borne disease in the context of changing climate and global travel, or that Europe could not be impacted by major pandemics and should not prepare for these scenarios (i.e., pandemic preparedness and response). It simply states that Europe should not be the main area of concern for the emergence of new pathogens with pandemic potential and that research comparing European contexts with other more at-risk contexts could be interesting. While Europe should not be the main focus for research on biodiversity and pandemics, the EWG recommends that as with projects based elsewhere, those with a European aspect should involve community co-designed field research.

#### **GENERAL POLICY RECOMMENDATIONS**

- Transformative vs incremental pathways: in the context of the current global environmental crises (climate change and biodiversity loss), the health of humans and non-human animals are at stake. The root causes of these crises are known: human activities across the globe, driven by the unsustainable use of resources (fossils and natural), land transformation for pure extraction or agriculture, and food and consumption systems based on economic models in which biodiversity is not accounted for (i.e., an externality). As these crises take hold, the zoonotic spillover and pandemic risks increase. While the effects can be global, the causative mechanism is local through the expansion and evolution of W/D/H interfaces. Based on this situation, there exists two non-exclusive and potentially complementary modes of action. The first one is to be reactive. This incremental pathway requires policies "as usual" trying to manage and mitigate the risks of spillover at W/D/H interfaces. This includes promoting a better understanding of pathogen dynamics at W/D/H interfaces, modelling inter-species pathogen transmission and trying to predict where surveillance could be the most efficient at detecting spillover events as soon as they happen.
- The second mode of action relates to the need for systems transformation, targeting the underlying drivers of spillover at the W/D/H interfaces. This is a far more complex and wicked path but that allows one to be proactive in relation to the risk of pandemics. During the FGD, participants found our policy recommendations too vague and ambitious and therefore not acceptable for policy makers. The EWG agrees that according to the first mode of action presented, this is probably true. But the current global context presented above calls for paradigm shifts in policy thinking and decision making. Both modes of action are probably necessary but the balance should shift more and more towards transformative policies that increase resilience against zoonotic spillover and pandemic emergence rather than incremental pathways that only seek to manage or control risks after spillover has occurred. In other words, one can always try to predict pathogen spillover at W/D/H interfaces and this is necessary, but without trying to mitigate risky human influences on natural habitats hosting biodiversity and the transformation of food systems so that they don't act as pathways and amplifiers for "wild" pathogens, the risks of pandemics will always increase and their occurrence will remain largely unpredictable.
- The role of the social and humanities sciences needs to be framed differently in policies related to integrated approaches to health (e.g., One Health, EcoHealth), especially when investigating the relationship between pandemics and biodiversity. If inter-species spillover events can be characterised and understood by natural and biomedical sciences, the global and local drivers as presented above are anthropogenic. It is the social, cultural, economic and political contexts at various scales that shape the environment driving people's perceptions, values and behaviours leading to risk of pathogen exposure and spillover at W/D/H interfaces. Without this dimension of W/D/H interfaces, management and mitigation measures will not be adapted to local socio-cultural contexts and will miss their impact. This aspect has not received the attention required by policymakers and funding agencies.

- Inter- and transdisciplinary approaches to understand local contexts will provide more insight into the complex linkages of the relationship between biodiversity and pandemics. Therefore, global efforts spanning several of the listed items should be more impactful than projects focusing on a couple of items.
- As pandemics start by local spillover events at W/D/H interfaces, community-based knowledge systems should be better recognised in policies on the relationship between biodiversity and pandemics. These policies should make sure that they don't further compromise social and environmental justice with the justification of working for the benefit of global health security.
- Integrated approaches to health such as the new One Health approach as defined by OHHLEP require better inter-sectoral governance between ministries, nations and international organisations. This governance should be supported by adequate policies that provide the institutional and legal framework to implement a top-down approach which is necessary to manage the relationship between biodiversity and pandemics at national and international scales. This top-down approach should be combined with the community-based bottom-up approach presented above. Policies should also provide for training the future OH workforce with the necessary interdisciplinary skills and competencies.

The expert consultation also reported more specific needs related to policy design, as follows:

- Better consideration on how local production of specific commodities can be improved.
- Creation of a wildlife health agency integrated with human and domestic animal health.
- Prioritisation of wildlife and ecosystem health.
- Recognition of the constraints, especially political and economic, that prevent people from changing their behaviours even when the risks are known.
- Create systems to prioritize research needs that are fed into funding mechanisms.

# 6. CONCLUSION

In this report, we have set out to identify and prioritise research gaps related to Biodiversity and Pandemics and to tie these to policy recommendations using literature-based methods, initiative scoping, and peoplebased methods. The relationship between biodiversity and pandemics is complex, encompassing a range of disciplines and local to global spatial scales over long time periods. At the most basic level, pandemics begin with a local spillover event and these are most likely to occur at the wild animal / domestic animal / human (W/D/H) interface, particularly within biodiverse areas, which are generally within low and middle-income countries (LMICs). Understanding these dynamic interfaces requires inter- and trans-disciplinary research embedded in a systemic framework that gives equal footing to the social sciences and natural sciences and equal emphasis to social and biological drivers of interactions at these interfaces. Further, effective research demands a truly participatory approach, integrating indigenous knowledge and involving local stakeholders in research prioritisation, design, and implementation. Finally, this means reimagining research as a much longer-term endeavour beyond 3 - 4 year projects, with projects continuously building on and strengthening each other.

The Covid-19 pandemic has had a profound impact on the world. Yet now, over three years since it began and as the worst effects appear to recede, the world risks slipping back to complacency and business-asusual without addressing the underlying factors, namely the anthropogenic degradation and encroachment of natural habitats and increasing contact at the W/D/H interface, driving pathogen spillover that may lead to the next pandemic. This moment presents an opportunity to make transformational change to address these drivers by addressing the main underlying drivers of spillover and disease emergence: land-use change, and climate change, while poverty, and inadequate health services exacerbate their spread. Although this may appear aspirational, it is the only way to build resilience to prevent future disease spillover and pandemics, rather than merely attempting to manage and mitigate largely through small, piecemeal reactive actions. By addressing the root causes, we may prevent the next pandemic and, in doing so, preserve biodiversity while safeguarding the health of our planet and its inhabitants.

#### Draft evidence report - Biodiversity and Pandemics June 2023

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# 8. ANNEXES

### ANNEX 1: KEYWORDS FOR SCOPING REVIEW ON BIODIVERSITY AND PANDEMICS

Term	Keywords
General keywords related to disease and pandemic	Disease; infection*; outbreak*; epidemics; spillover; emerging; infectious disease; zoonotic disease; zoonoses, vector-borne diseases; cross-species disease; pathogen transmission; human-animal interface; disease spread; disease emergence use with "AND"
General keywords related to policy	Science-policy interface; European research; IPBES; Network of knowledge; conservation policy; sustainability; ecosystem disservices research; ecosystem service research; biodiversity research; social-ecosystem system use with "AND"
Biodiversity loss	Biodiversity; biodiversity and human health; biodiversity loss; disease ecology; disease reservoirs; ecosystem health; ecosystem service; dilution effect; disease amplification; amplification effect; community structure; Host population threshold; critical community size
Agro biodiversity	Agricultural biodiversity; agrobiodiversity Index; food market;, consumption; conservation; seed systems; neglected species; fish richness; soil microbiome
Habitat fragmentation	Deforestation; afforestation; forest fragmentation; habitat fragmentation; roads; edge effect; forest edge; suburban edge; logging; logging roads

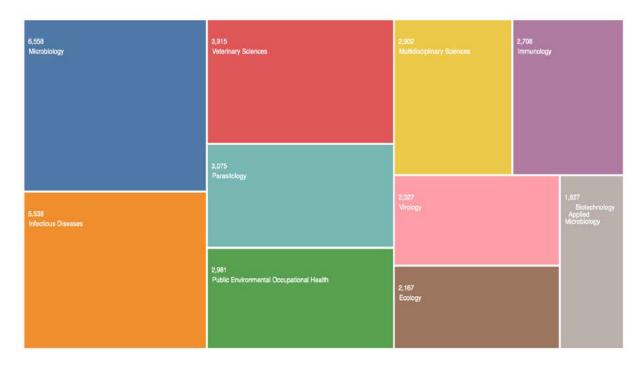
Bushmeat and wild animal trade	Bushmeat preparation; butcher*; bushmeat; bushmeat handl*; poach*; trophy hunting; wild meat; game meat; illegal animal trade, illegal wildlife trade, wildlife trade, animal traffic, wild animal trade, wild* supply chain; wet market*; fur trade; bushmeat market; traditional medicine; bushmeat consumption; bushmeat vendors; illegal meat; bushmeat bans wildlife farm*; game farm*; ecotourism; wild animal farm*;
Land-use modifications	Land use change; agricultural land; land conservation; cropland; agricultural expansion; plantation*; agriculture intensification; industrial agriculture; rapid infrastructure expansion; mining; pasture; concentrated animal feeding operation; livestock; cattle rearing; ranch*; livestock wildlife interface; livestock production; poultry; pig*; pastoralism; isolation
Climate change	Environment change, climate change; global warming, flood*; climat*, desertification; global temperatures; severe events; rising seas levels

#### ANNEX 2: PRELIMINARY LITERATURE SEARCH

Search string:	((((((((((((((((((((((((((((((((((((((
Database searched:	Web of Science
Search timeline:	From and including the year 2000

#### Search results

# Total number of articles found: 38340



Number of articles published from and including the year 2000: 36470

Draft evidence report - Biodiversity and Pandemics June 2023

#### Articles classified by languages:

Language	Number of articles
English	35778
German	213
Spanish	133
French	97
Portuguese	55
Polish	50
Russian	29
Turkish	26
Hungarian	16
Chinese*	15
Italian	10
Czech*	9
Indonesian*	7
Korean	7
Greek	6
Japanese*	6
Dutch	4
Ukrainian	3
Lithuanian*	2

Croatian*	1
Serbian*	1
Slovenian*	1
Unspecified	1

Draft evidence report - Biodiversity and Pandemics June 2023 ANNEX 3: ETHICAL APPROVAL - UNIVERSITY OF STIRLING

Draft evidence report - Biodiversity and Pandemics June 2023



University of Stirling Cottrell 3B1 Stirling FK9 4LA

06/04/2023

Dear Nils

Ethics Application Form : Policy relevant knowledge needs on Biodiversity and Pandemics 13714

Thank you for your submission of the above ethics application.

The ethical approaches of this project have been approved and you can now proceed with your project.

Please note that should any of your proposal change, a further amendment submission will be necessary.

If you have any further queries, please do not hesitate to contact the Panel by email to ethics@stir.ac.uk Yours sincerely,

General University Ethics Panel

**ANNEX 4: ETHICAL APPLICATION** 

Draft evidence report - Biodiversity and Pandemics June 2023

# UNIVERSITY of STIRLING



# **Ethics Application Form**

re you a memb	per of staff, a pos	stgraduate research student, a post	tgraduate taught student or an undergraduate student?		
☑ Staff					
Postgrad	duate Research Student				
	uate Taught Stud	lent			
Undergra	duate Student				
lease enter you	ur job title	Professor	•		
pplicant details					
irst Name	N.U.				
ISINAINE	Nils				
isthame	INIIS				
	Bunnefeld				
	Bunnefeld	atural Sciences			
umame	Bunnefeld	atural Sciences			
urname ivision	Bunnefeld	atural Sciences			
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urname ivision	Bunnefeld Faculty of N				
urname ivision aculty	Bunnefeld Faculty of N	atural Sciences			

Type of application

27 January 2023

Does your application involve any of the following?

- A new project with Human participants
- A new project with Animals
- □ A project that has already received ethical review
- □ An application or an amendment to a Project Licence
- None of the above

#### Additional factors

- Does the proposed project involve reproducing copyrighted work in published form (other than brief citation)?
- Does the proposed project involve activities which could temporarily or permanently damage or disturb the environment, or archaeological remains and artefacts?
- Does the proposed project involve a potential conflict of interest or raise ethical issues regarding the source of funding or where the publication of research data may be restricted?
- None of the above

#### **NHS Invasive or Clinical research**

If your project involves NHS patients, staff, data or premises we would recommend using the HRA Decision Tool to determine whether NHS Research Ethics Committee approval will be required.

Please indicate those that apply to your study or select none of the above

- Requires approval by an NHS Research Ethics Committee (REC)
- □ Requires approval ONLY by NHS Research and Development (R&D) with an IRAS form
- □ Requires approval ONLY by NHS Research and Development (R&D) no IRAS form is required
- □ Health care settings (in the UK or overseas)
- Clinical trial or an investigational medicinal product
- Clinical investigation and/or study of a medicinal product
- □ Human tissue samples or other human biological samples
- □ Imaging investigations (MRI, ultrasound)
- □ Physical examinations (blood pressure, pulse, respiratory rate)
- □ Physical tests (other than EEG, BioPac, fNIRS)
- Computer tests where there are potential health consequences (dementia, sleep apnoea, depression tests)
- Filming or photography (as part of a health research study or in a health setting/context)
- □ Sample-taking (urine, blood, hair, muscle biopsy)
- □ Ingestion of substances, fluids or alcohol
- □ Health related questionnaires, surveys or interviews where there is the potential to diagnose new health related conditions.
- ☑ None of the above

**Project details** 

Please enter the short title of your project (max 200 characters)
Policy relevant knowledge needs on Biodiversity and Pandemics
Please enter the full title of your project
Policy relevant knowledge needs on Biodiversity and Pandemics
Staff - If you have a Worktribe record for this project please make sure the titles are the same.
Please add the Worktribe reference for this project
Are there collaborators involved in the study?
IF Yes □ No
You will be asked to add details of your collaborators later in the form.
Funding details
Project funder
European Commission
Please enter the type of funding
Government Funding
Project duration
Project start date

01/02/2022

Project end date	
31/08/2023	
If different from the project	t start and end dates
Expected start of data coll	ection
01/03/2023	
۲.	
Expected end of data colle	ection
30/04/2023	
ι	

# Health and Safety

If the proposed project poses any particular physical risks to the researcher(s) or research participants a risk assessment must be signed off by your supervisor or line manager prior to commencing fieldwork.

Has a health and safety risk assessment been successfully completed?

- □ Yes
- Not applicable
- In progress

# **Project description**

Please provide a summary of your project (~half page, one page maximum) describing the topic, and main objectives, a summary of your proposed methodology (e.g. fieldwork, experimental procedures, surveys, interviews, focus groups, standardised testing, video or audio recording).

#### Topic

The COVID-19 crisis has revealed how fragile and vulnerable our societies are to pandemics and how challenging informed political and policy responses become when faced with such an emergency. The potential risk of zoonoses linked to unprecedented land degradation and conversion, unleashed consumption of natural resources, increasing livestock production, and acceleration of biodiversity loss had been identified and did not come as a surprise to the scientific community. The pandemic has revealed a broad range of science-policy challenges and knowledge gaps. Addressing these will better prepare us for the next crisis that emerges. The evidence needs to focus on improving our understanding and application of the science of pandemics to optimise coordination and coherence across policy sectors, building better resilience and response strategies (proactive and reactive approaches) in the context of the interface between Biodiversity and Pandemics. The knowledge synthesis process is overseen and facilitated by Eklipse. Eklipse was established in 2016 to help governments, institutions, businesses, and NGOs make better-informed decisions regarding Biodiversity in Europe. Eklipse was granted additional funding by the European Commission, under the Horizon 2020 Green Deal Call, as part of the EU response to the COVID-19 pandemic to answer policy-relevant needs for evidence related to Biodiversity and Pandemics. One of the evidence needs identified by a cross-sectoral group consisting of policy and science actors a consortium of policy relevance, wide-scale relevance, cross-sectoral approach, no duplication, and ethics was ensured, an Expert Working Group (EWG) was put in place to answer the need for evidence. The EWG was constituted by self-nominated experts through an open call disseminated widely through networks and social media, ensuring the cover in terms of disciplines as well as gender and geographical balance.

#### Main aims/objectives

1. Rapidly reviewing and summarising the current state of evidence and knowledge as reflected in peer-reviewed articles, reports from organisational websites and grey literature on the topic of Biodiversity and Pandemics via a scoping review.

2. Synthesising knowledge on the ongoing research initiatives related to the topic of the relationship between Biodiversity and Pandemics based on data collected by the Eklipse Scoping Group.

3. Contacting a large number of outside experts working on the topic of Biodiversity and Pandemics to validate and extend results collected in the first two steps and to prioritise research recommendations related to identified knowledge gaps via an online survey, targeted expert consultation, and a focus-group discussion.

#### Methods

The University has a number of pre-approved protocols for common research scenarios. If you will be following one of the University's

#### protocols please indicate which one here.

To achieve the objectives formulated above, we are following three approaches:

1. Literature-based method, scoping review to summarise the current state of evidence and

outline the knowledge gaps and address objective 1.

2. An Initiative scoping to analyse and summarise the current research recommendations relevant

to "Biodiversity and Pandemics" and address objective 2.

3. People-based methods (online survey-based expert consultation, and at least one focus group) to consolidate and validate results on knowledge gaps obtained from methods 1 & 2 and prioritise the knowledge gaps and research recommendations, thus addressing objective 3.

These methods will be conducted in parallel, with an effective delayed start of the third method, in order to take into account the results of the first two methods (scoping review and initiative scoping) when formulating the questions in the online questionnaire (first of the two methods used for the objective 3). The use of the three approaches helps provide a more comprehensive answer to the request than a single method.

Please be aware that if your methodology changes during your research, it is your responsibility to submit an amendment to your approved application. See the Information button for further advice.

#### **GDPR**

Applicants must confirm that they have read and understood the University's guidance on GDPR and that the necessary steps have been considered to protect the data of your participants.

#### Review the University's guidance on GDPR

I have read and understood the University's GDPR guidance

Please indicate those that apply to your project

- Involves children or vulnerable adults
- Involves personal data that has been obtained without the knowledge of the data subjects
- Processing of bio-metric or genetic data
- □ Large scale processing of criminal convictions or special categories of personal data?
- Processing of personal data involving new technologies or novel applications of existing technologies
- Combining or matching personal data obtained from multiple sources
- Tracking geo-location
- Using personal data in a way that could significantly affect or have an impact on an individual
- □ Jeopardising the physical health or safety of individuals
- □ Systematic monitoring of publicly accessible areas
- Profiling or automated decision-making on a large scale where significant decisions are made impacting on people
- None of the above

27 January 2023

Provide details of your participant population and the number of participants required

Include brief characteristics as well as principal inclusion and exclusion criteria.

Considering the people based-methods the following tools were chosen:

Wide expert consultation using an online survey in order to create a preliminary list of gaps in knowledge and research recommendations in a quantitative way (i.e., to get as many inputs of medium quality as possible). The online survey participants will be researchers and professionals working on the relationship between Biodiversity and Pandemics. Online adapted focus group discussion (FGD) will be organised with the objectives to validate, consolidate and prioritise the items on the lists of gaps in knowledge and research recommendations developed based on interviews/survey and the literature-based (method 1). We will exclude those under 18 from the survey, which will be done by requiring the participants to state that they are over 18 in the consent sheet, and making it clear that they must not complete it if they are younger.

We will not actively target any vulnerable groups, however it will be challenging to exclude them from the sample.

#### Describe how and from where participants will be recruited.

The online survey process will be disseminated by emailing targeted professionals with expert knowledge to ensure feedback quality. Participants will be selected using a structured approach, covering a wide range of disciplines, ecosystems and habitats and representing various organisational backgrounds and geographic regions. The list of targeted participants will be wide (with a target of between 300 and 400 individuals - the list already has more than 220 entries). In the list, contact details (name, email, city & country of residence), professional position and institution will be added with a column indicating if this participant could also have relevant experience to be involved in a focus group discussion. The list is populated from each Expert Working Group (EWG) member's existing network; other expert lists obtained through Eklipse; other working groups known to the EWG; and the academic readings and expertise of the EWG members obtained in method 1. It will include, therefore; i) Relevant persons who an EWG member knows personally (a column captures which EWG member knows this participant personally); ii) Relevant persons who we don't know personally but we "know" them (through reading articles, attending conferences etc.); iii) Authors of relevant articles that will be identified through the literature review. Attention will be given to the geographic coverage of the list that should be wide, as well as the thematic coverage (e.g., health, environment, social & sustainability sciences, as well as academic, public, private and voluntary sectors).

#### Describe where the research activities will take place

#### for example: online, in a classroom, in a sports facility

Online through zoom meetings and google forms (online survey).

#### Describe any incentive participants may receive for participation

For applications that will provide Psychology Undergraduate students with research tokens please ensure that your study follows the

Undergraduate Student Participation in Research in the Psychology Division Research Tokens Protocol available from the approved

protocol section of the website. You should make reference to the protocol in your answer here.

The participants' contribution will only be acknowledged if they select the option in the survey. In the survey, the participants will have the following options:

Be acknowledged in the final synthesis report as a participant in the survey.

Be personally contacted for the peer review of the final synthesis report.

Be personally contacted to attend a focus group to validate the results.

Be informed of the news related to the request Biodiversity and pandemics.

Be informed of any Eklipse news (open calls, outputs, events) through the newsletter.

Does your proposed study involve vulnerable groups?

Yes

✓ No

### Consent

#### Will you obtain consent from or on behalf of participants? When, where and how?

Remember to include how long you will allow participants to decide whether or not to take part.

Yes, the consent will be included as an item in the survey online form, which will be used to collect the participants' contributions.

#### How will consent be recorded?

If written consent will not be obtained, justify it here

The permissions will be recorded in the survey online form and then stored considering the standards defined in the Eklipse privacy policy and following the Eklipse privacy policy. https://eklipse.eu/ethical-framework/

### **Permissions**

If any additional consent and permission procedures are required please provide details For example, permissions to conduct field sampling or from local authorities to access schools

Regarding the online survey, with main exhical implications we face as a team are obtaining minimum and minipute any potential saues, the following measures in team of the study is miniput youlmany.         Periodipation in any stage of the study is miniput youlmany.         Efforts with mean of encourse in formation of an approximation of the potential saues, the following measures in the base of the study is miniput youlmany.         Regarding the foots groups, each focus group will have members from the same stakeholder group to avoid confrontation and encourage a confortable and safe environment. Equally, as in the case of the indust you are members from the same stakeholder group to avoid confrontation and encourage a confortable and a safe environment. Equally, as in the case of the indust you are members from the same stakeholder group to avoid confrontation and encourage a confortable and the process, and the process mail a confortable and will be used attribute of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside of the focus groups will not be used outside o	escribe any ethical	ssues and how you will mitigate them	
Efforts will be made to ensure informed consent is obtained for all participants. Information on the objectives and proposes of the research and the inforts of participants (i.e. to not take part, to remove their data) will be made available to all participants. Participants will be sept anonymous throughout the process, and their names, and any other process and their names, and any other personal data, will not be used outside of the focus group setting. Regarding the focus groups, each focus group will have members from the same stakeholder group to avoid confrontation and encourage a comfortable and safe environment. Equally, as in the case of the online survey, participants will be kept of monymous throughout the process, and their names, and any other personal data, will not be used outside of the focus group setting. In addition, core team will transcribe recordings, and only these transcriptions will be used for manys. The core team will transcribe recordings, and only these transcriptions will be used of manw, The core team will transcribe recording to specific names or personal data. Finally, nonownised data will be useduated three months after the project's end data. Finally, nonownised data will be useduated to encry team ary enters and kept for one year to allow for further analysis. Any found to encry tead ary servers and kept for one year to allow for further analysis and/or reporting to partners. For the proposed research involve the deception of participants? For Yes For No Will the proposed research involve the deception of participants? For Yes For No Will the proposed research involve concealment or covert observation? For Yes For No	Regarding the online informed consent, er confidentiality of the	urvey, the main ethical implications we face as a team are obtaining uring the anonymity of the participants, and maintaining the	
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rexample, landowners, institutions, carers and families    Yes   No	group to avoid confir the case of the onlin their names, and any addition, core team able to manage tens anyone outside of th name. The core tear analysis. Any inform removed. Recording anonymised data wil	tation and encourage a comfortable and safe environment. Equally, as in survey, participants will be kept anonymous throughout the process, and other personal data, will not be used outside of the focus group setting. In embers are all trained in aspects of mediation and facilitation and will be ns if they do arise. Recordings of the focus groups will not be used by core team, and they will be stored in an encrypted file using a code will transcribe recordings, and only these transcriptions will be used for on in the transcriptions relating to specific names or personal data will be will be securely deleted three months after the project's end date. Finally, we uploaded to encrypted servers and kept for one year to allow for	
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/ill the proposed research involve the deception of participants?   Yes  No  /ill the proposed research involve concealment or covert observation?  Yes  No  the project design emergent? e.g. will elements of the research be developed during the process of the research?		seeable narms that may be caused to participants and/or third parties	
/ill the proposed research involve the deception of participants?         □       Yes       No         /ill the proposed research involve concealment or covert observation?	□ Yes		
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	ethodologies /ill the proposed re	Image: Provide the deception of participants?         Image: Provide	search?

## Dissemination

#### How will the results from this study (include feedback to participants) be disseminated?

The results of this study will be disseminated through different channels: The anonymised results will be disseminated through the Eklipse website and social media. Also, a policy brief and a podcast will be developed. The anonymised results will also be disseminated through the institutions that are active as requesters of this evidence need. The institutions involved are: European Commission's Directorate-General for Research & Innovation (EC-DG RTD), European Commission's Directorate-General for Environment (EC – DG ENV), European Commission's Directorate-General for Agriculture and Rural Development (EC-DG AGRI), European Commission's Directorate-General DG Health Emergency Preparedness and Response Authority (EC – DG HERA), PREZODE (Preventing ZOonotic Disease Emergence), One Health High-Level Expert Panel (OHHLEP), Norwegian Veterinary Institute (NRI), Project HERA (Health Environment Research Agenda for Europe) Also, the anonymised results will be disseminated through the experts part of the Eklipse Experts Working Group working on answering this question (https://eklipse.eu/requestbiodiversity-pandemics/)

## Data collection methods

Does the proposed work involved the remote acquisition of data from or about human
participants using the internet and its associated technologies?
□ Yes
R No
Does the proposed work involve collecting or accessing records of, personal or confidential information concerning individuals?
TYes I No
Does the proposed work involve the recording of participants through the use of audio visual methods?
TYes Vo
Data analysis

The online survey form should not request more than 15 to 20 minutes for reading and contributions. Tests will be run. The target would be to get a 10 to 20% response rate which with a list of 300 to 400 targeted individuals should come to between 30 to 80 respondents. The outputs of this online survey will be consolidated lists of gaps in knowledge and policy & research recommendations (later G&Rs) that will be synthesised by the EWG and the first layer of prioritisation of the items in these lists by the participants. Most of the responses will be close-ended responses. Respondents will be asked to contribute additional G&Rs (see proposed format below). The ranking of G&Rs will be synthesised across participants to identify which G&Rs are the most prioritised. Further analysis of results will be considered, such as differences/similarities between policy makers' and researchers' responses or associations between G&Rs (e.g., ecologists tend to prioritise items X & Y when human health practitioners prioritise W & Z). The new G&Rs submitted by respondents will be reviewed by EWG and merged with existing G&Rs, or existing G&Rs will be modified, taking these new G&Rs into consideration, or they will be added as a new contribution to the G&R lists.

In the case of the focus group, the objectives will be to validate, consolidate and prioritise further the lists of gaps in knowledge and research recommendations by key individuals. This focus group, not longer than half a day (2 to 3 hours), would be an online workshop using a facilitation board (e.g., Klaxoon; Cirad has a licence) and should gather between 15 and 25 participants. Their draft structure that will need to be adapted following the outputs of the other phases of the methods could be:

First, validation phase (45'): present to the participants the Eklipse request and the process that produced the list of gaps in knowledge and research recommendations synthesised after the online survey and literature-based Method 1 (some preliminary material that should facilitate this presentation will be sent to the participants beforehand); a 30mn discussion could then engage the participants to comment these lists;

Then, consolidation phase (30'): participants will be asked to contribute to the online board stickers with new contributions to these lists.

Finally, in the prioritisation phase (60'): participants will prioritise the gaps and recommendations by interacting with the online board.

The specific structure of the focus group will depend on the results from the online form and literature-based method (scoping review) and the number of external experts who agree to participate. The virtual format will increase the potential number here, and we have a professional zoom platform to enable multiple break-out rooms. We will take a professional approach to these sessions with experienced facilitators.

The final output of the entire process will be the prioritised lists of gaps in knowledge and research recommendations, synthesised and commented on by the EWG. Workshop participants will contribute in

writing through "post-its" allocated on the board, responding to the different questions prepared by the

EWG. One or two members of the facilitation team will take notes, and the discussions will be recorded after the consent of the respondents.

# Data storage

### Briefly describe the methods of data storage

The information will be stored in secured folders, considering the Eklipse Ethical Infrastructure. https://eklipse.eu/ethical-framework/

# **Conflict of interest**

Does the principal investigator or any other investigator/collaborator have any direct personal involvement (e.g. financial, share- holding, personal relationship etc.) in the organisations sponsoring or funding that research that may give rise to a potential conflict of interest?
⊡ Yes ⊽ No
Internal collaborators
Please enter details of University of Stirling co-applicants
First Name
Sumame
Division
External collaborators
Please add details of any external co-applicants
First Name
Marie
Surname
Vandewalle
Organisation
Eklipse and Helmoltz Centre for Environmental Research, Germany
Documents

The University provides a range of template documents. We would strongly recommend that you use the templates that are available on the research ethics and integrity website.

#### Please upload your participant information sheet(s)

				Documents				
Туре		Document Name		File Name	Version Date	Version	Size	
Participant sheet	information	Staff_PGR Participant Information Sheet		Staff_PGR Participant Information Sheet.docx	26/01/2023	1	75.8 KB	
Please up	bload your cons	ent sheet(s)						
				Documents				
Туре	Document Nar	ne	File	e Name	Version Date	Versior	n Size	
Consent Form	(TEST) Eklipse Pandemics - Go	Survey - Biodiversity and oogle Forms	`	ST) Eklipse Survey - Biodiversity and Pandemic oogle Forms.pdf	s 26/01/202	3 1	465.1 KB	
Please up	Please upload copies of recruitment material(s)							
Please attach copies of questionnaire(s), interview or focus group guides								
If relevant, please attach copies of debrief information								
lf relevant	t, please attach	other documentation						

Signing the form will lock the form and prevent further editing. If you choose to unlock the form all signatures will be invalidated and requests will need to be made again.

Please sign your application

Signed: This form was signed by Nils Bunnefeld (nils.bunnefeld@stir.ac.uk) on 27/01/2023 14:46

# ANNEX 5: LIST OF PARTICIPANTS TO THE FOCUS GROUP

The following table summarizes the names, position and expertise of the participants.

Title & Name	organisation	position
Dr. Bernadette Abela-Ridder	WHO	Team leader, Neglected tropical diseases
Assoc.Dr Nuket Bilgen	Ankara University, Faculty of Veterinary Medicine, Genetics Lab	
Prof. Andy Dobson	Princeton University	
Prof. Eric Fèvre	ILRI / Uni. Of Liverpool	Researcher
Dr. Amanda Fine	Wildlife Conservation Society	Director of One Health, Health Program
Dr. Francis Gakuya	Kenyan Wildlife Services	Director of field services
Dr. Richard Kock	Royal Veterinary Collge	Researcher
Prof. Christos Lynteris	St Andrews Uni	Professor
Rupert Woods	Wildlife Health Australia, OIE Wildlife working group	

# ANNEX 6: POINTS OF DISCUSSION DURING THE FOCUS GROUP DISCUSSION

# **Session 1: Introduction**

The facilitator welcomed the participants and after presenting a few rules of conduct gave the floor to a member of the EWG to summarise the background, objective of the Eklipse request and of this FGD. After this short introduction, participants and EWG members facilitating the FGD (in one way or another) were provided a space on the virtual board followed by a minute or two to present themselves. Prior to the discussion, the results of the survey and basic instructions on how to use the online programs and the agenda of the meeting were shared with the experts.

Participants were asked if they had any question on the objectives or the process that listed below:

• Participant question: What is the outcome that you want to achieve?

o EWG answer: the objective is to feed the strategic agenda of different EC general direction (list different DGS) as well as of other requesters.

o Serge Morand asnwer: It is important to make the EC realize the importance to work interdisciplinary and open the silos and not only work on one level but in many levels

• Participant question: What kind of pandemics?

o Serge Morand: we are here concerned by human health but also animal health, but not really plant health. As the request focuses on biodiversity, it is taking into consideration ecosystem services.

- Participant question: is it only European wide or international?
- o EWG answer: it is both.

## Session 2: Policy recommendations

The session was driven by the facilitator asking a set of selected questions. During the first session the experts discussed the policy recommendations from the survey. The discussion started with one member of the EWG introducing the topics/thematics proposed in the survey and the survey prioritisation results. Then the experts were asked to discuss the proposed policy recommendations, highlighting any surprising results, adjustments needed and important items missing. Next in order,

a discussion followed on the priorities given in the survey, captured by asking the experts what they thought the main criteria were for those priorities.

"What were your first reactions to the proposed policy recommendations?"

• Participant 1: These policy recommendations does not seem focused enough. For example, the CONSERVATION item seems an utopic recommendation. If we look at South Asia, the transformation has already happened, the encroachment story is already history. In the context of a pandemics, we have to recognize how the world is going and we are not going to stop this. It is not a reality to think that we can operate the changes requested in this recommendation. I think this is a very Western philosophy about protecting wildlife and trying to prevent the integration of humanity into nature.• Participant 2: I wanted to say, effectively, a similar thought on the food systems policy item. The idea that food systems are so well organised and that we can change something by pushing the red button is also utopic. We have a multitude of things that we should do at small scales to be more realistic in the case of policy that we want to put in place. For example, one scale could be to look at each commodity and the details of the value chains and think of the different commodities partially. We need to be "commodity specific".

• Participant 3: many of these proposals can confuse policy makers, as they are complicated. They would need more policy adaptation. It seems that we should improve some blocks for example:

1. Wildlife and environmental health is given equal priority to animal and human health in policy development relevant to human, animal and environmental health.

2. That each EU country should have a wildlife health surveillance system that is integrated into their animal and human health arrangements.

- 3. That policy development and decision making should be evidence- and risk-based.
- 4. Another policy recommendation might be that all EU countries develop an all-hazards health protection framework.

5. All EU countries agree that wildlife health surveillance data should be shared between them.

• Participant 4: I think I have a general comment across the list, which is the lack of community-led approaches to any of these items, as the others are so well spotted, and they all seem to be very topdown items, and not really interested in engaging with local communities beyond the narrow framework of either educating them or making sure that they comply with whatever the "wise" people of Europe think. So, there is a lack of bottom-up answers, with community lead approaches/community knowledge involvement and production. The assumption is that knowledge comes from the experts, not from the local communities which we know is not true especially in epidemic contexts.

"How would you go one step further and add some recommendations?

• Participant 1: I think it's important that we get the scope precise in relation to these policies because if it's too generic you know we're not going to progress. Coming back to pandemics and if we are restricting ourselves to human diseases, then we have to frame that there is a sort of top-down element to this in the context of understanding how pathogens evolve. We have these very commonly used statements about "60% of human pathogens that exist originated in animals". But actually, of those 900 odd pathogens that come from animals only about 200 emerged recently or are currently presenting a threat to human health. The rest, these 700 plus pathogens have evolved from animals and the emergence in humans happened over thousands of years. Therefore, we need to really focus our attention on how these rare but important emergence events (i.e., spillover) occur and ensure that our policies are directed towards understanding those events. In the end, solutions are with communities and how they understand and mitigate risk.

• Participant 5: I agree with Participant 1. It's important to determine what gives the ability to the organism to trigger a pandemic. Therefore, I think we have to use more genome analysis studies and determine which genes or which regions make them capable of causing a pandemic actually and in future, we might know which organisms could cause pandemic, so we can prevent it by making vaccines.

• Participant 4: I think there is a general mistake which is rather endemic to interdisciplinary discussions when social scientists are involved when it comes to policy. For example, all work social sciences are expected to do is basically behavioural science, related to risk understanding and risk averse behaviours. But in fact, as we know from actual studies and experiences in the field, this is not the most important aspect. We have endless studies that show that people are very well aware of the risks, but the political economy, the political system and the land economy will never allow them to living conditions which do not constantly catalyze actual risks for zoonotic spillovers. It's very important to have these aspects of political economy, of structural violence highlighted in the policy because here we imagining this liberal free completely economically independent individual who can take sovereign decisions on their life and on their contact with animals. This is almost never the case as we're all constrained by all these structural conditions especially in the global South. I have the feelings those recommendations are kind of addressing the global or national levels and not the local one. So maybe

there is some question of breaking down to the right level what can be done in terms of policy so that for example.

- Participant 7: I actually need the clarification so I agree with Christo. I really believe we've got so many tools and different ways of making progress and but if communities are not engaged, this is useless
- Participant 6: The recommendations are quite vague and they are lacking focus. It does seem strange that any consideration of economics is not part of these items. If we look at the COVID-19 pandemic or foot-and-mouth disease or even HIV, most of the decisions were driven by economic considerations. And even some politicians looking for profits out of the epidemics. We need to have better ways of integrating the biological information and understanding of epidemics and the understanding of the economic benefits of biodiversity in order to integrate into a stronger framework. The politicians will never listen to CITES if the economics are not backing them.
- Participant 8: I agree, we have to look at it from different perspectives. I think politics should tackle the challenge of reducing the risk of zoonotic spillover. The ecological drivers, that are well known, and that are linked to anthropogenic drivers. They are people-centred and we have to look from the perspective of land use policies and the available space for conservation and human use. I'm not seeing away where we can just approach it within one one way and it has to be holistic. If there is no consideration of alternative sources of livelihood in those regions, the people cannot somehow be empowered to change practices. Which has more impact? Zoonotic diseases or the economic resource provided when taking the risk of exposing oneself to a disease.

"What did you think of the prioritisation?"

- Participant 5: considering the origin of the COVID-19 pandemic into wildlife and the consequences associated, the wildlife being a little down in the list is not acceptable. I think it should be higher and we should have more proposed policies about wildlife trade.
- Participant 6: I am asking myself if it was not just random. A statistician would say there is no pattern here.

Participant 1: Just quickly to back up what Andy is saying. It is a pretty eurocentric view in the way priorities are falling out. Some of them aren't even priorities: for example, monitoring is irrelevant because everything depends on evidence, so you always need monitoring. The same applies to governance: we always need governance. Maybe some things may have been diluted as maybe it was not precise enough, as it includes many aspects. Another approach would be for known zoonosis, we should have a bottom-up approach because we know what it is and we want to know what reality on the ground is. When it comes to pathogen emergence, we don't know what it is, and so we need a different policy based on risk for example. As a policy, it would need maybe to have some feedback from policy actors from different levels. It would need to be reworked question by question.

In summary, participants suggested that policy recommendations were too broad as presented and needed to be simplified to provide more concrete policy recommendations for achieving broad aims. It was also noted that separate recommendations and research priorities may be needed for currently circulating versus emerging pathogens and zoonotic diseases. Participants generally agreed that the proposed policy recommendations lacked sufficient integration and reference to social sciences, community involvement, and economic and social drivers. A feedback from policy actors would probably be needed for this section.

# Session 3: Knowledge gaps/research recommendation

The second session's discussion was on knowledge gaps and research recommendations. The discussion proceeded in the same way as the first session, starting with questions from the facilitator.

"What are your thoughts related to this section?"

- Participant 1: the biggest gap would be on zoonosis diagnosis in humans. Until we get that right, it is a huge gap. The tools do not exist for community level in LMICS for example.
- Participant 3: priority "research areas" (knowledge gaps) for us would be structures to rapidly provide: 1) risk assessment; 2) theory of change (issues based and to identify research needs), and; 3) value proposition (to aid with prioritisation).
- Participant 2: there is an issue of geographical scale. Tendency to draw pictures at continental scale of risks and priorities. For example, an urban environment consists of so many different niches and complexities. This kind of knowledge has to be generated at multiple different scales simultaneously to understand how these interactions are taking

place socially, economically and ecologically. A real appreciation is the geographical scale at which there is this thinking.

- Participant 4: I will repeat myself from my comments on recommendations. What is missing is an approach which considers the broader political economic processes and forces which are shaping environments and human-animal interactions rather than just perceptions and values. And this should be surveyed/followed over a meaningful period of time rather than simply in the present. Anthropology is needed to understand how things have been shaping up in the last few decades in order for us to understand what is shaping these interactions which are not just the outcome of will or choice. The research gaps so far are very behaviorally focused. We really need proper social science and humanities involved here.
- Participant 7: First diagnostic techniques and recent studies are actually more focused on biosensors to detect microorganisms. We should have more research on these biosensors that could be really helpful for early diagnosis.
- Participant 8: at least social aspects have come out very clear as one of the main areas of
  research that you should focus on so that you can get those perspectives from
  communities and people who live with wildlife. When looking at drivers of spillover, if you
  look at social alone without the economics, then it becomes a major issue, so I tend to
  believe it should be a socio-economic approach. I also want to agree with Participant 1
  about diagnostic techniques validated for wildlife.
- Participatory 1: I think a missing research area in the list is population sciences impacts of changing demographies which determine risk of emergence and host vulnerabilities especially with human hosts.
- Participant 9: For the kind of transformation that we anticipated, it is required to address the drivers of infectious disease emergence. This really delves into the sort of cultural, economic and social drivers which the participants have just highlighted here. But I feel that it is just more than surveys but a deeper understanding, what economically and culturally is driving not only individuals but societies and industries in ways that is providing incentives to reflect on impact on the environment and biodiversity in particular. On ecology, I think in-depth studies of the ecology of these potential reservoir species link with the viral ecology and how these populations have been impacted To use the comment from Participant 1 about populations sciences more on the human side, the

same applies to wildlife populations being impacted by changes and in their environment and natural and anthropomorphic interactions with humans as well as other species.

• Participant 1: "agriculturisation", including of wildlife species is an area that deserves focal attention.

"How would you unfold it in a more relevant way?"

- Participant 9: from my experience working in Southeast Asia, you often can't take this approach at a huge scale but at a specific location or site that really ensures that you have the full complement of research expertise, the ecological, the viral, some of the diagnostic approaches as well as really taking the time to have the full complement of stakeholders involved and allowing time for that process. Also to spend the time to explore and allow feedback to come from local communities, the local administrators and the scientific community and having those of ecologists as well as sociologists and people with political and cultural expertise. The design of the research study is built on a foundation of understanding the context very deeply that allows you to start to untangle some of these very complex interactions. This means having the funding and time for the co-development of the approaches.
- Participant 3: I don't do research and our approach is very different and risk-based. We run the risk assessments, we look at the theory of change, we see what's needed and then we apply it. I am struggling to see that the actions needed at a continental scale. I just wanted to make that comment just to be careful of endlessly doing research. I would let the action drive the research rather than the research drives the action, which is a terrible thing to say isn't it? Our research is focused on developing systems on which we can make decisions because you need to take decisions regularly. We do need research strategies that can run in parallel. For the EU, you need systems to know how to get the job done on the ground. So somehow your research strategy is going to have to be agile, nimble, fit for purpose and context specific. As Participant 9 says, you need to bring that balance between activities that bring quick wins and long-term studies and how you balance that. If the purpose is to to develop and identify a strategic research agenda in order to make future action more effective, then you need those systems. For any sort of organisational structure, you will need governance, systems, documentation, capacity, capability and rehearsal. So an organisation should be interested in research to provide good governance, the good systems to identify the documentation to identify the missing capacity and capability and to help me with rehearsal and that's all about risk management.

In summary, FGD participants identified a few specific items like: the need for better diagnostic for zoonotic diseases in humans and wildlife; the relevance of the scale of studies within habitat/study site and between them in order to be able to compare them; the need for changing the way social sciences are currently "manipulated" in health study in order to give their deserved space of study; or finally the need for more population sciences for humans and wildlife. An extensive discussion went on on the relevance of research and its impacts. In terms of relevance, in-depth studies addressing the multi-scale and multi-disciplinary approaches are needed to address the complex systems in which disease and health issues occur. Then, research should be woven into risk-management systems that lead to decision and action in order to be relevant for policy makers.

## Session 4: Interdisciplinary priorities and possible projects

For the final session, participants were divided into two groups of 4-5 participants. The group members were pre-assigned and each group included participants from different disciplines to ensure interdisciplinary discussion. In this session, each group had to identify interdisciplinary research project ideas at the intersection of several research gaps and design together an interdisciplinary project taking into consideration at least 3 of the proposed research gaps. The groups were asked to provide a potential project including a title and a pitch, recommend length/amount of funding for such a project and give an example of how this could be done (ex. One Health approach). The objective of this session was to have a more concrete interdisciplinary discussion on priorities and moving from general themes to more concrete potential research projects. During the discussions, the facilitator moved between groups to ensure the instructions were clear and check how discussions went. Feedback from each group was presented by one of the experts of each group.

## Group 1 feedback

Title: Integrating socio-economic-political science into technical solutions for disease diagnosis and management: addressing externalities for enhanced public health outcomes.

This title sets the interdisciplinary frame for the whole thing. We build into the project a core component of socio-economic-political science in relation to externalities. We have the technology developing particularly in relation to the interface, whether it be wildlife, whether it be public health, or zoonosis diagnosis, it's really trying to wrap this thing up so we're beginning to understand pathways and where things come from and go to.

A good example would be vaccines coming out of these management tools in relation to those sorts of pathogens that we see as a potential risk. The issue is communities are becoming more resistant to

things like vaccines because people are not involved a lot in the decision-making process and the intervention is being imposed upon society in many ways through the political process. The community-based approaches are a key element to determine what is needed actually and what is acceptable to societies. There's always this danger with medicine which is a bit like developing weapons against microbial nature and there's an industrial complex that goes with these developments of drugs and vaccines. The socio-ecological systems research framework is a principle that would be very good and we need pilot sites, from high to low income settings because they provide very different contexts. A five-year time frame perhaps with a budget of 10M€. Isn't that modest?

## Group 2 feedback

Title: Consortium to understand and mitigate public health threat that emerge from accelerating environmental changes in the tropics

It focuses on public health but is strongly linked to issues related to wildlife, livestock and ecosystem changes. We're looking at an initial period of 10 years potentially maybe eight with 12M€ of funding and then 20 year implementation period after that with monitoring and real-time actions with further potentially 20M€ or more. The focus here responds to the needs on the ground on encroachment and habitat loss and a big element of this is understanding the social elements of why there is loss, how people are modifying their environment and why and what the economic and social drivers are to habitat loss and how policy meaning at a national level but also how communities manage themselves as a potentially unwritten policy at another level. Governance will be at all those multiple geographical scales. Secondly, this consortium would have a very specific focus on the biology of the pathogens at these encroached interfaces and a focus on wildlife, livestock and humans and the broader environment in which all of those things sit. We would have to deconstruct those parts of it much more before we would get the funding obviously. This is within a context of very strong Data Systems that support decisions and with kind of real-time policy feedback: tinkering with developing policy interventions at different scales and testing those policy interventions to see what real world impact they have which is why the timescale is so long, and then altering that policy very proactively to make sure that it's working in the most beneficial intended way. This speaks to the priorities of national governments which signed up to the priorities of Africa CDC, WHO, WOAH, UNEP and FAO through the OHHLEP mechanism in particular.

## Discussion on group work

Participant 3: (referring to Group 2): it'll be successful because it's already building on work that's underway and it can serve as a proof of concept. These are low-hanging fruits. It directly supports objective 1 of the WOAH wildlife framework. It improves the countries' ability to manage a risk of

pathogen emergency in wildlife and transmission at the human-animal-ecosystem interface whilst taking into account the protection of wildlife.

Participant 9: the process and discussion and the representation of who was here in terms of expertise was relevant to build the collaborative interdisciplinary component. Having national scientists doing the work is critical. Even if it was very brief the involvement of practitioners in the group, it built the needed consensus around these issues. It translates to how will the work actually get done, what's the framework, what's the human infrastructure for getting that done. About them on there for this feedback.

Participant 1: we have to ask questions. The focus is Africa (for group 2). Why don't we look at areas in the world where land transformation is very advanced? Why do we always go back to a continent where it's at an earlier stage of transformation. That could help to try to understand what has already happened in many other parts of the world. So for example India has the highest level of zoonosis globally and a huge population in a highly transformed landscape with a very integrated human-animal interface. We're always looking for the dark unknown whereas we should look at the obvious and try to learn what did they do, what were the societal, economic and social-cultural aspects which led to the situation with increased risk of zoonosis and in the context of emerging pathogens. It is just a question?

Participant 2: interesting thought. The pace of change and the scale of change is so vast at the moment in Africa and in sub-saharan Africa specifically that we're dealing with the time scale and the scale of change is pretty unprecedented. If we look at areas where these changes have already become well embedded those changes may have happened over a 100-year period whereas in Africa that is happening over 20 years. The implications for the people who are living with those changes are within a generation.

Participant 3: it's about going forward and what's the opportunity. Can we actually identify good people working on the ground already trying to do this because a lot of this stuff is already underway in many places all over the world, but what they don't have is the resources to either do it properly or to realise their vision or to apply the timeframe that they need to actually put the monitoring and evaluation to get the results.

Session 5: Wrap up and next steps

Feedback from EWG

After thanking the participants for their time and involvement, the following comments were made:

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- In terms of policy, good governance is a key.
- In terms of knowledge gaps, the participants suggested many approaches and methods such as community-based, risk-based and theory of change.
- One of the things that really came up in both the policy as well as the research gaps was this focus on bottom-up approaches and having local communities being more involved to avoid top-down approaches.
- We found the social sciences were being brought in not just by the social scientists in the group. Recognizing the importance of a better integration of social sciences to address some of the biggest knowledge gaps is necessary because what's happening at the biological level cannot explain everything.
- We also heard the need to have more concrete policy suggestions. Our policies suggestions were very broad although this did come from the search of the scientific literature from which these policy recommendations came from.
- There was maybe a little bit of disagreement with the prioritisation of the policies. This comes back to this tension between a sort of trend towards the need for broad transformational transitions at global level versus the need to work more at a local scales
- We noted the tension between the need to use monitoring and predictions in early detections to follow what's happening and be able to react quickly versus the need to take into consideration these big recommendations of conservation and food systems transformation in order to make the systems a bit more resilient at the root. These two threads do have to work in parallels.
- The project sessions integrated that idea of local chain, local involvement and local context but also bringing in that a more global vision. Some of those tensions can also be resolved partially when we put things into practice because it seems one can't do one without the other.

After the participants were offered a moment to reflect back on the FGD during which they thank the facilitator and the organising team for a short but efficient workshop, then Serge Morand closed the meetings with these final comments:

Serge Morand: I would really like to thank all the guests. You have permitted this very successful and useful focus group to conclude the scoping review and the survey process. Well done to the EWG and

we are now pretty sure that the requester will get much more than they were waiting for. There is still some work to be done to write the report, then for it to be reviewed on to add it to be reviewed. After that, the EWG will have to write some outcomes directly for the DG research that need them for the actual research agenda. So there will be immediately an impact of this work. Thanks to the facilitators, Estelle and Hugo. And finally thank you to all for the time spent with us. Hopefully we'll have some more.

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# Survey request "Biodiversity and Pandemics" - Identification of the key policy recommendations and knowledge research gaps -

Dear Experts,

We invite you to fill out the online survey organized by an <u>Expert Working Group (EWG)</u> in order to better understand the <u>relationship between biodiversity and pandemics</u>. This work was commissioned to <u>Eklipse</u>, an organization created in 2016 with the mission to bridge the gap between biodiversity policy and knowledge in Europe.

The survey is structured in two components: the first with policy recommendations (Section 2 of the survey) and the second with research knowledge gaps (Section 3 of the survey); both prepared based on an extensive review of scientific and institutional literature from 2018 to 2022 and a carefully crafted <u>methodological protocol</u>.

Your contribution and support will help us provide actionable knowledge that will feed into the Horizon Europe Work Program for the coming years, and the Long Term Strategic Agenda for Biodiversity.

Thank you for supporting this effort!

All the members of the Eklipse EWG on "Biodiversity and pandemics".

Completing this survey should take approximately 10-15 minutes and is open until March, 13th 2023.

# **IMPORTANT:**

- Please note that the form does not save your answers after each section has been completed. In order to continue editing your form at a later date, you will need to add text to all mandatory fields and submit the form. Once the form has been submitted, you will be emailed instructions on how to edit your application.
- Please provide us with a working email address on your form, otherwise you will not be emailed details on how to edit your form.

Enquiries: Many thanks in advance for your input to this survey. If you have any question, please contact us at: <a href="mailto:emb@eklipse.eu">emb@eklipse.eu</a>

\* Indicates required question

1. Email \*

2. Last name \*

3. First name \*

# Section 1: Eklipse privacy policy and GDPR agreement

Eklipse is a science-policy mechanism of the public interest. The lawful basis for processing your personal data under the EU's General Data Protection Regulation (GDPR) will be a public task. Our privacy policy (<u>http://eklipse.eu/privacy-policy/</u>) contains further information on the purpose and lawful basis for processing your personal data. It follows the rules of GDPR related to informed consent, anonymity, data storage, data protection and data use. If you have any question, please contact us at: <u>emb@eklipse.eu</u>

4. Please tick all boxes if you agree with the following items: \*

Check all that apply.

By answering the following survey, I agree with the collection, storage and use by the Eklipse team of the information provided by me. I retain the right to ask Eklipse to delete all my personal data at any moment. For further information: <u>http://eklipse.eu/privacy-policy/</u>

I declare that the information provided is under my own personal capacity and does not involve my affiliation's opinion.

# **Section 2: Policy Recommendations**

# **INSTRUCTIONS:**

- Before starting to answer this section, please read carefully the list of policy recommendations to identify **the three most important items** for you.
- If you think that this list has not covered an important policy recommendation, you will have the opportunity to add at the bottom of the list some items that will be considered as some of your priorities in the processing of the data. Please make sure your item(s) is well and concisely written, addresses a topic related to the link between Biodiversity and Pandemics and highlights a policy recommendation of importance for the future.

\*

# 5. In your point of view, what are the 3 most important policy recommendations (in no specific order) from the list below?

# Check all that apply.

GOVERNANCE: Promote responsible and inclusive governance systems in which policy makers take into account risk uncertainty, mitigation of environmental damage, and are accountable for bottom-up (or societal) requests

COLLABORATION: Foster intersectionality at policy and practitioner levels, interdisciplinarity at practitioner and research levels and transdisciplinarity between all stakeholders including local communities/general public at risk of pandemics, as promoted by the One Health concept

EDUCATION: Use adult and school education to increase understanding of the One Health (OH) approach and disease prevention in society and to build the future OH workforce

AWARENESS: Build and strengthen awareness in societies and government from local to global about the need for transformative changes to mitigate risks and drivers that contribute to pandemic emergence, biodiversity loss, and the depletion of ecosystem/natural resources

JUSTICE & EQUITY: Ensure that interventions in the context of pandemics and biodiversity account for and improve the situation of disadvantaged and marginalised groups within society, in particular regarding their access to health services and healthy ecosystems

VALUES: Integrate local values and worldviews in the management of health issues, including pandemic prevention, preparedness and response

FOOD SYSTEMS: Radically transform food and livestock production systems, trade, and their governance and policy, especially in their relation to nature and health

CONSERVATION: Decrease the encroachment of human activities into natural habitats and better manage landscape to combine conservation and local development objectives while mitigating the risk of emergence and pandemics

MONITORING: Develop long-term, robust, multi-faceted, open-data monitoring strategies for known and potential pathogens, infectious diseases and their systemic consequences along the anthropogenic gradient from natural to urban habitats, including pathogen genetic/genomic data, to enable prevention and early intervention against infectious disease emergence, including in post-disaster contexts

WILDLIFE: Regulate wildlife use and trade in national and international regulatory frameworks

BUSINESS: Strengthen and regulate links between business, investment and funding related to Pandemics and Biodiversity

RESEARCH: Promote and invest in interdisciplinary research on the links between Biodiversity and Pandemics

Other:
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6. <u>If you have answered "Other" above or you would like to add a comment,</u> please elaborate in the box below.

# Section 3: Research Knowledge Gaps

INSTRUCTIONS (same as previous):

- Before starting to answer this section, please read carefully the list of Research Knowledge Gaps to identify **the three most important items** for you.
- If you think that this list has not covered an important policy recommendation, you will have the opportunity to add at the bottom of the list some items that will be considered as some of your priorities in the processing of the data. Please make sure your item(s) is well and concisely written, addresses a topic related to the link between Biodiversity and Pandemics and highlights a policy recommendation of importance for the future.

# 7. In your point of view, what are the 3 most important research knowledge \* gaps (in no specific order) from the list below?

Check all that apply.

WILDLIFE-KEY SPECIES: Identify key wildlife species and their ecology and roles in infectious diseases emergence

WILDLIFE-DOMESTIC-HUMAN INTERFACES: Identify drivers of contacts between wildlife, domestic and human animals

DILUTION: Conduct more research on different contexts to investigate possible biodiversity-modulated mechanisms underlying changes to zoonotic risk from wildlife (e.g. biodiversity loss increasing or decreasing zoonotic risk)

MICROBIAL DIVERSITY: Study microbial diversity, ecology and epidemiology in nature to identify potential future agents at risk of emerging and triggering pandemics, and how this diversity changes in response to environmental change and human activities

PATHOGENS: Evaluate what characteristics of pathogens from wild animals make them most likely to cross the species barrier and spread in new hosts

DIAGNOSIS: Develop and invest in rapid and validated diagnostic tools methodologies for emerging infectious diseases in wildlife

MODELLING: Develop mathematical models regarding the links between Biodiversity and Pandemics including the impacts of environmental changes such as climate change

WILDLIFE-TRADE: Collect, integrate and make available reliable data on wildlife trade pathways both legal and illegal and their compliance with regulations

URBANISM: Identify and evaluate the risks posed by urban and peri-urban expansion and development in the context of biodiversity interactions and infectious disease emergence

SOCIAL: Apply social science and humanities-driven methodologies to understand how perceptions, values and behaviours influence human interactions with wildlife and domesticated animals, and how to mitigate the ensuing risks regarding pandemics

IMPACT: Develop integrated approaches to assess the societal and environmental impact of emerging infectious diseases, including potential prevention, response and recovery plans

ECONOMICS: Study the return-on-investment for programmes that reduce the environmental changes and the human behaviours and activities that lead to pandemics.

Other:

If you have answered "Other" above or you would like to add a comment, 8. please elaborate in the box below.

# Section 3: Next steps for the request process

Many thanks for your input to the Eklipse request "Biodiversity and pandemics" on:

"Building on existing relevant work on research agendas and knowledge gap analysis, identifying interdisciplinary research and action priorities, that contribute to a strategic research agenda on biodiversity and pandemics addressing the critical interlinkages between relevant sectors needed to make future actions more effective."

In the next weeks/months, the Eklipse Expert Working Group on "Biodiversity and pandemics" may organize experts consultations and will develop a knowledge synthesis report.

9. Please let us know by ticking the boxes below, if you would like to :

Check all that apply.

Be acknowledged in the final synthesis report as a participant of the survey.
Be personally contacted for the peer-review of the final synthesis report.
Be personally contacted to attend a focus group to validate the results.
Be informed of the news related to the request Biodiversity and pandemics.
Be informed of any Eklipse news (open calls, outputs, events) through the
newsletter.
Other:

10.	Any additional comment?
Plea	se don't forget to finalize the survey by clicking on the button "submit" in the
	page.
	F9
	F



ANNEX 8: LITERATURE REVIEW OF THE REPORTS RELATED TO THE TOPIC

Draft evidence report - Biodiversity and Pandemics June 2023

Title	Year	Organisation	Web Link	Knowledge gaps/future research	Recommendations to decision-makers and proposed solutions
Global guidance framework for the responsible use of the IF schemes mitigating biotrikk and governing dual-use research, 186 p.	2022	WHO	o.int/publication s/i/item/978924 0056107	mong offerent stakeholders. Certain stakeholder groups will be better positioned a calviers specific gard, for evennøls, scientista av best positioned to asses the siks and positista of their work; institutions have an essential role in the versifiel of blorist assessment and mitigitanci and governments and regulators are critical in reinforcing and requiring biorisk management strategies	As scientific and technological understanding in the life sciences and converging displays are advancing, potential adrey and security risks have emerged that each beyond pathogens, life sciences and technologies, and strational biotectory settings. The regula ace of advances in the life sciences, have been control withinson of capacity and isomalysing, and the multiplicity of actions and science repending the generator mechanisms and systems that are astroligatory, fieldle, responsive and claborative. As the life sciences science and technologies, that activate the life sciences is the life sciences and technologies, that activate and life sciences are determined and life sciences are and that activates that are astroligatory, fieldle, responsive and claborative. As the life sciences version and enteresci with other scientific life sciences resolution and technologies that quories that are astroligatory, fieldle and technologies that quories that are astroligatory relations. These approaches provides and integrated finances of a subscience resolution and technologies that quories and integrated finances of a subscience to scient and technologies that quories and more than a subscience that and the sciences resolution and technologies that quories and more that and technologies that quories and integrated finances of a subscience to scient and technologies that quories

https://www.wi o.int/publication s/i/item/WHO-HEP-ECH-EHD-22.03

This compendium provides an overview of guidance by environmental area, and points to more detailed WHD and other UN guidance for the next supplementation staps. It serves to outline actions to create healthure environ gas ansatures of the unment students (Incl and, expanse modelling, disabases) and pointing surgers to athree (guidance for the next supplementation staps. It serves to outline actions to create healthure environ with apply and work generalized (Incl and, expanse) are modelling, disabases) and pointing surgers to athree (guidance to the use apply and work generalized (Incl and, expanse) are modelling, disabases) and pointing surgers to athree (guidance to the supplementation) and and the surgers of the

#### ature, biodiversity and health: an overview of terconnections. Copenhagen: WHO Regional ffice for Europe; 2021. 2021 WHO ROE

http://www.wh Intense global efforts will be needed to prevent future pand o.int/europe/pu spread. bilations//item //98929095551

The need to protect nature Nature is a vial support system for human health: it provides emergy, food, water and air. Nature contributes to quality of life: it provides inspiration, places to exercise and scalate, and an asticket to the presence of nodes in life. Nature postetic it provides dynamic upters that minigate clinate change and defeed human against extreme events. When human full to protect nature, however, and full to receptors the damage directly to the state of provides dynamic upters that minigate clinate change and defeed human against extreme events. When human full to protect nature, however, and full to receptors the damage directly to the human full to protect nature. However, and full to receptors the damage directly to the human full to protect nature. However, and full to receptors the damage directly to the human full to protect and scalate protect in the protect of the life dimension. Second is the rest of constraints of the data is the scalate protect of the life dimension. However, and the life dimension is second in the protect of the life dimension of the life dimension of the life dimension. Second is the rest of constraint is and dimension of the life dimension

# Biodiversity and the economic response to COVID- 2020 OECD 19: Ensuring a green and resilient recovery, Policy

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# ID-19, a Warning: Addressing Environmental 2022 UNEP ROAP lats and the Risk of Future Pandemics in Asia the Pacific

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Defined as diseases traininitied from non-human aximals to human, "aconoses" are an inadvertent consequence of the domestication, forming, hunting and failing of aximals. Acimal and plant domestication anabled large human poly arises and registing core and reg

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# nting the Next Pandemic: Zoonotic diseases 2022 UNEP, ILRI ow to break the chain of transmission. sl, Kenya



ty messages This evidence based scientific assessment has identified the following ten key messages for decision-makers: 1. DE-REXING FOOD SYSTEMS. Many new science-based activity reports continue to focus on the global public hashit menging concerning of the correst parker of the corr onotic diseases: 1) se change and nt and the tries. Given that a ons to reduce the

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Research Agenda for the Environment, Climate 2022 HERA ealth 2021-2030

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 The fight against biodiversity ions must be underpinned by sound science. Reserve
 The biodiversity crisis and the climate crisis are intrinsically linked. Climate change accelerates the destruction of the natural world through droughts, flooding and wildlines, while the loss and unustainable use of nature are in turn key

 Intervices man
 The fight against biodiversity ions must be underpinned by sound science. Reserve
 The biodiversity crisis and the climate change is intrinsically linked. Climate change science regulates the climate, and nature based sublicons, such as protecting and windlines, while the loss and unustainable use of nature are in turn key

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#### Mending the Broken Relationship : Tackling the Biodiversity, ; Health and Climate Change Nexus -19 2021 UN ESCAP

What are the environmental issues that pour threats to human health and how are environmental and human health earlier of 3 What are the approaches that can be simultaneously address the caustine factor of a provide the caustine of the simultaneously address the caustine factor of provide the environment and defers, at the same time, the global bodiventhy, climite and the environment and defers, at the same time, the global bodiventhy, climite and health crise? It is include a generate knowledge to thing about climits and how are employed as a bit a way from current development trajectories characterized by bodivenity loss and exopatin defended on the same time, the global bodiventhy, climite and consumption patterns, polition, and climits charge. A framework to address the environment of a dimete charge. A framework to address the consumption patterns, polition, and climits charge. A framework to address the environment of the causting and dimete charge. A framework to address the environment and dimete charge. A framework to address the environment and address at duration handling which the limits. escap.org/kp/2 21/mending-broken-relationship-nature-tackling biodiversity-ecosystems-

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At the multilateral level, a new opportunity to advance policy dialogue, information-sharing and building knowledge on biodiversity and trade has es Socialmentality (TESD) at the WTO. The statement launching the discussions equility mentions the GID and the UN 30Gs, and there is strong poleto-wish streams of attention. In TESD discussions to diale, for instance, biodiversity at escoperation consolitations building and multi the discussions and and the UN 30Gs, and there is strong poleto-diale and the CO attention of the discussion of the discu

spacess, numan nearn and climate change. Key institutional solutions include the adoptio sitoring capacity, with a focus on addressing the biodiversity and climate crises. Structural tely and at how putting nature at the economic nargeing near increment back ture.

"tebuling better," registers targeted investment in sustandels development. If UH framework for the immediate socio exonomic responte to COVO 39 gioses environmenti sustandarily and gende equality at the contre of the UHed positive changes in people's behaviour and mindet during the crites, including with travel, how are quoted, and contrue of our distribution of the top and provide travels, the understand global intercontent of the top and environmental sustantianes and the travel of the top and provide travels, the understand global intercontent of the top and resources. It will require concerned at too the governmental investments and sharing positive results. The crisis has created a new titution a global – not rational – paradigm of ad and development assistance. The pandee succession and sustandiability. Landorces, cological and the nexus between the substantian during the advectore, top global and the theath, food security on sustainability. Landorces, are longical and the nexus between the substantiant and barres are interlated as the frateries that must be advectores.

What we need to do: nine proposits for action The global sustainable development agenda must promote the resilience and sustainability of food systems via a framework of policies and measures that (i) account is theready and trade-off, (ii) portions food security and healthy dets; (iii) enhance and protect rural wellback; and (iv) address the inequalities and injustees that have emerged during the crises and that will account of the crises and that will be account of the crises and increased power (rescale accounts) accounts (rescale accounts) accounts) accounts (rescale accounts) accounts (rescale accounts) accounts (rescale accounts) accounts (rescale accounts) accounts) accounts) accounts (rescale accounts) accounts) accounts (rescale accounts) accounts) accounts) accounts (rescale accounts) accounts y and e

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6 Conselega, guntly and quality of information 1. Seek cross sectoral and interdisciplinary communs on definitions related to associat diseases and where common understanding 2. Confirm and record association is mergent galaxies intervent of the set association and impact galaxies intervent. The set association and impact galaxies intervent of the set association and impact galaxies intervent. The set association and impact galaxies intervent is the set association and impact galaxies intervent. The set association is a set association is a set of the set associati

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recovery for the ber biodiversity, and en fit of people and planet by conserving and uring the fair and equitable sharing of benef

The transvers has four long term pairs for 2020 related to the 2020 Vision for Biodiversity, GAUA. The integrity, connectivity and realisces of all ecosystem are mainta multical ecosystem by 2020; human induced exostication of hown threadened species is half-and, by 2020, estication are an initia and realises threads. The second including ecosystem interactions and services, are valued, maintained and enhanced, with those currently in decine being readers. A substances and trades and by 2020. Coll C: The monetary and non-most ary benefits from the utilization of genetic resources, and digital sequence information on genetic resources, and of tradition shared fairs and ecological second thereby contributing the construction and scienciar and trades of the second o all Pa

http://www.wh. for its initial term, the OHELP has been tasked to focus on: Providing policy auf\_abilitations relevant scientific assessment on the emergence of health crites arising from the <u>initial thream simal</u> exceptions interface, as well a science https://arising. https:

Recipiting that the One Health approach embodies sustainable cross-sectoal collaboration at all levels, three Pathways of Change have been identified to provide a framework for the providias and majoremethanism. The section are able to the Pathways of Change that will enable the transition of the book yalged of enable holds. The detailed actions listed in this section are able to the provide section of the transition of the book yalged of enable holds. The detailed actions listed in this section are able to the provide section of the transition of the book yalged of enable holds. The detailed actions listed in this section are able provides or transmost, including the pathways of Change the Wall Records for able holds. The detailed to the provide section of the provide

comprehensive One Health. Towards a comprehensive One Health, approach to al health threats at the human-animal-onment interface

The GIL PA adopts One Health with a broader parspective, asophin paroach to support the health of human, animatis, plants and eco-identifying and addressing the factors underlying disease emergence persistence, and the complex economics, social and environmental health. By integrating the environmental dimension to gain a broad of disease emergences and sportage as well as the role of ecosystems regulation. One Health can under at the role of ecosystems regulation. One Health can under a disease and all health. Improve the underlying intern of disease emergence and all health. Improve unep.org/bitstre am/handle/20.5 00.11822/40843 /one health.pdf