



# KNOWLEDGE SYNTHESIS REPORT (DRAFT)

HOW COULD WE IMPROVE ADHERENCE TO THE MITIGATION HIERARCHY USING ECOSYSTEM SERVICES WITH A PARTICULAR FOCUS ON THE AVOID STATE?



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Requested by the  
French Biodiversity  
Agency (OFB)

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December 2022  
Eclipse Expert Working



# Knowledge Synthesis Report

## Draft version December 2022

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Version	prepared by	Date	Status
1.	Expert Working Group (EWG)	15/12/2022	For public review

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## GLOSSARY

Term	Definition	Key References
Mitigation hierarchy	The sequence of actions to anticipate and avoid impacts on biodiversity and ecosystem services. Where avoidance is not possible, the aim is to minimise the impacts. When impacts occur, the preferred options are to rehabilitate or restore. In a case where significant residual impacts remain, off-setting is recommended.	Ekstrom et al., 2015
Avoidance	Avoidance: the first step of the mitigation hierarchy comprises measures taken to avoid creating impacts from the outset, such as careful spatial or temporal placement of infrastructure or disturbance. For example, the placement of roads outside of rare habitats or key species' breeding grounds, or by timing of seismic operations when aggregations of whales are not present	The Biodiversity Consultancy, 2021 Ekstrom et al., 2015

<p>Avoidance measure (French legislation)</p>	<p><i>Les articles 2 et 69 codifient des éléments de la doctrine nationale ERC dans le code de l'environnement et enrichissent les principes de la séquence ERC avec une définition de la séquence ERC qui hiérarchise les trois phases (L. 110-1);</i></p> <p><i>Les lignes directrices sur la séquence ERC définissent la mesure d'évitement comme étant une « mesure qui modifie un projet ou une action d'un document de planification afin de supprimer un impact négatif identifié que ce projet ou cette action engendrerait ».</i></p> <p>Articles 2 and 69 codify elements of the national mitigation hierarchy (ERC in French for Eviter Réduire Compenser) doctrine in the French Environmental Code and augment the principles of the mitigation hierarchy with a definition of the mitigation hierarchy that prioritises the three phases (L. 110-1);</p> <p>The guidelines on the mitigation hierarchy define an avoidance measure as "a measure that modifies a project or action in a planning document in order to eliminate an identified negative impact that this project or action would cause".</p>	<p><i>French law on the reconquest of biodiversity (n° 2016-1087 of 8 August 2016)</i></p>
<p>Applied Policy Delphi</p>	<p>This method is a subset of expert consultation, representing the most rigorous approach to eliciting expert knowledge. It combines the knowledge of multiple, carefully selected experts into either quantitative or qualitative assessments, using formal consensus methods such as the Delphi process (described and reviewed by Mukherjee et al. 2016) or other elicitation techniques, including Cooke's method for weighting experts for their accuracy, described in Martin et al. (2012).</p>	<p>Eklipse, 2021</p>

<p>Ecosystem Services (ES)</p>	<p>Contributions that ecosystems make to human well-being that arise from living processes. These are distinct from the goods and benefits people subsequently derive from ecosystems. Ecosystem services can be categorised into provisioning, cultural, regulation and maintenance services.</p>	<p>Haines-Young, R. and M.B. Potschin (2018)</p>
<p>Exposure</p>	<p>A proposed management regime, policy, action or environmental variable to which the subject populations are exposed.</p>	<p>Collaboration for Environmental Evidence, 2018</p>
<p>Impact Avoidance</p>	<p>The first part of the mitigation hierarchy, avoidance or prevention, refers to the consideration of options in project location, siting, scale, layout, technology and phasing to avoid impacts on biodiversity, associated ecosystem services, and people. This is referred to as 'the best option', but it is acknowledged that avoidance or prevention is not always possible.</p> <p>Impact avoidance requires developers to 'anticipate and prevent adverse impacts on biodiversity before actions or decisions are taken that could lead to such impacts' (Ekstrom et al., 2015). Impact avoidance is typically identified as the most important stage of the mitigation hierarchy (McKenney &amp; Kiesecker, 2010; Clare et al., 2011; Ekstrom et al., 2015).</p>	<p>Lukey and Paras, 2017; Phalan et al., 2018</p>
<p>Systematic mapping approach</p>	<p>Structured, stepwise methodology following an <i>a priori</i> protocol to comprehensively collate and describe existing research evidence (traditional academic and grey literature).</p>	<p>Eklipse, 2021</p>
<p>Nature's contribution to people</p>	<p>Nature's contributions to people (NCP) are all the contributions, both positive and negative, of living nature (i.e. diversity of organisms, ecosystems, and their associated ecological and evolutionary processes) to the quality of life for people.</p>	<p>IPBES Glossary (<a href="https://ipbes.net/glossary">https://ipbes.net/glossary</a>)</p>



Natural capital	Natural capital can be defined as the world's stocks of natural assets, which include geology, soil, air, water and all living things. These assets are considered essential to the long-term sustainability of development for their provision of "functions" to the economy, as well as to mankind outside the economy and other living beings.	World Forum on Natural Capital ( <a href="https://naturalcapitalforum.com/about/">https://naturalcapitalforum.com/about/</a> ) and Glossary of Environment Statistics, Studies in Methods, Series F, No. 67, United Nations, New York, 1997.
Multiple stakeholder engagement	The participation of multiple stakeholders implies the active involvement of stakeholders at different stages of the decision-making process, in the strategies for capacity building, and the sharing knowledge environment. It is expected that the engagement is undertaken in a transparent way. This approach provides opportunities for co-production and co-governance to emerge and ensures stakeholder contributions for a just and inclusive transition.	EU Biodiversity Strategy 2030 European Green Deal
Vulnerable areas	Vulnerable areas in this report are those areas that are not part of a protected area but are still considered at risk of losing valuable biodiversity, habitat or ecosystem services.	Toivonen et al. (2021)



Impact assessment	Impact assessment (IA) is a structured process for considering the implications, for people and their environment, of <b>proposed actions</b> while there is still an opportunity to modify (or even, if appropriate, abandon) the proposals. It is applied at all levels of decision-making, from policies to specific projects.	International Association for Impact Assessment <a href="https://www.iaia.org/wiki-details.php?ID=4">https://www.iaia.org/wiki-details.php?ID=4</a>
Impact evaluation	An impact evaluation provides information about the observed changes or 'impacts' produced by an intervention. These observed changes can be positive and negative, intended and unintended, direct and indirect. An impact evaluation must establish the cause of the observed changes. Identifying the cause is known as 'causal attribution' or 'causal inference'.	Better Evaluation <a href="https://www.betterevaluation.org/methods-approaches/themes/impact-evaluation">https://www.betterevaluation.org/methods-approaches/themes/impact-evaluation</a>



## 1 SUMMARY AND RECOMMENDATIONS

### 2 SUMMARY

3 The **Mitigation Hierarchy** is the sequence of actions (avoid-mitigate-restore-compensate) to  
4 anticipate and avoid adverse impacts on **biodiversity and ecosystem services**. The avoid or  
5 prevent stage is the first and most important stage of the mitigation hierarchy in which  
6 developers anticipate adverse impacts on biodiversity before actions or decisions are taken.  
7 Action is then taken to prevent adverse impacts by considering different options in the  
8 project location, scale, layout, technology and phasing. Avoidance is often the easier, cheaper  
9 and more effective way than trying to restore a damaged habitat or offset elsewhere. The  
10 **Mitigation Hierarchy** application is mandatory in France, however, the French Biodiversity  
11 Agency put a request to Eklipse to find out to **what extent the adherence to and**  
12 **implementation of the hierarchy is correctly applied and ecosystem services are considered**  
13 **and well documented**.

14 To answer this request, an Expert Working Group on Mitigation hierarchy was established to  
15 answer three main questions:

- 16 1) If and how the consideration and operationalisation of ecosystem services can be  
17 integrated into natural capital assessments, impact assessments, and policymaking  
18 processes to enhance biodiversity conservation.
- 19 2) What kind of impacts and challenges may occur when the ecosystem services  
20 concept is used in the mitigation hierarchy and similar processes?
- 21 3) how replicable and transferable are tools and processes in countries or regions that  
22 have been used successfully at the avoid stage?

23 Three steps were implemented to answer the questions:

- 24 a) A systematic mapping approach was used to provide an overview of the available  
25 evidence and knowledge gaps.
- 26 b) An Applied Policy Delphi process for deliberative consultation, discussion, and  
27 feedback.
- 28 c) An analysis of results and conclusions based on the findings from the systematic  
29 mapping and the Applied Policy Delphi.

30 This research aimed to unveil whether land use planning and development in Europe is in line  
31 with the state of the art on biodiversity conservation and ecosystem services.



32 The aim of this report is also to provide evidence-based knowledge on if and how ecosystem  
33 services can be considered in projects, programmes, policies and associated impact  
34 assessments with a particular focus on the avoid stage of the mitigation hierarchy. In order  
35 to do this, the results of each step implemented are presented and discussed. We then  
36 provide recommendations based on the outcomes of this investigation.

37 We did not find much evidence of the use of the ecosystem services concept in the  
38 mitigation hierarchy. However, based on the Applied Delphi Process and the evidence we did  
39 find, ecosystem services can be mainstreamed into the mitigation hierarchy. Care will need  
40 to be taken to ensure that biodiversity and life-serving ecosystem services are prioritised  
41 and safeguarded over those ecosystem services that grant economic profits. When we look  
42 at the identified impacts on biodiversity, it is clear that impacts occur across different spatial  
43 and temporal scales and can be synergistic, antagonistic or dominant. In practice, a lack of  
44 resources is the biggest challenge to ensuring effective design, implementation, monitoring  
45 and evaluation practices. Other identified challenges were a lack of clear definitions and  
46 effective regulation, plus the need for capacity building and true stakeholder engagement  
47 and collaboration.

48 In this report, we provide examples of solutions and tools and practices that are transferable  
49 and replicable from one context to another. Within the upscaling process, we recommend  
50 that the information produced is made accessible to a broad set of stakeholders and to adapt  
51 communication strategies to different target audiences to ensure a wide reach of knowledge.

52 Finally, we conclude that putting biodiversity first and avoiding further loss is both possible  
53 and needed for the benefit of society and the planet we live on. Moving towards sustainability  
54 requires fundamental transformations, including changes in how biodiversity is perceived and  
55 valued. Newly established relations between societal actors are also required. The  
56 recommendations in this report provide a roadmap on how to do this, but they are only  
57 effective if decision-makers, land use planners and practitioners commit to improving  
58 legislation and practices. Hence, we urge all those involved in land-use planning: it is time to  
59 act to get effective mitigation practices into place before it is too late.

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# Recommendations



01

Create overarching **minimum legal requirements** (i.e. Biodiversity Law) and **guiding principles** for systematic application of mitigation hierarchy in all sectors.



02

Decide **where to avoid or mitigate** in land-use planning processes.



03

**Include stakeholders** at the beginning of the planning, design and implementation phases.



04

Address **different impacts** on biodiversity and ecosystem services during planning processes.



05

Address **connectivity and cumulative** impacts during planning processes.



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Champion **capacity building** to ensure effective implementation and monitoring of the results.



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1. Create overarching minimum legal requirements (i.e., Biodiversity Law) and guiding principles for the systematic application of mitigation hierarchy in all sectors.

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- This should happen at all levels starting from the EU level.

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- Policymakers at the EU level should:

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- Improve existing guidelines to strengthen the application of the mitigation hierarchy in protected areas.

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- Strengthen the application of the Precautionary Principle where a threat to biodiversity is foreseeable but scientific information is not available.

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- Ensure that the principles of EU environmental law (i.e., EU law primacy, effectiveness, integration, precautionary, polluter pays) are fully utilised in key regulatory and voluntary tools aimed at implementing the mitigation hierarchy in a harmonised way throughout the EU.

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- Ensure that national restoration plans developed to implement the recent proposal for a Nature Restoration Law at the EU level take into account the

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85 recommendations listed below, especially when designing renewable energy  
86 go-to areas.<sup>1</sup>

- 87 • Legislation at national level in each country should include, at a minimum:
  - 88 ➤ A clear and harmonised definition of the scope and the goal of the mitigation
  - 89 hierarchy.
  - 90 ➤ A definition of relevant avoidance and minimisation measures.
  - 91 ➤ Mandatory registers for monitoring and disclosure of the wider mitigation
  - 92 hierarchy processes (not just offsetting) to ensure implementation happens in
  - 93 practice.
  - 94 ➤ Technical guidance for land use planners, project developers, etc., to help
  - 95 operationalise the legislation.
  - 96 ➤ Regulatory commitment to finance sufficient resources for effective
  - 97 implementation and monitoring of the results.
- 98 • Support the uptake and effective implementation of the mitigation hierarchy by
- 99 creating national supporting bodies and/or a Europe-wide community of practice to
- 100 share experiences and best practices and help knowledge transfer.

## 101 2. Decide where to avoid or mitigate in land-use planning processes.

- 102 • Land-use planners:
  - 103 ➤ Mapping of biodiversity and ecosystem services at the local and regional level,
  - 104 paying particular attention to irreplaceable and vulnerable areas in terms of
  - 105 both biodiversity and ecosystem services where impact avoidance needs to be
  - 106 enforced.
  - 107 ➤ Provide measures and scenarios based on multiple habitats and multiple species
  - 108 to integrate spatial and temporal dynamics into a connectivity approach. This
  - 109 approach should aim to improve the overall ecological network and provide a
  - 110 set of ecosystem services.
  - 111 ➤ Map ecosystem services by also taking into account the conditions of
  - 112 ecosystems, for example, through the methodologies and indicators proposed
  - 113 by the European Commission in the 2022 report “EU-wide methodology to map
  - 114 and assess ecosystem condition”<sup>2</sup>.
  - 115 ➤ Manage surrounding blue and green infrastructure networks more effectively
  - 116 and ensure multi-functionality. Aim to support and connect protected and
  - 117 vulnerable areas by using restorative processes, support traditional semi-

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<sup>1</sup> The recent Proposal for a Regulation of the European Parliament and of the Council on nature restoration of 22 June 2022 provides at p. 30, par. 61: “In the designation of renewables go-to areas, Member States should **avoid** protected areas and consider their **national nature restoration plans**.”

<sup>2</sup> [https://ec.europa.eu/environment/nature/knowledge/ecosystem\\_assessment/index\\_en.htm](https://ec.europa.eu/environment/nature/knowledge/ecosystem_assessment/index_en.htm)



- 118 natural management techniques and introduce high-quality green areas using  
119 indigenous and sensitive planting.
- 120 • National, sub-national and local authorities:
    - 121 ➤ Invest in mapping ecosystem services, especially in the marine space where  
122 data on biodiversity is missing. This will improve the data available on the  
123 benefits to society, leading to better evidence-based policymaking.
    - 124 ➤ Employ a mix of mandatory and voluntary tools, ranging from taxation to  
125 payments for ecosystem services, in order to strengthen avoidance, thus  
126 protecting valuable habitats.

### 127 3. Include stakeholders at the beginning of the planning, design and implementation phases

- 128 • Engage and include stakeholders at the beginning of the planning and design phase by  
129 recognising the plurality of forms of knowledge and establishing dialogue, especially  
130 in areas where there are potentially conflicting perspectives. Planning authorities and  
131 practitioners should:
  - 132 ➤ Ensure stakeholder involvement in a transparent, well-defined process with a  
133 common and agreed-upon language and terminology.
  - 134 ➤ Ensure transparency and strengthen trust between different stakeholders  
135 engaged during the decision-making process and for knowledge exchange.
  - 136 ➤ Use proactive participatory mapping involving multiple stakeholders by  
137 investing the time and resources required to build the knowledge for an  
138 effective mapping exercise.
  - 139 ➤ Consider the diversity of understanding by using an adaptive and customised  
140 process in order to accommodate different perspectives, practices and  
141 interests.
  - 142 ➤ Ensure a balance or take corrective measures to safeguard minority or less  
143 powerful stakeholders.
  - 144 ➤ Ensure that the process takes account of the ecosystem services valued by  
145 the local stakeholders.

### 147 4. Address different impacts on biodiversity and ecosystem services during planning 148 processes.

- 149 • National, sub-national and local authorities should:
  - 150 ➤ Consider mitigation and avoidance measures based on multiple spatial and  
151 temporal scales. For example, considerations of ecosystem services that are  
152 locally more rare or important or where there is a possibility for seasonal  
153 avoidance.
  - 154 ➤ Implement pressure and intensity-based avoidance buffers that are specific to  
155 the wildlife of the area, e.g., noise mitigation measures during different offshore



- 156 wind energy development stages (construction, operational and  
157 decommissioning stage).
- 158 ➤ Promote an explicit analysis of the trade-offs (e.g., between biodiversity  
159 conservation and specific ecosystem services or among different categories  
160 of ecosystem services).
  - 161 ➤ Extend the transparency and replicability of environmental impact assessments  
162 and adoption of criteria and methods, and reduce the evaluation procedures  
163 based on subjective judgments.
  - 164 ➤ Ensure social equity of the impacts on ecosystem services and the associated  
165 mitigation measures (e.g., in terms of people's well-being and health) through  
166 the concept of no-worse-off.
  - 167 ➤ Incorporate synergies between biodiversity and ecosystem services within the  
168 mitigation hierarchy where they have complementary conservation targets.

### 169 5. Address connectivity and cumulative impacts during planning processes.

- 170 • Stakeholder representatives and researchers
  - 171 ➤ Highlight connectivity hotspots based on different groups of species with  
172 varied dispersal capacities to provide an effective decision support tool for  
173 planning, including terrestrial, freshwater and marine realms that can be used to  
174 implement avoidance and mitigation measures.
- 175 • National authorities and land use planners should:
  - 176 ➤ Define procedures to address the cumulative effects in the planning process  
177 using an impact chain rationale as follows:
    - 178 ▪ Characterise the source(s) of pressure;
    - 179 ▪ Address the single/ multiple pressures exerted by the source(s);
    - 180 ▪ Address the impacts on biodiversity components (community, structure  
181 and function) and ecosystem services.
    - 182 ▪ Apply mapping and expert knowledge to link the impact chain rationale  
183 to effective avoidance and mitigation measures.
  - 184 ➤ Ensure that the current acceleration and simplification of administrative  
185 procedures to speed up renewable energy projects do not undermine a  
186 thorough assessment of cumulative effects.

### 187 6. Champion capacity building to ensure effective implementation and monitoring of the 188 results.

- 189 • Planning authorities and institutions should invest time and resources to:
  - 190 ➤ Incorporate capacity building into institutional operational structures, including  
191 improvement of knowledge and communication.



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- Institutionalise citizens' engagement to strengthen local and more sustainable dynamics where knowledgeable communities can act in the interests of biodiversity.
  - Share knowledge opportunities; for example, the European Environment Agency (EEA) should collect information on best practices around Europe at the EU and national level and make the knowledge and database available to all the stakeholders.
  - Practitioners and authorities should:
    - Adopt more collaborative science practices with multiple stakeholders (e.g., citizen science).
    - Encourage and support citizen science programmes as a means to engage and educate citizens but also as a means of collecting extensive data for improved management and policymaking.
    - Disseminate the results of monitoring and evaluation activities to increase knowledge of what works and what does not.
    - Adopt a plurality of evaluation approaches in order to facilitate the understanding of assessment processes and results by different groups of stakeholders, including participatory assessment to build capacity, empower participants, sustain organisational learning, and improve the uptake of findings and understanding of data).
      - For example, the co-creation of scenarios, such as the deliberative democracy process (Fontaine et al., 2014), a companion modelling approach (Sahraoui et al., 2021), probabilistic graphical modelling (Gonzalez-Redin et al., 2016), and reflexive monitoring.

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### 217 RECOMMENDATIONS FOR RESEARCHERS AND EDUCATIONAL INSTITUTIONS

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- Develop methods and create maps of ecosystem services and areas with high biodiversity value in the marine space. Develop methods for ecosystem services assessment and areas with high biodiversity value that are biome-specific (terrestrial, freshwater, coastal-marine) in order to take into account the bio-physical processes and socio-ecological conditions that determine ecosystem services demand and supply.
  - Conduct impact evaluations at different stages of the mitigation hierarchy (i.e., avoid, mitigate, restore, compensate) to increase the evidence base of what works in practice. Impact evaluations need to follow best practices (e.g., have a Before-After Control-Intervention design) to be able to address cause-effect relationships and the effectiveness of the intervention.



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- Establish a common indicator framework for the evaluation of various Ecosystem Services, using appropriate approaches (e.g., a combination of biophysical, economic, and sociocultural indicators) and including also the assessment of ecosystem conditions
  - Extend the traditional additive Cumulative Impact Assessment methods by including synergistic, antagonistic and dominant impact mechanisms that take account of the interactions from multiple pressures on biodiversity and ecosystem components.
  - Ensure stakeholder involvement is equitable by including a wide range of stakeholders, especially minority and other often excluded groups, not just experts or easily reached groups. Adequate groups should also be provided for their participation during all project stages. Unfortunately, while we see many reports and articles that advocate for working with stakeholders, few achieve a satisfactory level of involvement, most merely rely on the expert representation. It is time that the scientific community puts the principles that many promote into action and achieves truly equitable collaboration.
  - Develop capacity within educational/research institutions through, for example, adopting the service-learning approach and applying the existing expertise to enhance the good practice of mitigation hierarchy design and application.
  - Disseminate best practices and case studies of mitigation hierarchy application through knowledge and databases to relevant organisations by creating alliances with stakeholders in a learning-in-action approach.
  - Promote the uptake and effective implementation of the mitigation hierarchy by supporting the creation of a community of practice of researchers, planners and practitioners aimed at knowledge co-production and the sharing of best practices.

## 266 BACKGROUND AND OBJECTIVES

267 The Mitigation Hierarchy is the sequence of actions to anticipate and avoid adverse impacts  
268 on biodiversity and ecosystem services (see definitions above). The avoid or prevent stage  
269 is the first and most important stage of the mitigation hierarchy in which developers  
270 anticipate adverse impacts on biodiversity before actions or decisions are taken. Action is  
271 then taken to prevent adverse impacts by considering different options in the project  
272 location, scale, layout, technology and phasing. Avoidance is often the easier, cheaper and  
273 more effective way than trying to restore a damaged habitat or offset elsewhere. The cost-  
274 effectiveness of this can only be realised by understanding the value of biodiversity and thus  
275 should be considered in the early stages of a project (Ekstrom et al., 2015).

276 However, our aim is to find out the extent in which the adherence to and implementation of  
277 the hierarchy is correctly applied and ecosystem services are considered and well  
278 documented. The activities should focus on the avoid and mitigation stages of the mitigation  
279 hierarchy (avoid-mitigate-restore-compensate).

280 With this in mind, the French Biodiversity Agency put forward the following request to Eklipse  
281 (CfR.5/2020/2):

282 “How can ecosystem services be considered in plans, projects, programmes, policies  
283 and associated impact assessments with a particular focus on the avoid stage of the  
284 mitigation hierarchy?”

285 To answer this request, an Expert Working Group (EWG) on Mitigation hierarchy request was  
286 established, composed of members from different backgrounds (country distribution and  
287 career level) and research expertise (EU environmental laws and policies; landscape ecology  
288 and spatial planning; ecosystem services; environmental governance; evidence synthesis;  
289 marine, freshwater and terrestrial ecology; participation and stakeholder engagement;  
290 nature-based solutions). The research had three main objectives:

- 291 1. To gather knowledge on how ecosystem services/natural capital as concepts foster  
292 the conservation and enhancement of biodiversity within planning processes in sectors  
293 that are likely to have a direct impact on biodiversity, e.g., infrastructure development,  
294 resource use and land use change.
- 295 2. To identify EU-wide cases and practices that actively consider and address the  
296 aspect of ecosystem services in the mitigation hierarchy; for example, in natural  
297 capital assessments, impact assessments of projects, plans, programmes, policies or  
298 similar processes.
- 299 3. To develop guidance on best practices and information on:



300 a. If and how the consideration and operationalisation of ecosystem  
301 services can be integrated into natural capital assessments, impact  
302 assessments, and policymaking processes to enhance biodiversity  
303 conservation as well as to understand the risks and potential ecosystem  
304 service trade-offs involved.

305 b. What kind of outcomes, impacts, challenges, solutions, etc., may occur  
306 when the ecosystem services concept is used in the natural capital  
307 assessments, impact assessments, mitigation hierarchy and similar  
308 processes?

309 c. The level of replicability/transferability of suggested/known  
310 tools/guidance/processes in other countries or regions that have been  
311 used successfully in the avoid stage.

312 This research aims to unveil whether land use planning and development in Europe is in line  
313 with the state of the art on biodiversity conservation and ecosystem services.

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327 **METHODOLOGICAL FRAMEWORK**

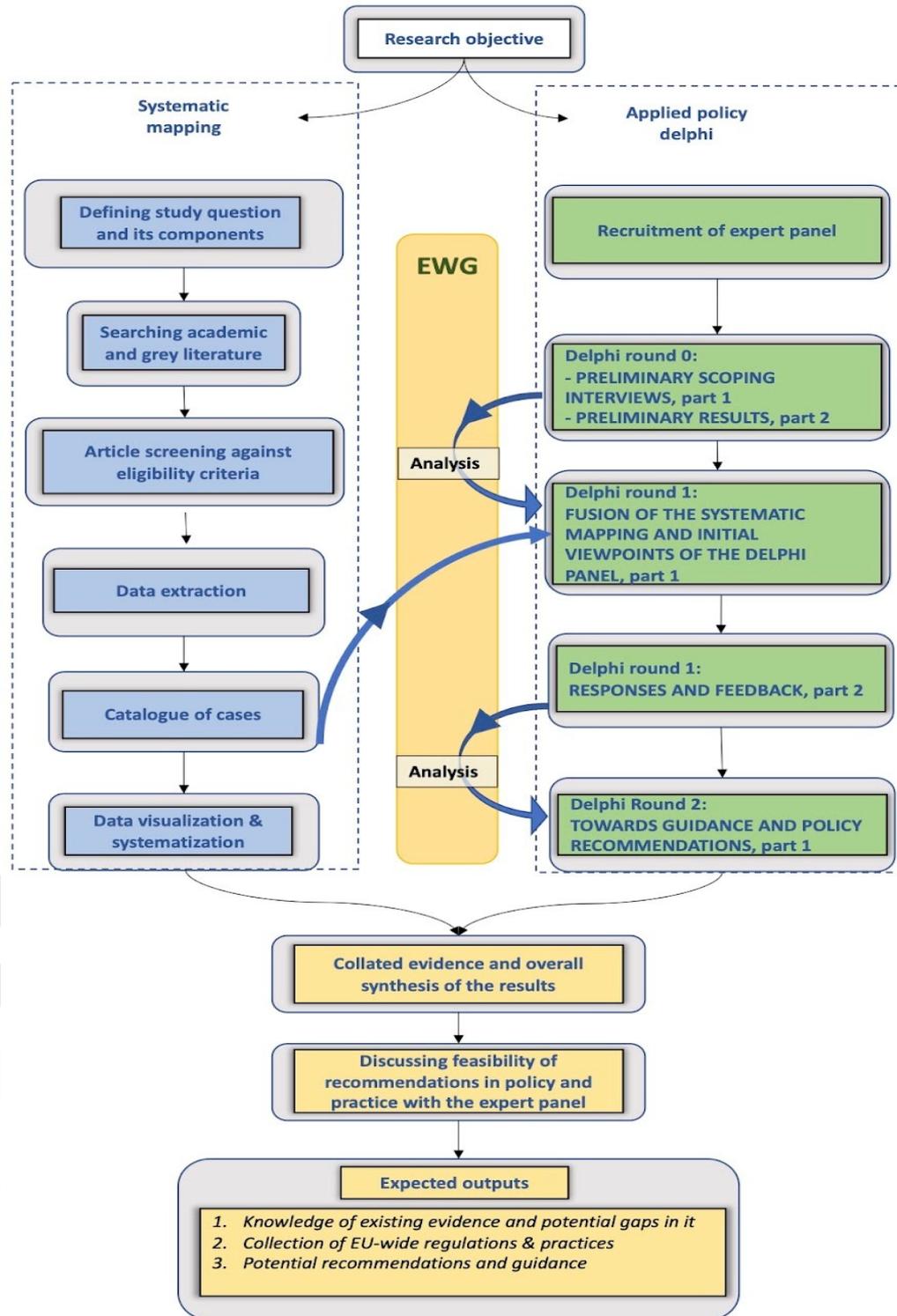
328 In order to address the research objectives presented in the introduction the following steps  
329 were implemented:

330 a) A systematic mapping approach was used to provide an overview of the available  
331 evidence and knowledge gaps present;

332 b) An Applied Policy Delphi process for deliberative consultation, discussion, and  
333 feedback; and

334 c) An analysis of results and conclusions based on the findings from the systematic  
335 mapping and the Applied Policy Delphi.

DRAFT



336

337 **Figure 1.** Presents the methodological framework by the Eklipse Expert Working Group to investigate  
 338 how ecosystem services are incorporated into mitigation hierarchy policy.

339

340 **SYSTEMATIC MAPPING APPROACH**

341 The systematic mapping provided an overview of the distribution and amount of evidence  
 342 that existed related to the objectives of the request. It helped to identify knowledge gaps  
 343 in the literature for which further information was sought from the expert consultation  
 344 process. The systematic mapping was conducted according to CEE guidelines (Collaboration  
 345 for Environmental Evidence 2018).

346 **Question components**

347 A modified PerSPECtIF framework (Booth et al. 2019) was used to outline the key question  
 348 elements (Table 1). The question components were formulated based on the study questions  
 349 and discussion with the requester about the details of the scope.

350

351 **Table 1.** Components of the study question

Perspective	Setting	Phenomenon of Interest	Environment	Exposure (Pressure)	Date Range	Findings
Global*	Impact assessments, natural capital assessments, and policymaking processes	Consideration and operationalisation of the ecosystem services concept to mitigate and avoid impacts on ecosystem services and/or biodiversity	Freshwater, marine and terrestrial ecosystems.	Infrastructure development, land use change and resource management	since 2000	Challenges and solutions for the use of ecosystem services concept, ecosystem services/biodiversity outcomes, trade-offs for people and between ecosystem services

352 \*Although studies taking place anywhere in the world are included, the requester is especially interested in  
 353 European cases and practices, reflected in the grey literature search.

354

355

356 **Searches**357 **Search terms and languages**

358 A scoping exercise was conducted in the Web of Science Core Collection and Scopus. The  
359 search terms were defined in an iterative process of testing different terms and search  
360 strings (Annexe 1). The planning terms (#2) reflect the terms considered to have a potential  
361 impact on biodiversity. A list of relevant articles was used to test the comprehensiveness of  
362 the search (Annexe 2). The test list was compiled based on the suggestions from the EWG.  
363 The final search string (in Web of Science format) is:

364 #1 (avoid\* OR prevent\* OR mitigat\* OR reduce OR impact OR foster OR enhanc\* OR  
365 integrat\*)

366 AND

367 #2 ("mitigation hierarchy" OR "land use planning" OR "management plan\*" OR "urban  
368 greening" OR "spatial planning" OR "marine planning" OR "county plan\*" OR "municipal\*  
369 plan\*" OR "theme plan\*" OR "green corridors" OR "functional urban area\*" OR "impact  
370 assessment" OR "green infrastructure" OR "blue infrastructure")

371 AND

372 #3 ("ecosystem service\*" OR "ecosystem goods and services" OR "environmental  
373 service\*" OR "ecological service\*" OR biodiversity OR "biological diversity" OR "natural  
374 diversity" OR "nature's contribution to people" OR "nature value" OR "natural capital").

375

376 The asterisk (\*) at the end of a search term/word was used to accept any variant of a base  
377 term, whereas words or phrases within quotation marks were searched exactly as they  
378 appeared in the search string. Where the full search string could not be used because of  
379 limitations of the search interface (e.g., in organisational websites), a simplified search string  
380 was used. All search strings used were recorded (see Annexe 3).

381 Search languages were determined by mapping the language skills of the EWG and included  
382 English, French, German, Spanish, Portuguese, Italian, Croatian, Finnish, Greek, Serbian and  
383 Swedish. The EWG acknowledges that not all European languages were covered and hence,  
384 the comprehensiveness of the search, especially grey literature, was not exhaustive (Figure  
385 2). Organisational websites were searched in the primary language of the website in which it  
386 was published. In case the website included a unique publication section in any of the other  
387 search languages (not simply translations from the original publications), those were searched  
388 as well.



### 389 Bibliographic searches

390 Searches in the following bibliographic databases were conducted on 16.12.2021, and search  
391 alerts were set for articles published after the search date. Search alerts were discontinued  
392 on 28.2.2022 when full-text screening started.

- 393 • Web of Science Core Collection (<https://clarivate.com/>); Topic search covering  
394 Science Citation Index Expanded (1945-present), Social Sciences Citation Index (1956-  
395 present), Arts & Humanities Citation Index (1975-present), Conference Proceedings  
396 Citation Index- Science (1990-present), Conference Proceedings Citation Index-  
397 Social Science & Humanities (1990-present), Emerging Sources Citation Index (2015-  
398 present).
- 399 • Scopus; Title, abstract, and keyword search.
- 400 • Lens (<https://www.lens.org/>); Title, abstract, keyword or field of study.

### 401 Search engines

402 Google was used for internet searches. The searches were conducted for each of the search  
403 languages in 'private mode' to avoid the influence of location and browsing history. The results  
404 were organised by relevance and checked until no more relevant results appeared (Livoreil  
405 et al., 2017). The cut-off was a hundred search records with no hits. Search dates, number of  
406 hits and records searched were recorded (Annexe 1). Grey literature searches took place  
407 between 24.2-19.4.2022.

### 408 Organisational websites

409 Websites of international and national organisations in Europe (see Figure 2) were searched.  
410 These included, for example, websites of research organisations, ministries and government  
411 agencies, and environmental organisations identified by EWG members as potential  
412 organisations to have relevant literature on mitigation hierarchy. A full list of organisations  
413 and the search results are included in Annexe 4.

414

415

416

417



418

419 **Figure 2.** Map of the geographical areas covered by academic and grey literature searches.

420

421 **Supplementary searches**

422 A call for knowledge (Eclipse CfK.2/2020) was launched to compile and understand the type  
 423 of knowledge available about the request, including case studies and practices on the use of  
 424 the mitigation hierarchy. This call was published on the Eclipse website and widely distributed  
 425 through networks and social media. Citation chasing was, in the end, not undertaken because  
 426 of time constraints stemming from the relatively large number of articles screened.



### 427 Search record database

428 After the searches were completed, all references from academic databases were exported  
429 to Eppi Reviewer (Thomas et al. 2020), and duplicates were removed. An excel file was  
430 created for grey literature to record search results.

### 431 Article screening

432 Articles from the academic journals and grey literature were screened in two stages: 1) title  
433 and abstract and 2) full text. A single screening was conducted due to resource constraints.  
434 As screening involved multiple people, an alignment in screening decisions was established  
435 before screening at title and abstract commenced. A set of 20 articles were screened  
436 against inclusion criteria by all persons involved in the screening. Their inclusion/exclusion  
437 decisions were compared, and any discrepancies discussed. After the first round, the inclusion  
438 criteria were clarified and the process repeated with a new set of articles. Once the team  
439 was confident that their screening decisions were in agreement, the rest of the articles were  
440 divided among the screeners. If a screener was unsure during the screening whether to  
441 include or exclude an article, consultations were conducted with other team members and a  
442 joint decision was made. At the beginning of the full-text stage, five articles were screened  
443 together again to ensure alignment of screening decisions.

444 If articles shared the same study site (i.e., linked articles), they were screened together to  
445 avoid inclusion of duplicate data, as recommended by Frampton et al. (2017). True duplicate  
446 studies were removed, and the rest were screened as a single unit to consider all available  
447 data pertinent to the study when the eligibility decision was made.

### 448 Eligibility criteria

449 The eligibility criteria were based on the study question components. Studies that fulfilled  
450 the following criteria were included:

- 451 • Studies on freshwater, marine and terrestrial ecosystems anywhere in the world. This  
452 included studies on blue and green infrastructure as well.
- 453 • Studies addressing the use of biodiversity and/or ecosystem services concepts in the  
454 context of impact assessments, spatial planning, and policy processes.
- 455 • Studies addressing mitigation of impacts from grey infrastructure development, land  
456 use change and resource management on biodiversity and/or ecosystem services;
- 457 • Studies on mitigation hierarchy needed to be focused on the avoidance and  
458 minimisation stages as per the request.
- 459 • Both applied studies (i.e., real-world cases) and theoretical studies were included as  
460 well as studies addressing governance frameworks, challenges and solutions.

461

462 Exclusion criteria:

- 463 • Literature and systematic reviews were excluded.
- 464 • Studies on compensation and off-sets were excluded.
- 465 • Studies where impacts are minimised by restoring a habitat were also excluded.

## 466 Data extraction

467 At the beginning of data extraction, all persons involved in data extraction coded five articles  
468 together to ensure consistency and shared understanding. Any uncertainties during the data  
469 extraction phase were discussed among those involved in the systematic mapping, and a joint  
470 decision made. Data was extracted using the following framework:

471 Metadata (data on study characteristics)

- 472 • Source of the article.
- 473 • Information on publication details (title, authors, publication year, DOI).
- 474 • Type of publication (journal article, report, book, etc.).
- 475 • Language.

476  
477 Study attribute data

- 478 • Ecosystem (Freshwater, marine and terrestrial).
- 479 • Geographical location.
- 480 • Scale of the study.
- 481 • Exposure type (i.e., details on infrastructure development, land use change or  
482 resource management).
- 483 • Applied or theoretical study.
- 484 • Biodiversity or ecosystem services or both studied.
- 485 • Studied ecosystem services.
  - 486 ○ provisioning
  - 487 ○ cultural
  - 488 ○ regulating and maintenance
  - 489 ○ ecosystem services disservice
- 490 • Studied level of biodiversity.
  - 491 ○ landscape
  - 492 ○ community
  - 493 ○ species
  - 494 ○ genes
- 495 • Use of mitigation hierarchy (yes/no).
- 496 • The stage of mitigation hierarchy (avoid or minimise).
- 497 • Governance.
  - 498 ○ legal framework for mitigation
  - 499 ○ relevant government policies
  - 500 ○ planning principles
- 501 • Outcomes of the study.



- 502 ○ direct and indirect ES and/or biodiversity impacts (inclusive of loss of and
- 503 damage to ecosystem services and/or biodiversity)
- 504 ○ trade-offs
- 505 ○ risks
- 506 ○ challenges
- 507 ○ solutions

508 During data extraction, additional study attributes emerged that were not mentioned in the  
509 original list of data to be extracted as published in the protocol. They were identified and  
510 added to the framework. The Common International Classification of Ecosystem Services  
511 (CICEcosystem services) V5.1 typology (Haines-Young and Potschin, 2018) was used to  
512 categorise the ecosystem services into provisioning, cultural, regulation and maintenance  
513 categories. Only the upper-level categories were used. Where the authors of the paper had  
514 not assigned a category for the ecosystem services in question, one was assigned based on  
515 EWG's expert judgement during data extraction. Similarly, the stage of the mitigation  
516 hierarchy (avoid or minimise) was assigned during data extraction if not explicitly mentioned  
517 in the paper.

### 518 Data synthesis

519 A narrative synthesis describing the evidence base was produced. A primary output was the  
520 collation of a catalogue of cases where mitigation hierarchy had been used in practice.  
521 Various data visualisations, such as bubble maps, were used to illustrate the extent of the  
522 evidence related to the study objectives and knowledge gaps that exist.

523

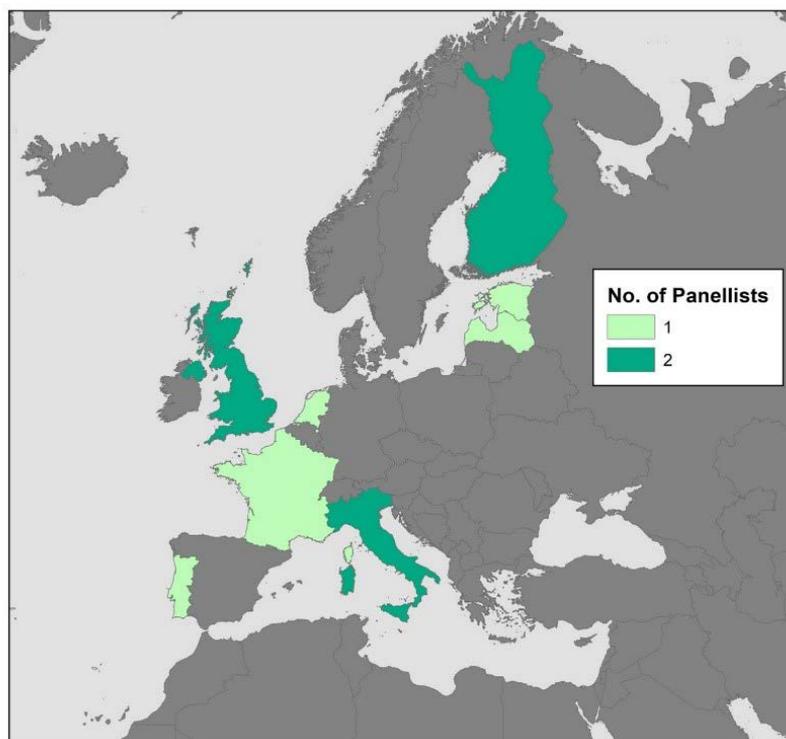
### 524 APPLIED POLICY DELPHI

525 The EWG conducted a deliberative email consultation involving an external expert panel using  
526 an Applied Policy Delphi technique to gain practical insights from experts involved in different  
527 aspects of the implementation of mitigation hierarchy or incorporating ecosystem services  
528 into land use planning. This was conducted in parallel to the systematic mapping process,  
529 where the result from the systematic mapping was used to help maximise the project  
530 outcomes, consequently:

- 531 ● Further evidence and relevant case studies were identified;
- 532 ● The process supported and built upon the EWG ideas and recommendations
- 533 ● Critical issues were discussed with the panellists that emerged from the systematic  
534 mapping, and differences in opinions were noted.
- 535 ● Feedback from the Applied Policy Delphi panel on the EWG synthesis of results was  
536 utilised to refine the draft recommendations for future policy and practice.

537 A panel of 11 experts from 9 countries was selected based on suggestions made by the EWG  
 538 members via their networks and from further discussion within the group (Figure 3). The aim  
 539 was to ensure appropriate representation of different types of experts (namely, practitioners,  
 540 policymakers and researchers) and, as far as possible, different EU contexts and expertise,  
 541 i.e., marine and terrestrial focus, based in different countries or with an international  
 542 perspective and so on. We defined “experts” as people with on-the-ground experience in  
 543 avoiding or mitigating biodiversity and/or ecosystem services impacts, e.g., consultants,  
 544 resource managers, researchers, and policymakers, among others. A key goal was to ensure  
 545 that all panellists have had some direct involvement in using the mitigation hierarchy or a  
 546 related field, e.g., land use or marine spatial planning.

547



548

549 **Figure 3.** Geographical representation of the Applied Policy Delphi panel.

550

551 Operationally, the expert consultation in the Applied Policy Delphi process included the  
 552 following steps, which were all conducted remotely through confidential email communication  
 553 apart from the initial interviews and are summarised in figure 4:

554



555 **Applied Policy Delphi round 0 PRELIMINARY SCOPING INTERVIEWS, part 1:**

556 Preliminary individual interviews with experts were held remotely to explain the activities in  
557 detail, engage them in the process and engage key expertise for successive rounds. The  
558 central aim was to capture their initial standpoints with justification on the mitigation  
559 hierarchy and use of the avoid/minimise stage, highlighting barriers and opportunities.

560 **Applied Policy Delphi round 0, PRELIMINARY RESULTS, part 2:**

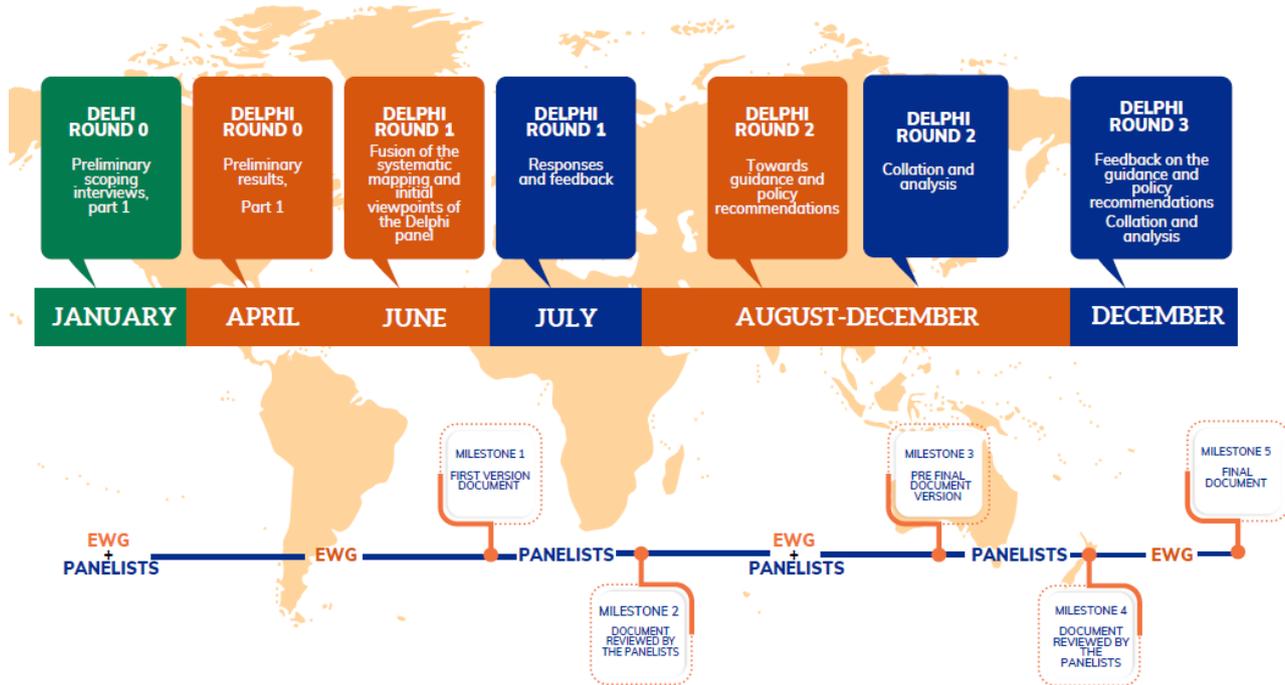
561 The preliminary results of the scoping interviews were analysed using thematic analysis  
562 between January and March 2022. The interviews were transcribed and coded inductively  
563 with the support of a research assistant using NVivo software. The themes emerging from  
564 the interviews were identified by the EWG and systematised in the:

- 565 • Theme 1: Understanding of the mitigation hierarchy,
- 566 • Theme 2. Ecosystem services,
- 567 • Theme 3. Practical experience- delivery and lessons learned,
- 568 • Theme 4. Strengths and Opportunities:
- 569 • Theme 5. Weaknesses and Challenges,
- 570 • Theme 6. Links with other policies and legal tools,
- 571 • Theme 7. Links with tools and practices,
- 572 • Theme 8. Future directions of the mitigation hierarchy.

573 These themes were used as headings for the outcomes of the Applied Policy Delphi process  
574 and combined with the outcomes from the systematic mapping process. The results are  
575 presented in the following section of this report. The results were compiled with initial  
576 systematic mapping results and presented to the experts. Areas of consensus and difference  
577 were highlighted in the research, and expert feedback was used to develop the priorities for  
578 questions for the first round;

579

# DELPHI PROCESS PHASES



580

581 **Figure 4.** Applied Policy Delphi process phases.

582

583 **Applied Policy Delphi round 1: FUSION OF THE SYSTEMATIC MAPPING AND INITIAL**  
 584 **VIEWPOINTS OF THE Applied Policy DELPHI PANEL, part 1:**

585 Here the Applied Policy Delphi process was used to complement and add value to the key  
 586 findings from the systematic mapping and to identify the areas within which future guidance  
 587 and recommendations were needed. For example, the key tools, governance frameworks and  
 588 other drivers influencing success. A narrative was produced with questions to express these  
 589 outcomes. The first questionnaire included mostly open-ended questions aimed at capturing  
 590 and discussing key critical issues associated with the conceptualisation and application of the  
 591 mitigation hierarchy (avoid and minimise stages) as revealed through the systematic mapping  
 592 and initial Applied Policy Delphi responses.

593

594



595 **Applied Policy Delphi round 1: RESPONSES AND FEEDBACK, part 2:**

596 The responses to the questions were analysed and shared with the EWG. The comments of  
597 the EWG were then integrated and fed back to the Applied Policy Delphi Panel.

598 **Applied Policy Delphi Round 2: TOWARDS GUIDANCE AND POLICY RECOMMENDATIONS,**  
599 **part 1:**

600 Building on the work of the EWG, the draft final report, including the policy recommendations  
601 and guidance, was sent out for comment and feedback. The EWG used the feedback from  
602 the systematic mapping and previous Applied Policy Delphi rounds to set the  
603 recommendations.

604 **Applied Policy Delphi Round 2: COLLATION AND ANALYSIS, part 2:**

605 The EWG then collated and analysed the responses to produce the final report.

606 **Applied Policy Delphi round 3: FINAL FEEDBACK ON THE RECOMMENDATIONS AND**  
607 **GUIDANCE:**

608 This final round involved feeding back the changes made by the EWG in response to the  
609 Applied Policy Delphi panel with a chance for a final set of responses. Focus was placed on  
610 the interplay between the Applied Policy Delphi panel and the EWG to maximise the  
611 expertise across the groups.

612 Throughout the process, compliance with ethical issues was ensured following the procedures  
613 designed and agreed upon during the planning phases (Annexe 5).

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622 **RESULTS**

623 In this section, we present the findings from the systematic mapping process followed by the  
624 outputs from the Applied Policy Delphi Process.

625

626 **SYSTEMATIC MAPPING**

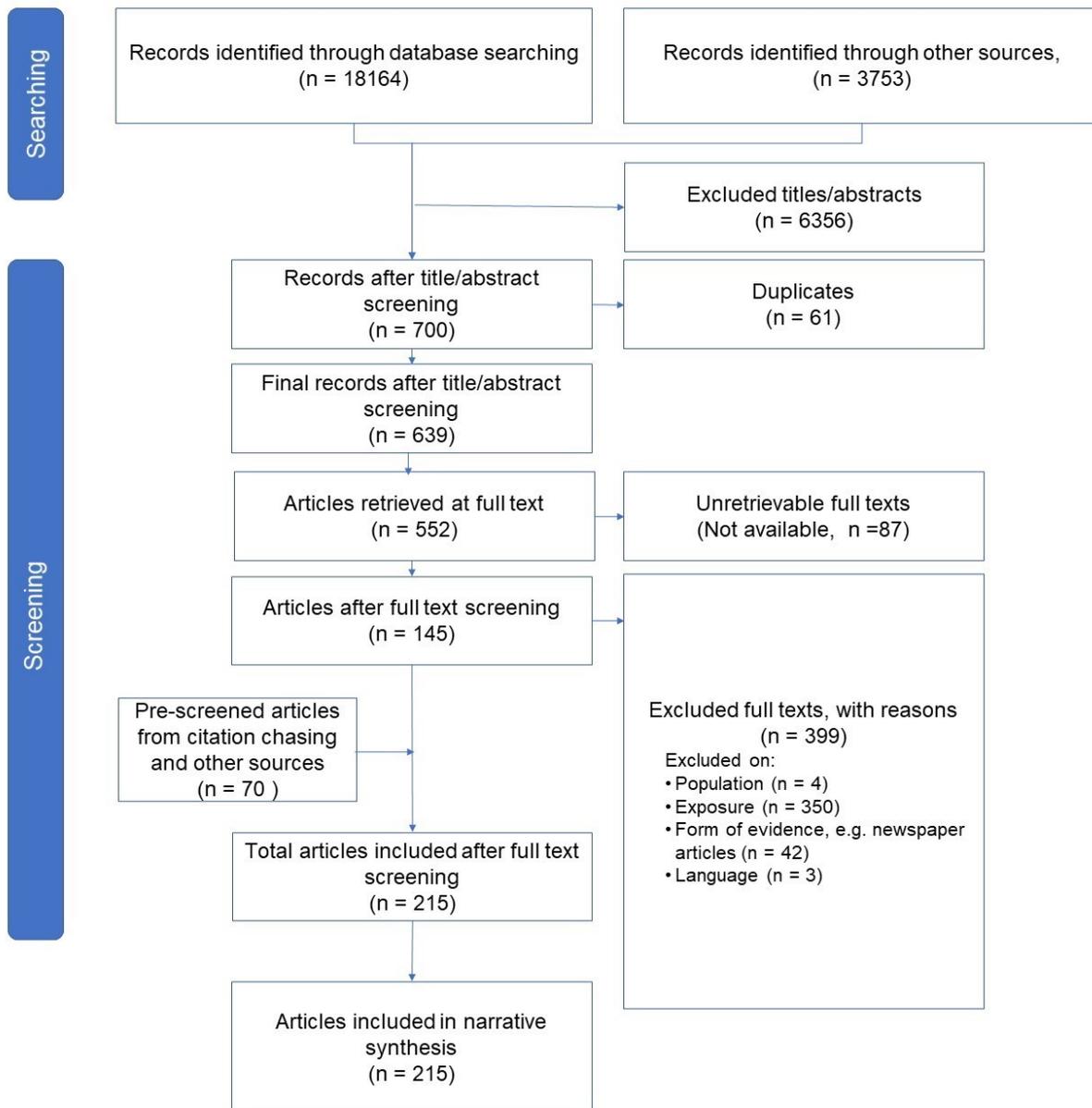
627 **Overview of the evidence base**

628 Figure 5 shows the number of articles included and/or excluded through the process. It  
629 resulted in 18 164 hits, of which 6356 were duplicates. After screening, a total of 215 articles  
630 (peer-review and grey literature) were included in the narrative synthesis.

631

DRAFT

## ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY



632

633 **Figure 5.** Overview of the articles included and excluded as part of the systematic mapping following  
634 the ROSES form.

635

636 After that, and using an automatic document clustering (Figure 6), the included articles were  
637 divided into seven categories: land use planning, green infrastructure, marine spatial planning,  
638 water, environmental impact assessment, decision making, protected areas, and other

639 categories. The environmental impact assessment was the largest category, followed by land-  
 640 use planning.

641

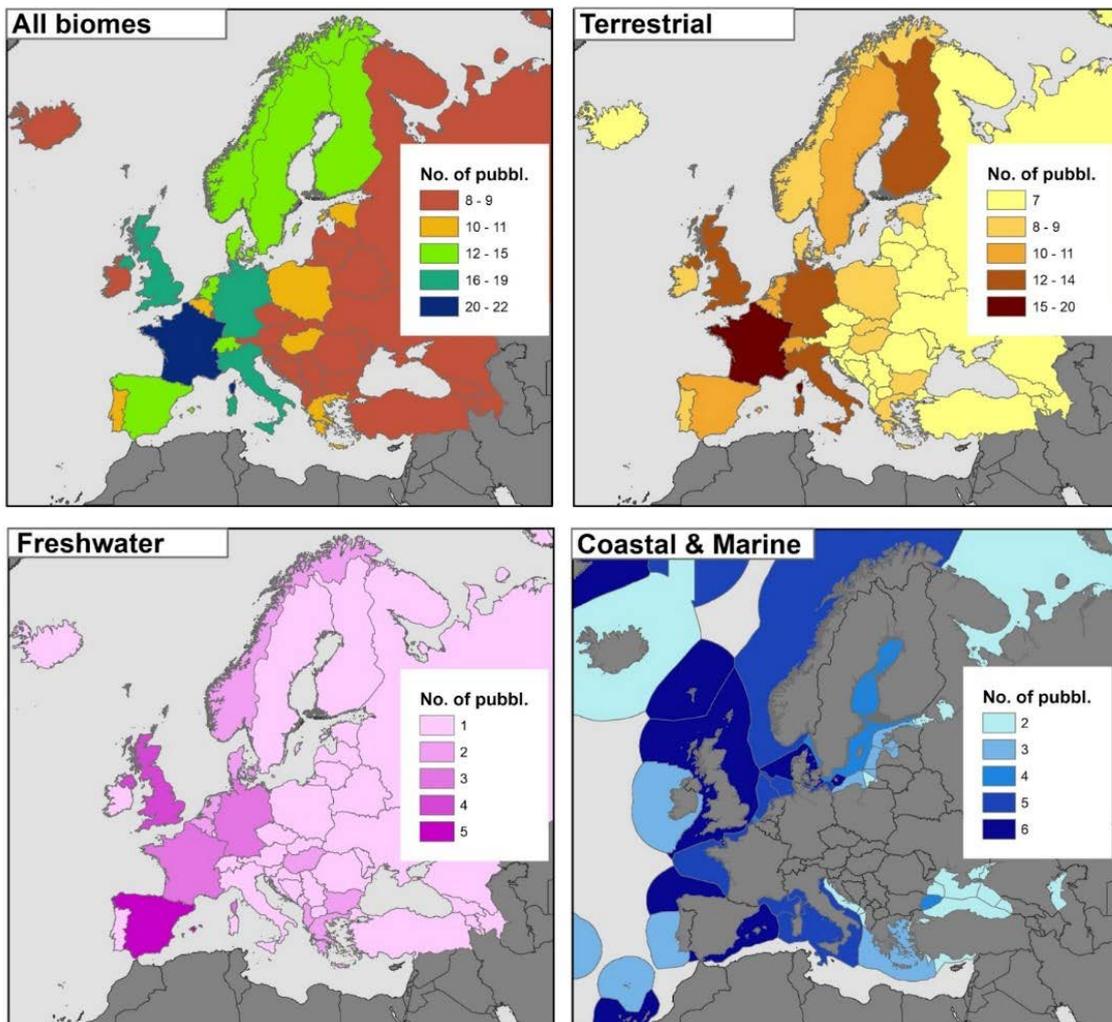


642

643 **Figure 6.** Overview of the scientific articles included in the systematic mapping clustered by  
 644 categories.

645

646 The coverage of the literature database per type of biome is shown in Figure 7. The database  
 647 has a good representation at the European level, with fewer studies in Eastern Europe. The  
 648 largest number of terrestrial studies were conducted in France at the country level, whereas  
 649 Spain had the largest number of freshwater-related studies. The largest number of coastal and  
 650 and marine studies identified covered the coastal areas of the United Kingdom, Denmark and  
 651 Spain.



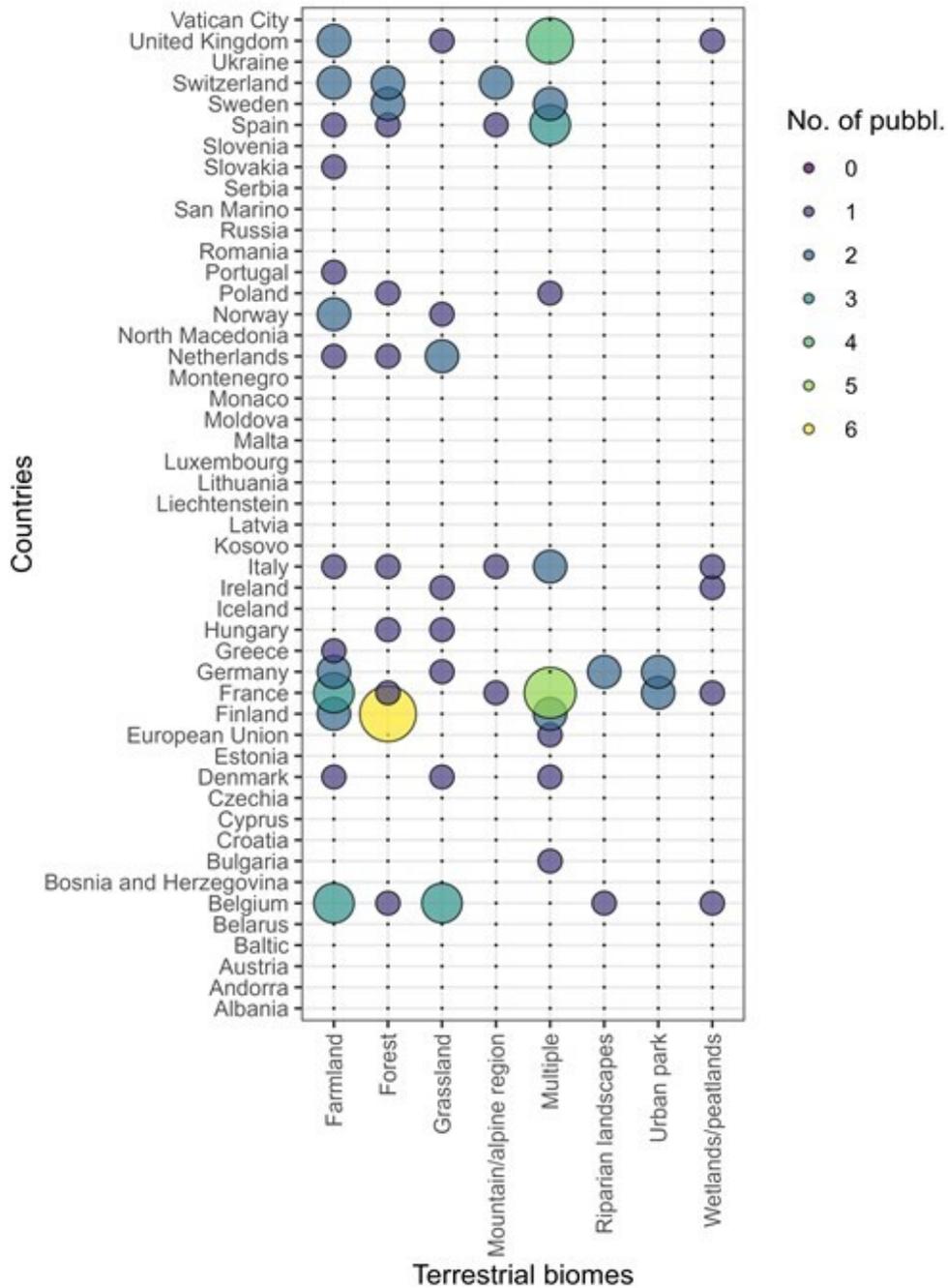
652

653 **Figure 7.** Overview of the coverage of the literature database included in the systematic mapping.

654 The literature database of terrestrial studies was classified into eight categories according  
 655 to their ecosystem type: farmland, forest, grassland, mountain/alpine region, riparian  
 656 landscapes, urban park, wetlands/peatlands, and multiple. Figure 8 shows the distribution of

## ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY

657 the literature on the different types of ecosystem categories per country. Farmland, forest  
 658 and grasslands were the most studied categories compared to riparian landscapes and urban  
 659 parks, which were the least researched.



660

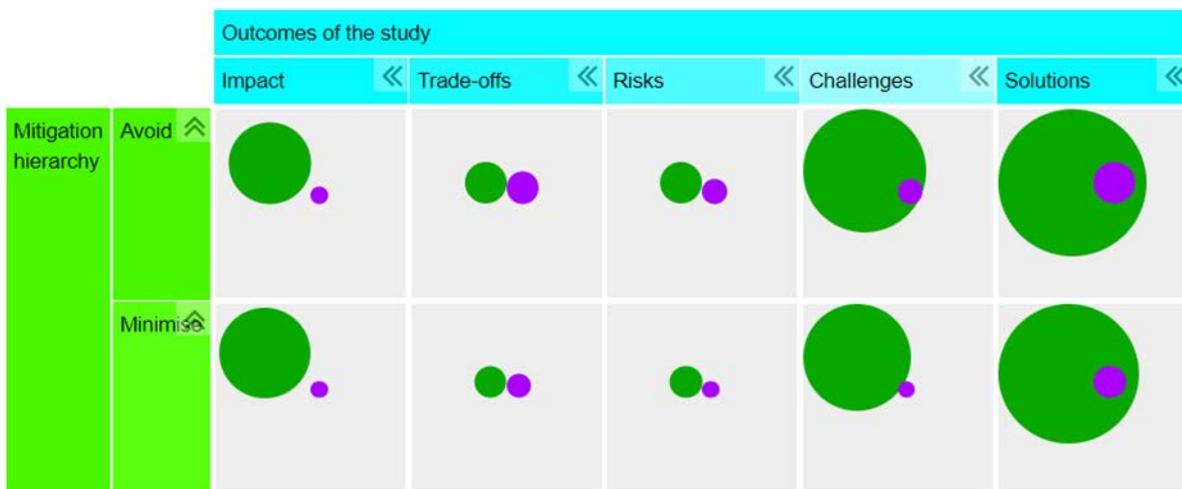
661 **Figure 8.** Overview of the terrestrial literature database included in the systematic mapping by country.

662 **Mitigation hierarchy as a concept**

663 There were 45 papers that explicitly mentioned the mitigation hierarchy. The review  
 664 demonstrates the **mitigation hierarchy concept is relatively well-known** in the literature.  
 665 Several papers referred to existing guidance documents (e.g., in Environmental Impact  
 666 Assessments and Strategic Environmental Assessments) that clearly describe the different  
 667 steps of the hierarchy and their application (Cullen 2006; Hayes 2015). Even though there is  
 668 an overall agreement about the usefulness of applying the mitigation hierarchy in relevant  
 669 decision-making processes (Claireau et al., 2019; Jagerbrand and Bouroussis, 2021), the review  
 670 of Environmental Impact Assessments conducted by Bigard et al. (2017) highlights the  
 671 **avoidance stage is often disregarded**, and measures “to avoid” are often actually measures  
 672 “to reduce”. Furthermore, Barbe and Frascaria-Lacoste (2021) take a critical view of the  
 673 mitigation hierarchy and question whether the policy goal of ‘No Net Loss of biodiversity’  
 674 should be based on a tool (i.e., mitigation hierarchy) that, at its core, is meant for, and largely  
 675 used, only to reduce the harm caused by economic development, mainly from new projects.

676 The papers included both theoretical and applied studies as well as covered different  
 677 outcomes (Figure 9). The majority of papers were on biodiversity, but ecosystem services  
 678 were also included.

679



680

681 **Figure 9.** Papers on mitigation hierarchy. The bubble size denotes the number of papers. The green  
 682 colour is for biodiversity, and the violet is for ecosystem services. An interactive form of this figure  
 683 with links to the information on individual papers can be found [here](#).

684

685 **Avoidance as a concept**

686 The concept of avoidance requires *“measures [are] taken to anticipate and prevent adverse*  
 687 *impacts on biodiversity before actions or decisions are taken that could lead to such impacts”*  
 688 (CSBI 2015 in Hayes et al. 2015, p2).

689 Following Bull (2022), avoidance can be defined as **action-based** (actions were taken to avoid  
 690 impacts) or **outcome-based** (did the actions taken the lead to avoided impacts). Mostly the  
 691 focus is on direct impacts, but indirect impacts should also be kept in mind, especially leakage  
 692 (impacts taking place elsewhere). As Bull et al. (2022, p374) point out, *“it can never be*  
 693 *assumed that avoiding environmental impacts within a certain jurisdiction will lead to their*  
 694 *universal avoidance, in space and over time”*. Also, avoidance is often associated with a change  
 695 in land use, but cumulative impacts from ongoing land use, such as agriculture or forestry,  
 696 may be large despite their typically small local footprint (Pappila, 2018). Furthermore, the way  
 697 we define and consider ‘adverse impacts’ and ‘significance’ can greatly influence the  
 698 implementation of the mitigation hierarchy (Barbe and Frascaria-Lacoste 2021)

699 Barbe and Frascaria-Lacoste (2021, p4) argue that *“the avoidance step does not always*  
 700 *receive sufficient attention and leeway and does not always—as it should—raise the necessary*  
 701 *questions about the choices (political, economic, etc.) that lead to new project development”*.  
 702 They further argue that *“the mitigation hierarchy is insufficiently effective or relevant from*  
 703 *the ecological perspective”* (p4), a sentiment echoed by other authors. For example, Bigard  
 704 (2017) maintains there is often no search for truly alternative options for avoidance in the  
 705 early phases of development projects (which would allow an impact to be avoided), and there  
 706 is an over-reliance on smaller revisions to reduce impacts.

707 A key issue is how impacts are avoided. In their earlier review, Phalan (2018) identified **four**  
 708 **types of avoidance** measures: **project cancellation**, **spatial avoidance** (changing the location  
 709 of a specific action), **temporal avoidance** (anticipating/differing that actions, activities do not  
 710 take place during key seasons, e.g., breeding season), **and planning within site, i.e., design-**  
 711 **based impact avoidance** (changing technology, operational methods, etc.). Furthermore,  
 712 Tarabon et al. (2019a) highlight the importance of landscape-level land-use planning to ensure  
 713 functional connectivity within the landscape. There is some evidence spatial avoidance and  
 714 technical measures are most commonly used to avoid impacts, whereas total avoidance of  
 715 impacts, e.g., project cancellations, are less common (Hayes et al., 2015; Gelot and Bigard,  
 716 2021). This may be because *“Often the ESIA is undertaken when project feasibility and design*  
 717 *plans are already advanced, and therefore the opportunity to intervene early to address*  
 718 *avoidance strategies, including the identification of alternative site selection, is missed”*  
 719 (Hayes et al. 2015, p11). Enforcing this point, Bigard et al. (2020) recommend landscape scale  
 720 as the appropriate scale for impact anticipation because it provides information on sites with

721 high biodiversity values within that landscape that can be avoided before projects are  
722 approved.

723 **Ecosystem services as a concept to foster the conservation of biodiversity**  
724 **within decision making**

725 Considering ecosystem services under the mitigation hierarchy may further complicate the  
726 situation. For example, Ramel et al. (2020) asks if “the areas contributing most to preserve  
727 both biodiversity and ecosystem services coincide spatially, as suggested from work at the  
728 European scale?” as their results suggest that **prioritising ecosystem services may “be**  
729 **disadvantageous to biodiversity”**. Hence, while nature-based solutions may protect or  
730 enhance ecosystem services, they may not protect biodiversity (Seddon et al., 2020). This  
731 points to the importance of determining whether biodiversity impacts can be avoided (Préau  
732 et al. 2022), where biodiversity loss should be avoided, and then mapping the highest priority  
733 areas for protection at the landscape scale, as suggested by Bigard et al. (2020).

734 **Trade-offs**

735 A trade-off is ‘*a situation where the use of one ecosystem services affects another*  
736 *ecosystem services and the benefits they supply*’, but there are also situations where choices  
737 have not only to be made between ecosystem services but also between ecosystem services  
738 and non-ecosystem services. In general, **trade-offs are related to impacts that can be**  
739 **observed** (Gret-Regamey et al., 2008; Turkelboom et al., 2018), where choices may have real  
740 societal implications for stakeholders (Hayes et al., 2015).

741 Spatial planning deals with trade-offs between various stakeholders’ wishes and needs as part  
742 of planning, development and management of particular sites, landscapes, natural resources  
743 and/or biodiversity. To make ecosystem services trade-off research more relevant to spatial  
744 planning, the literature proposes different frameworks, which **put stakeholders, their land-**  
745 **use/management choices, their impact on ecosystem services and responses at the centre**  
746 **of decision-making** (Turkelboom et al. 2018). In some cases, the analysis of ecosystem  
747 services trade-offs supports management choices that increase the delivery of other  
748 ecosystem services (Turkelboom et al., 2018; Di Marino et al., 2019). Within this framework,  
749 trade-off analysis supports sustainable urban planning (Di Marino et al., 2019), coastal benefits  
750 (Fontaine et al., 2014), and forest biodiversity (Gonzalez-Redin et al., 2016), among other  
751 applications.

752 It was pointed out in the literature that integrating **valuation approaches for ecosystem**  
753 **services helped to raise awareness of the societal benefits of green spaces** whilst also  
754 recognising the trade-offs between conflicting perspectives of stakeholders. Therefore, this

755 aids the prioritisation of ecosystem services (Gonzalez-Redin et al., 2016; Kovacs et al., 2016;  
756 Langemeyer et al., 2016a). Further, Fontaine et al. (2014) argue preferences for iconic species  
757 present significant issues in constructing social values. It was also recognised that planners  
758 still struggle to incorporate green infrastructure and ecosystem services into land-use policy  
759 and planning practices due to the complex contexts - environmental, professional, cultural  
760 and political - aiming to maintain the status quo (Di Marino et al. 2019).

761 The literature shows that **provisioning ecosystem services were the most targeted trade-off,**  
762 **but regulating ecosystem services were the most impacted.** In addition, **cultural ecosystem**  
763 **services are underrepresented** because it is difficult to provide value for cultural ecosystem  
764 services that can be traded off against other ecosystem services, such as provisioning  
765 ecosystem services (Langemeyer et al. 2016). Ecosystem services are also often considered  
766 an aesthetic rather than a technical requirement (Khoshkar et al., 2020). Stakeholder  
767 characteristics, such as the degree of influence they have, the impacts they face, and their  
768 concerns, can partially explain their position and response in relation to trade-offs.

### 769 **Trust and place attachment.**

770 Trust is a major factor mentioned by Karrasch et al. (2014), where stakeholders were  
771 concerned about the impacts on their land use or possibly losing land. **Trust is necessary for**  
772 **implementing biodiversity strategies** that do not alienate people, for resolving conservation  
773 conflict (Kovacs et al., 2016), for decision-making processes and knowledge exchange  
774 between different stakeholders engaged. As Karrasch et al. (2014, p257) argue, place  
775 attachment needs to be considered, especially when stakeholders “were born and raised in  
776 the community and therefore had a strong sense of regional belonging and community  
777 cohesion”.

778 Cerreta et al. (2021) also mentioned that trust was an important missing component in cultural  
779 ecosystem services evaluations.

780 Literature suggests it is important to **involve stakeholders in the process of evaluating**  
781 **ecosystem services to develop trust** so results are not seen as “a black box” exercise. This  
782 would also help people to understand the value that nature provides them. It was stressed  
783 that this process had to be transparent enough for decision-makers without jeopardising  
784 scientific rigour, thus requiring time (Fontaine et al., 2014; Gonzalez-Redin et al., 2016;  
785 Simeonova and van der Valk, 2016; Albert et al., 2021; Sahraoui et al., 2021).

786

787

## 788 Current use of mitigation hierarchy in decision making

### 789 Policies and regulations

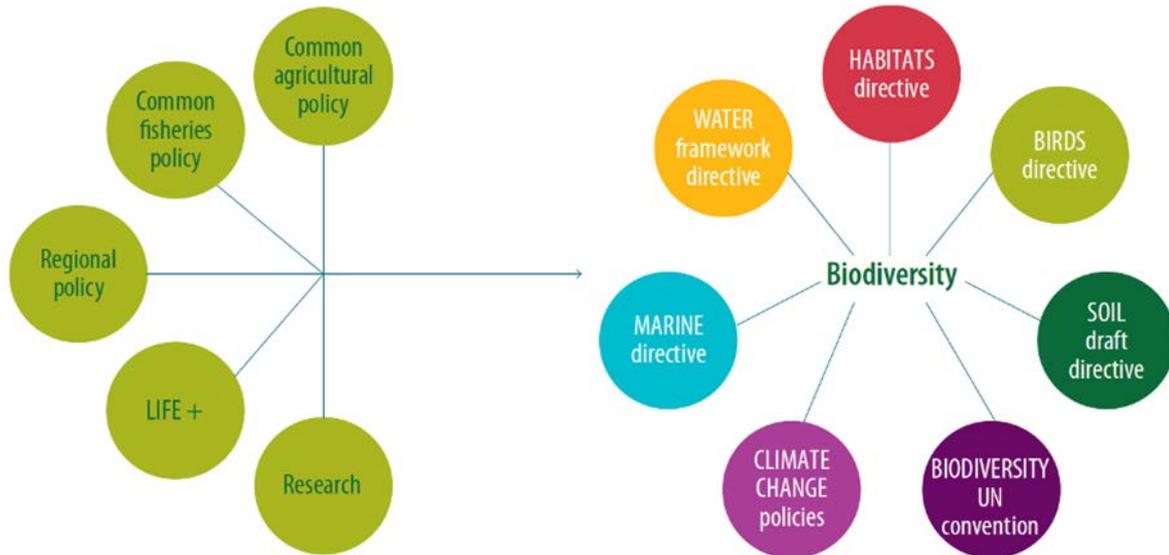
790 Impact avoidance measures stem from both public and private sector governance  
791 instruments. Regulatory tools form the backbone of development and application of impact  
792 avoidance measures, e.g., protected areas or Environmental Impact Assessment, but as Hayes  
793 et al. (2015) note, **there is no standardised framework for avoidance, and it varies considerably**  
794 **between countries**. In addition to regulations, there are voluntary instruments, such as third-  
795 party certification standards, financial loan requirements and corporate policies with  
796 requirements for avoidance, e.g., related to high biodiversity value habitats.

797 In the majority of European countries, the European Union (EU) plays an important role in  
798 setting policy and regulatory frameworks. General EU policies linked to impact avoidance  
799 measures include: the EU Green Deal, the CAP (Common Agricultural Policy), the EU Soil  
800 Strategy 2030, the EU taxonomy, the EU Adaptation Strategy, the EU Action Plan for disaster  
801 risk reduction, and the EU biodiversity strategy.

### 802 Impact avoidance measures in EU policies and regulations related to biodiversity

803 Several EU policies and regulations have an impact on biodiversity (Figure 10). The EU  
804 biodiversity strategy for 2030, adopted in 2020 (EU, 2020), is a long-term plan to protect  
805 nature and reverse ecosystem degradation by prioritising biodiversity throughout the other  
806 EU policies. It represents a core part of the EU Green Deal and will also support green  
807 recovery after the pandemic. It distinguishes itself from the previous Communication of 2011  
808 by establishing a Trans-European Nature Network of protected areas covering 30% of EU  
809 land and seas, an EU Nature Restoration Plan with binding targets and a set of measures  
810 enabling a 'transformative change' including better tracking, knowledge base and financing.

## ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY



Source: European Commission.

811

812 **Figure 10.** EU policies and legislation have an impact on biodiversity. The Marine Directive includes  
813 both the Marine Strategy Framework Directive (MSFD) and Marine Spatial Planning Directive (MSPD)

814

815 At the regulation level, **avoidance is strongly embedded in the Birds and Habitats Directives**  
816 (EU-DG Environment, 2014) that focus on species and habitats in need of protection. The two  
817 directives require the Member States to do more to prevent further deterioration of these  
818 species and habitat types. They must also undertake positive management measures to  
819 ensure populations are maintained or restored. According to Article 6, par. 2 of the Habitats  
820 Directive: *“Member States shall take appropriate steps to avoid, in the special areas of  
821 conservation, the deterioration of natural habitats and the habitats of species as well as  
822 disturbance of the species for which the areas have been designated, in so far as such  
823 disturbance could be significant in relation to the objectives of this Directive.”*

824 To help the application of Article 6, the Commission issued various methodological guidance  
825 documents, such as ‘Managing Natura 2000 sites’ (EU-DG Environment, 2021). The guidance  
826 explicitly states the term ‘avoid’ refers to *“the anticipatory nature of the measures to be  
827 taken. It is not acceptable to wait until deterioration or disturbances occur before taking  
828 measures”* (p. 25). Furthermore, Article 6(2) specifies that appropriate avoidance steps must  
829 be taken *‘in so far as such disturbance could be significant in relation to the objectives of this  
830 Directive’*. Therefore, the disturbance in question has to be relevant to (i.e., have an impact  
831 on) the conservation status of the species in relation to the objectives of the Directive.

832 Similarly, in 2020, the EU Commission issued guidance on wind energy developments and EU  
833 nature legislation (EU-DG Environment, 2020) that explicitly addressed the implementation  
834 of the mitigation hierarchy and, namely, the avoidance stage: “A *spatial plan should ideally*  
835 *identify categories of locations suitable for wind energy development, listed in order of*  
836 *priority ranging from locations of low-ecological-risk deployment (in terms of the objectives*  
837 *of the Nature Directives) to locations of high-ecological-risk deployment. In sites with*  
838 *exceptionally high biodiversity values, this could even lead to defining exclusion zones”*(p.44).

839 The guidance further states: “*The ‘mitigation hierarchy’ applies, which means that measures*  
840 *to avoid negative effects in the first place must be considered and implemented before*  
841 *measures to reduce negative effects. It is also **good practice to apply these measures at the***  
842 ***source before considering measures for the receptor.** The best way to minimise negative*  
843 *effects on EU-protected habitats and species is to locate projects away from vulnerable*  
844 *habitats and species (a practice known as ‘macro-siting’). This can best be achieved through*  
845 ***strategic planning at the administrative, regional, national or even international level, in***  
846 *particular through the maritime spatial plans drawn up under the Maritime Spatial Planning*  
847 *Directive. Cooperation between the Member States and with countries outside the EU is also*  
848 *required when developing maritime spatial plans.”*

#### 849 **Impact avoidance measures and land-use planning**

850 In 2011, the European Parliament stressed the **need to strengthen the Environmental Impact**  
851 **Assessment Directive**, for a more rigorous interpretation of its objectives. The aim was to  
852 achieve No Net Loss and, where possible, biodiversity gains. In addition, it was stressed that  
853 specific requirements were needed for the ongoing monitoring of biodiversity impacts of  
854 projects and the effectiveness of mitigation measures, including appropriate provisions to  
855 access information for enforcement.

856 Concerning the latter point, an example from Bulgaria highlights that despite a legal  
857 requirement for stakeholder consultation in land use design and environmental impact  
858 assessments, there were still implementation problems. While public hearings are commonly  
859 used to hear stakeholder opinions, in practice, it is often merely a formality (Simeonova and  
860 van der Valk, 2016). This is in line with the findings of Tillemann et al. (2021) in Estonia, who  
861 conclude that **it is not only legislative requirements that determine the efficiency of**  
862 **ecological network planning and implementation but a rather effective implementation.** Other  
863 examples from practice, such as the bottom-up initiative for the development of the disused  
864 Airport Tempelhof, Germany, confirm that local stakeholders’ values’ are not always properly  
865 accounted for in planning processes (Langemeyer et al., 2016a).

866 It must be pointed out that the implementation of the mitigation hierarchy through planning  
867 laws relies on **consistent decision-making between various governance levels.** This is hard to

868 achieve, considering that land use planning is a separate process from planning and conserving  
 869 natural areas (Toivonen et al., 2021) and the most significant policy gaps notably concern the  
 870 treatment of unavoidable residual impacts on biodiversity outside Natura 2000 sites (Pilgrim,  
 871 2013; van Teeffelen, 2014; Quétier, 2015; Schulp et al., 2016). Finland provides a good example  
 872 of a collaborative process involving different levels. It has 18 Regional Councils with the remit  
 873 to guide municipal planning processes by reconciling international, national, and regional rules  
 874 and regulations with local interests (Toivonen et al., 2021). Conversely, in Sweden,  
 875 municipalities have the main responsibility for spatial planning, thus, decisions taking into  
 876 account ecosystem services and biodiversity can be taken at the local level generating  
 877 multiple-level benefits (Khoshkar et al. 2020).

878 Establishing a sufficient scientific base for avoiding the negative impacts of projects is often  
 879 not ensured under the current legal framework. Yet, the practice shows this does not hamper  
 880 project approval, in spite of the precautionary principle. Indeed: “In most cases, the evidence  
 881 base was sufficient to enable developers and decision-makers to comply with the Nature  
 882 Directives, but there were knowledge gaps, which posed a challenge for developers and  
 883 decision-makers when evaluating the impact of energy projects” (Moreira, 2019, p136). A clear  
 884 obstacle to achieving an accurate basis for decision-making is the long administrative  
 885 procedures required, which increases developers’ administrative costs (Kyriazi et al., 2016).

#### 886 Impact avoidance measures and marine spatial planning

887 In the most important EU-level Directives for marine biomes (EU, 2008), the concept of  
 888 mitigation hierarchy is not explicitly mentioned. Instead, it refers to mitigation or mitigation  
 889 measures of certain phenomena to be tackled through marine spatial planning by the Member  
 890 States. In particular, the Marine Spatial Planning Directive (MSP) 2014 (2014/89/EU) defines in  
 891 Article 13 that “...*healthy marine ecosystems and their multiple services, if integrated in  
 892 planning decisions, can deliver substantial benefits in terms of food production, recreation  
 893 and tourism, climate change mitigation and adaptation, shoreline dynamics control and  
 894 disaster prevention.*” Article 14 of the Directive specifies that Member States should use an  
 895 ecosystem-based approach (EBA) to promote sustainable use of marine resources and ... “*that  
 896 the collective pressure of all activities is kept within levels compatible with the achievement  
 897 of good environmental status (GES)*”..., as described within the Marine Strategy Framework  
 898 Directive (MSFD; 2008/56/EC). Article 14 of the MSP Directive identifies ... “*Member States  
 899 should take into account the precautionary principle and the principle that preventive action  
 900 should be taken*”. Article 30 defines that the “*Member State...should take appropriate ad-hoc  
 901 measures with the aim of continuing to pursue the environmental targets, preventing further  
 902 deterioration in the status of the marine waters affected and mitigating the adverse impact  
 903 within the marine region or subregion concerned.*”

## 904 Use of ecosystem services concept and mitigation hierarchy

## 905 Impacts

906 The systematic mapping showed the **diversity of frameworks for impact assessment**; for  
 907 example: the shellfish reef-based management framework focused on marine spatial planning  
 908 (Cobacho et al., 2020), conservation priority networks for vulnerable marine ecosystems and  
 909 the systematic conservation plan (Combes et al., 2021), biodiversity impact assessments  
 910 (Geneletti, 2003), the Strategic Environmental Assessment and Environmental Impact  
 911 Assessment (Honrado et al., 2013). Furthermore, there is the administrative and financial  
 912 incentive scheme, the high nature value area, which proved an important factor for mitigating  
 913 conflict (Kovacs et al., 2016). Similar results were obtained in the analysis of the effectiveness  
 914 of the Cumulative Effects Assessment carried out by Farella et al. (2021)

915 However, ecosystem services benefits are often overlooked due to a lack of integration of  
 916 local stakeholders into ecosystem services valuation processes (Fontaine et al., 2014;  
 917 Karrasch, 2014; Kovacs, 2016) and an underestimation by businesses of the value of natural  
 918 capital, especially of intangible benefits (Cambridge Conservation Initiative 2020; Gontier,  
 919 2007; Iberdrola, 2019). Ecosystem services benefits are also overlooked by landowners due  
 920 to a lack of incentives when benefits accrue to others (Eyvindson, 2018; Iberdrola, 2019; Salata,  
 921 2020). Honrado et al. (2013), in an analysis of Strategic Environmental Assessments and  
 922 Environmental Impact Assessment practices, underline the fact **ecosystem services benefits**  
 923 **are being overlooked** in both instruments, and they are often not explicitly considered.  
 924 Honrado et al. (2013) propose testing the ecosystem services-based framework for  
 925 environmental assessment to remedy the situation.

926 Three types of approaches are put forward characterising the inclusion of biodiversity in  
 927 Environmental Impact Assessments (Gontier et al., 2006): (a) an approach focused on **single**  
 928 **sites or a single biodiversity element** with no general overview, (b) a functional and dynamic  
 929 **ecosystem approach** and (c) a **habitat suitability approach** based on processes.

930 In relation to the first approach, there are different single-site impacts. Gontier et al. (2006)  
 931 used modelling methods for the prediction of habitat suitability for the lesser spotted  
 932 woodpecker. These authors demonstrate that the analysis of the habitat suitability, impact  
 933 analysis, or potential distribution of one species is *"not an assessment of biodiversity, but it*  
 934 *can still provide valuable information on potential impacts on the ecological value of an area"*  
 935 (Gontier et al., 2006, p455).

936 For the second - a functional and dynamic ecosystem approach - several studies reinforce  
 937 ecological importance by identifying and mapping high-priority areas for protection and  
 938 superimposing them onto an urban development plan, thereby indicating avoidance in terms

939 of landscape, e.g. (Geneletti, 2003; Azzellino et al., 2013; Coppola et al., 2019; Bigard et al.,  
940 2020).

941 The third approach takes participatory processes into consideration. Potential benefits of  
942 the impact assessment process include tools to generate new ideas, new forms of knowledge  
943 co-production and self-reflexivity, and mutual learning about the values and interests of other  
944 stakeholders anchored in a learning-by-doing process (Kovacs et al., 2016).

945 The application of the mitigation hierarchy and ecosystem services within impacts  
946 assessment provides an **opportunity to identify conflicts and synergies** between human  
947 actions and ecosystems, to establish dialogue and negotiation processes, to enhance gains  
948 for beneficiaries and avoid losers, as well as to explore long term benefits for which the  
949 strategic level of discussion is appropriate (Hornado et al. 2013). Although in many papers, the  
950 avoidance stage is not mentioned explicitly, the evaluation process infers it. The use of  
951 evidence within the impact framework can either indicate solutions for the avoidance step or  
952 assess whether this step has been adequately achieved.

### 953 **Risks and Challenges**

954 There are many risks and challenges to implementing biodiversity protection measures  
955 highlighted by the systematic mapping analysis. These range from a lack of institutional  
956 capacity to the complexity of managing landscapes for biodiversity. The notion of risk and  
957 challenges within the mitigation hierarchy has several dimensions relating to **how the**  
958 **mitigation hierarchy can contribute to the reduction of adverse environmental effects** on  
959 biodiversity/ecosystem services, and **how adaptations of the mitigation hierarchy framework**  
960 **across scales, methods and stakeholder groups have been misused**. In the following sections,  
961 the most important risks and challenges are addressed.

#### 962 *Institutional capacity*

963 There are a **number of constraints on the institutional capacity of authorities and local**  
964 **organisations**, particularly in resource-constrained municipalities, which are unable to hire  
965 personnel with the necessary knowledge (Gonzalez-Redin et al., 2016; Di Marino et al., 2019;  
966 Khoshkar, 2020). Thus, understanding of Green Infrastructure and ecosystem services for  
967 implementation in planning at the local level is variable (Hayes et al., 2015; Langemeyer et al.,  
968 2016b; Di Marino et al., 2019). Even at the governmental level, there is a lack of knowledge,  
969 for example, of the marine environments required for projects, such as wildlife protection,  
970 offshore renewable energies or fracking (Moreira, 2019).

971 **Loss of highly motivated personnel further compromises capacity** (Madsen et al., 2017).  
972 Significant capacity building is therefore needed, including an increase in organisations' social

973 and collective capacity (Simeonova and van der Valk, 2016; Madsen et al., 2017; Mazziotta et  
 974 al., 2017). These issues are particularly acute in post-socialist countries, where unpredictable,  
 975 hierarchical and fragmented structures are key concerns (Simeonova and van der Valk, 2016;  
 976 Logmani et al., 2017; Simeonova et al., 2019). **Capacity building, though, requires significant**  
 977 **investments of time** and can be technically demanding (Heinonen, 2019; Albert et al., 2021),  
 978 which is often lacking in busy planning departments (Madsen et al., 2017; Di Marino et al., 2019).

979 **Capacity issues in the private sector** may also exist. Some lack the relevant knowledge of  
 980 how their activities impact the environment, especially on the ecosystem services and  
 981 biodiversity impacts that local communities depend on. Consequently, their perception of  
 982 risks to environmental resources may differ, leading to uncertain costs and benefits to  
 983 business and society, as well as the potential for conflicts (Mazziotta et al., 2017; Cambridge  
 984 Conservation Initiative, 2020; Markantonatou et al., 2021).

985 *Management complexity*

986 Literature suggests two of the most widely used decision-support tools to inform urban land-  
 987 use planning are **Environmental Impact Assessment and Cost-Benefit Analysis (CBA)**. These  
 988 have played an important role in the practical integration of environmental concerns into  
 989 urban land-use planning. However, the Environmental Impact Assessment has faced much  
 990 criticism due to a weak structure, built upon economic and legal values, far removed from  
 991 ecology (Bigard et al., 2017; Barbe & Frascaria-Lacoste, 2021). Concerns that **economic**  
 992 **interests were prioritised over conservation** measures were raised in the agricultural sectors  
 993 (Kovacs et al., 2016; Lakner et al., 2020), Blue Growth in the marine sectors (Markantonatou  
 994 et al., 2021) and the timber sector (Mazziotta et al., 2017). Combes et al. (2021), however, state  
 995 that socioeconomic costs are essential to be considered and minimised, especially where  
 996 they overlap with conservation areas.

997 **Management choices must take into account different scenarios.** Scenario-based  
 998 frameworks, though, face a limited capacity in integrating ecosystem services and associated  
 999 values, particularly with unmeasurable, non-market services, which can be highly site-specific  
 1000 and may change over time (Gret-Regamey et al., 2008; Fontaine et al., 2014; Langemeyer et  
 1001 al., 2016b; Leone and Zoppi, 2019; Cambridge Conservation Initiative, 2020; Cobacho et al.,  
 1002 2020). They also often make strong assumptions of stable human preferences for a specific  
 1003 ecosystem service at stake, therefore, this adds a degree of uncertainty to the scenario  
 1004 (Fontaine 2014). Temporality is also a particular issue for the long-term management of areas  
 1005 where avoidance has been applied and is dependent on the tenure of the system put in place,  
 1006 especially since post-monitoring is rarely well-supervised, resulting in depreciating  
 1007 biodiversity values (Barbe & Frascaria-Lacoste 2021; Hayes 2015).

1008 **Lack of transparency and replicability** of environmental impact assessments is an additional  
 1009 common shortcoming causing inconsistencies across countries and evaluation procedures  
 1010 largely based on subjective judgments (Pöder, 2006). The methodological framework set by  
 1011 standards ISO 14001 and ISO 14004 gives only general principles for environmental impact  
 1012 assessments. Moreover, even in countries where the environmental impact assessment is  
 1013 quite advanced, there are still unanswered questions, especially concerning cumulative  
 1014 effects and the monitoring of environmental effects (Weiland, 2010).

1015 **Cumulative impact assessments**, therefore, are useful instruments that can help address and  
 1016 locate mitigation and avoidance measures, but they need to be provided at an adequate  
 1017 landscape scale. This is because apparent small-scale impacts or losses may result in  
 1018 significant impacts at the national scale (Hayes 2015). Before and after analyses in  
 1019 Environmental Impact Assessment studies are also essential at a systematic level (Claireau et  
 1020 al., 2019).

1021 *Scale*

1022 Ecosystems are not static systems, nor are they bounded by administrative boundaries  
 1023 posing problems for their management when broader-scale decisions are needed (Kurttila  
 1024 et al., 2002; Gontier, 2007; Gret-Regamey et al., 2008; Fichera et al., 2015; Gonzalez-Redin  
 1025 et al., 2016; Langemeyer et al., 2016b; Di Marino et al., 2019; Barbe & Frascaria-Lacoste, 2021).  
 1026 For example, basin-scale marine spatial planning is needed to mitigate against increasing  
 1027 impacts from the fishing and mining sectors (Azzellino 2013; Combes 2021).

1028 Landscape effects impact biodiversity where species dispersal characteristics are influenced  
 1029 by a particular landscape matrix (Muratet et al., 2007; Tarabon et al., 2019b; Sahraoui et al.,  
 1030 2021). For example, lighting, provided for safety reasons, impacts light-sensitive species  
 1031 affecting circadian rhythms, predation, feeding and reproduction (Voigt et al., 2018;  
 1032 Jagerbrand and Bouroussis, 2021). Some studies found that the **current mitigation measures**  
 1033 **at the species level are inadequately implemented or have never been proven to be effective**  
 1034 (Delbaere et al., 2009; Claireau et al., 2019; Tarabon et al., 2019a). An example is the potential  
 1035 biodiversity loss in the time taken to construct wildlife corridors or overpasses (Tarabon  
 1036 2019a).

1037 Mejía et al. (2015) and Markantonatou et al. (2021) highlight the **need for an ecosystem-based**  
 1038 **approach in resource management** to improve the decision-making process. In addition,  
 1039 Toivonen et al. (2021) argues for increasing the size of nature protection areas as 70% of  
 1040 biodiversity currently lies outside of these areas. However, as Markantonataou (2021) points  
 1041 out, stakeholders prefer smaller protection zones, even though decision-makers prefer larger  
 1042 areas for administrative reasons.

1043 *Multi-stakeholder collaboration*

1044 Policies can add to the uncertainty and environmental risks to society. The absence of any  
 1045 social dimension that recognises the competing values of stakeholder groups creates a  
 1046 barrier to effectively avoiding impacts (Hayes 2015). However, **participation is sometimes**  
 1047 **limited to consultation and not collaboration**, which hampers stakeholder engagement  
 1048 (Simeonova & van der Valk 2016). The ecological network concept can be successfully  
 1049 implemented into planning documents only with an effective stakeholder network and an  
 1050 adequate basis for information across all levels of governance (Tillemann et al., 2021).

1051 Formal and informal multi-layered governance structures of urban green spaces determine  
 1052 their management and the importance of ecosystem services in land-use planning and  
 1053 participatory decision-making. **Formal participatory measures in land-use governance tend**  
 1054 **to be ineffective** due to insufficient information flows within multi-level governance  
 1055 structures, lack of administrative coordination between upper governance levels and local  
 1056 and regional levels, insufficient administrative capacity and exclusion of certain stakeholders  
 1057 from the planning phase (e.g., NGOs, local association, social movements, citizens organised  
 1058 individually and collectively).

1059 The **ecosystem services concept, therefore, is not fully acknowledged in spatial planning** (Lai  
 1060 et al., 2017; Di Marino et al., 2019) even though the socio-spatial context is essential for  
 1061 planning frameworks (Albert et al., 2021). The complexity inherent within ecosystems also  
 1062 presents a significant barrier to implementation in land-use planning, where **assessments tend**  
 1063 **to focus on the flow of benefits to people and so fail to recognise the current and future role**  
 1064 **of biodiversity** (Gonzalez-Redin et al., 2016; Cambridge Conservation Initiative, 2020).  
 1065 Limitations to the evaluation criteria chosen can occur as **citizens are usually concerned with**  
 1066 **short-term benefits, whereas the experts view the long-term ones** (Langemeyer et al., 2016a).  
 1067 Therefore, new instruments and forms of science-practice collaboration in planning  
 1068 processes are needed (Gret-Regamey et al., 2008; Bigard et al., 2020; Sahraoui et al., 2021).

1069 *Data Quality*

1070 **Spatial quality of the data, its comprehensiveness and costs** are important in a given territory  
 1071 to ensure that local characteristics are taken into consideration (Borgstroäm and Kistenkas,  
 1072 2014; Barbosa et al., 2019; Bigard et al., 2020). Failing to do so may lead to negative outcomes,  
 1073 particularly when a territory contains multiple landowners with varying interests (Kurttila et  
 1074 al., 2002; Albert et al., 2021). For example, forests may lose their functionality if they become  
 1075 reduced in size and become fragmented, but strategies need to also address the unequal  
 1076 impacts on landowners, ensuring equitable application (Kurttila et al., 2002; Tarabon et al.,  
 1077 2019a, b)

1078 **Data sets created from multiple classification systems, varying sources and resolutions never**  
1079 **present an accurate picture at a point in time** (Koschke et al., 2013). They may fail to account  
1080 for the temporal nature of a territory, particularly for migratory species (Kovacs et al., 2016;  
1081 Madsen et al., 2017; Bigard et al., 2020), and this is further compounded by low-resolution land  
1082 maps reflecting unbalanced priorities that overlook the ecological complexity (Casalegno et  
1083 al., 2014; Di Marino et al., 2019).

## 1084 **Solutions**

1085 It is argued in the literature that **scenarios, monitoring and evaluation are crucial** for exploring  
1086 the impacts of time, future challenges, space and trends on current situations (Fontaine et al.,  
1087 2014; Albert et al., 2021). Various methods were suggested for the co-creation of the  
1088 scenarios, such as a deliberative democracy process (Fontaine et al., 2014), a companion  
1089 modelling approach (Sahraoui et al., 2021), probabilistic graphical modelling (Gonzalez-Redin  
1090 et al., 2016), and reflexive monitoring.

1091 **Involving stakeholders** in a well-defined process to incorporate their views and perceptions  
1092 is important in addressing conflicts related to conservation of protected species (Kovacs et  
1093 al., 2016). However, different understandings between disciplines and sectors require an  
1094 adaptive process, as effective avoidance strategies can only emerge through cross-sectoral  
1095 and multi-stakeholder collaboration (Karrasch et al., 2014; Hayes et al., 2015; Madsen et al.,  
1096 2017; Sahraoui et al., 2021) The environmental, social and economic issues intertwined in  
1097 ecosystem services does not guarantee adequate inclusion of social impacts in evaluation  
1098 schemes. Therefore, a common vocabulary between disciplines and stakeholders is needed  
1099 as well as conflict resolution between productionist and conservation viewpoints (Sheate et  
1100 al., 2008; Karrasch et al., 2014; Logmani et al., 2017; Brignon et al., 2022).

1101 **Long-term maintenance is needed** in areas where avoidance has been applied and therefore  
1102 requires national legislation to ensure its continuity. Since voluntary standards are insufficient  
1103 to ensure future support and investments avoidance measures are usually put in place (Hayes  
1104 et al., 2015).

1105 **Nature-based solutions** emerged in the literature as an opportunity to address societal  
1106 challenges using ecological processes. In most cases, nature-based solutions are applying new  
1107 solutions to address existing problems, which helps protect existing ecosystem services and  
1108 biodiversity (Albert et al., 2021).

1109 Literature suggests that the inclusion of the **ecosystem services-based approach in coastal**  
1110 **ecosystem** management has so far been largely absent (Karrasch et al., 2014), although it  
1111 could be a potential solution for inclusive management. The Multi-Criteria Decision Analysis  
1112 (MCDA) can bridge ecosystem services and policy processes. However, Langemeyer et al.

1113 (2016a, p54) suggests it is not the “silver bullet” as there are limitations where there are  
1114 multiple levels of ecosystem services supply and demand. For example, there are difficulties  
1115 in defining problems and dealing with several issues and the potential risk of marginalisation  
1116 of “*minority objectives*” (Langemeyer et al. 2016a, p55).

1117

### 1118 APPLIED POLICY DELPHI PROCESS

#### 1119 Mitigation hierarchy as a concept

1120 Generally, there was a **range of different understandings of the concept** evident. For some,  
1121 the mitigation hierarchy was seen as part of a process leading toward sustainability and  
1122 biodiversity protection. However, only four panellists explicitly mentioned that the mitigation  
1123 hierarchy is mainly focused on initiatives to protect ecosystems or measures linked to  
1124 pressures (pollution, spatial planning, climate change), with panellist 7 stating, “*mitigation*  
1125 *hierarchy is kind of connected, in my opinion with this DPSIR (Drivers, Pressures, States,*  
1126 *Impacts, Responses) framework*”. Most panellists agreed there are four aspects to the  
1127 mitigation hierarchy, “*avoidance, minimisation, restoration and offsetting*”, where the first two  
1128 stages fall into preventative actions. They agreed that the avoidance stage is “*the very first*  
1129 *phase that we should have, with the present ideas about transition*” [Panellist 1]. However,  
1130 Panellist 1 also suggested that the mitigation hierarchy should have five stages, with “*enhance*”  
1131 as the first stage.

1132 All panellists had expertise in using the general principles of the mitigation hierarchy, however,  
1133 **most use varying terms for mitigation measures in practice**. It was perceived that the  
1134 mitigation hierarchy “*is implicit rather than explicit*” and focused on “*quantitative hierarchy*  
1135 *(e.g., metrics, indicators for biodiversity), not a qualitative framework or a part of*  
1136 *Environmental Impact Assessment*” [Panellist 3]. Despite the varying definitions of the  
1137 mitigation hierarchy, panellists considered it a useful tool for practical issues or as part of a  
1138 broader approach to environmental management, e.g., spatial planning or ecosystem-based  
1139 management. It was stressed that the mitigation hierarchy is a valuable tool for biodiversity  
1140 protection, among others, due to aspects of stakeholder involvement and transparency-  
1141 which are embedded in the holistic approach and supported by the precautionary principle.

1142

1143

1144 **Ecosystem services as a concept to foster the conservation of biodiversity**  
 1145 **within planning processes**

1146 One of the tasks of this project is to see if the ecosystem services concept could be useful  
 1147 to the application of the mitigation hierarchy. During the interviews, a general consensus  
 1148 emerged that **including ecosystem services could indeed be beneficial**, although at least one  
 1149 panellist expressed strong disagreement with including ecosystem services into the  
 1150 mitigation hierarchy: *“having people external to the technical discussion, you want to make*  
 1151 *sure that incorporating ecosystem services into the mitigation hierarchy isn't in the end of*  
 1152 *shutting these people out - it's hard enough to train a judge on what a species is, what the*  
 1153 *habitat of that species is, and on what basis it was determined to be protected and therefore*  
 1154 *has this and that legal provision. But if you start mixing in much more fuzzy concepts, and the*  
 1155 *problem with ecosystem services is that it's much more fuzzy than 'is this a frog, not a frog'?*  
 1156 *You might be generating confusion and lots of obstacles for other people - non-technical*  
 1157 *people to be involved”* [Panellist 2].

1158 Several panellists highlighted that the concept helps to translate the biophysical environment  
 1159 into the value they bring to people. This **process of translating the values** helps in  
 1160 understanding how the site is used by stakeholders. However, panellist 2 pointed out that  
 1161 *“you're assuming that some kind of expert is able to describe and document the ecosystem*  
 1162 *services, and in this way somehow speaks on behalf of the people who use or depend on*  
 1163 *those ecosystems and so you're creating a barrier in fact, rather than an enabling environment*  
 1164 *for people to voice their concerns - to make sure that you don't replace a much more*  
 1165 *effective system...with something that gives power to experts so that they tell the people*  
 1166 *what are ecosystem services”*. It also allows the use of different types of methods and  
 1167 indicators (that may include, for example, the analysis of ecosystem conditions and  
 1168 ecosystem accounting). This **plurality of evaluation approaches offers a great advantage**  
 1169 *“because for some groups of people it's very good to see numbers, for other people it's very*  
 1170 *good to have data presented qualitatively in storylines”* [Panellist 6], and for some, it will be  
 1171 combining both together.

1172 Another broadly agreed-upon advantage of using the ecosystem services concept is the  
 1173 generation of spatially-explicit analysis of the distribution of ecosystem services. **Ecosystem**  
 1174 **services maps are helpful to identify irreplaceable areas** where impact avoidance should be  
 1175 enforced but also to contribute to the identification of pragmatic solutions for developments  
 1176 that need to go somewhere, and for proposing suitable offsetting measures.

1177 Some panellists, however, shared concerns about how the ecosystem services concept is  
 1178 applied in impact assessment and mitigation practices. The first concern relates to the  
 1179 **separation of ecosystem services and biodiversity conservation**, which is not consistent with

1180 the idea that biodiversity is essential to support all ecosystem services. In the words of  
1181 Panellist 1, *"the ecosystem services component for me it's obviously an all-encompassing*  
1182 *thing, not detaching conservation from sustainable use aspects. (...) You have to process this*  
1183 *stuff from an integrated perspective. (...). Conservation and sustainable use of ecosystem*  
1184 *services is something you have to address in one topic and not split up"*. However, Panellist 8  
1185 highlighted that in Estonia, conservation issues are rooted in legislation, but ecosystem  
1186 services only have implicit backing and not legal backing in decision-making.

1187 A second concern, shared by two panellists, is the **excessive focus on the direct benefits** that  
1188 people get from the natural environment and on associated cost-benefit analyses: *"Because*  
1189 *a lot of people when they think ecosystem services, they think cost-benefit analysis and*  
1190 *looking at the economic value from an ecosystem service and somehow thinking that*  
1191 *decisions are made on a harmful project on the basis of rigorous cost-benefit analysis, which*  
1192 *is not true"* [Panellist 2].

1193 Individual panellists also identified a few critical points that need to be carefully addressed in  
1194 future applications of the mitigation hierarchy to offset biodiversity and ecosystem services  
1195 loss. These critical points include:

- 1196 • The need to set up multi-scalar offsetting schemes that can consistently address  
1197 biodiversity and ecosystem services issues at multiple levels (from the EU scale to the  
1198 local scale).
- 1199 • The problem of finding suitable spaces to implement compensation actions, especially  
1200 in marine areas, where ecosystems do not have fixed boundaries.
- 1201 • The inevitable uncertainty associated with how ecosystems actually evolve in offset  
1202 sites (in terms of species composition, ecosystem structure and functioning, the  
1203 evolution of the ecosystems over time due to external influences such as climate  
1204 change, etc.).

### 1205 **Tools and practices that address the aspect of ecosystem services in the** 1206 **mitigation hierarchy**

1207 As the mitigation hierarchy is a framework, it has strong links with tools and practices that  
1208 are used in making land- and resource-use decisions. Several panellists highlighted the **link**  
1209 **between mitigation hierarchy and land-use planning** to incorporate ecosystem services and  
1210 biodiversity knowledge into decision-making. *"Mitigation hierarchy avoiding or reducing the*  
1211 *pressure must be going with a nice planning and really detailed planning, and MSP [Marine*  
1212 *spatial planning] does it from the beginning stage"* [Panellist 7]. The EU has recognised the  
1213 link between avoidance and MSP with the [checklist toolbox](#), which proposes an ecosystem-  
1214 based approach.

## ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY

1215 The link between mitigation hierarchy and land-use planning is especially important at the  
1216 early stages of planning. Several panellists suggested that the avoid stage, in particular, is  
1217 useful in emphasising where development should not occur. *"We have irreplaceable habitats,  
1218 and they are truly irreplaceable, you can't do net gain if you lose them, they're just  
1219 irreplaceable"* [Panellist 3]. However, panellists recognised that avoiding all impacts is  
1220 impossible in practice, *"it's always an intervention in an area that results in positive and  
1221 negative impacts (...) So simply avoiding everything is impossible because you'll be interfering  
1222 in the environment....Avoidance and mitigations are very intricately interwoven very often"*  
1223 [Panellist 1]. It was stressed though, that *"we have to keep on talking also about avoiding as  
1224 we can still do things there"* [Panellist 4].

1225 To avoid impacts, the panellists emphasised that it is key to know if there are any sensitive  
1226 biodiversity spots, what ecosystem services are produced, what ecological condition the  
1227 ecosystem under investigation is in, and what pressures biodiversity and ecosystem services  
1228 face. In the avoidance stage, *"we can understand which areas potentially in the future will be  
1229 under pressure, and specific recommendations or regulations can be defined for this kind of  
1230 analysis"* [Panellist 7]. There was a general consensus in the panel that it is **important to work  
1231 with spatial tools to identify conflict areas and pressures** to deal in planning to avoid future  
1232 negative impacts. For this, modelling can help: *"we were checking using the Bayesian Belief  
1233 Networks, trying to identify the best areas, the most suitable areas and most sustainable areas  
1234 for offshore wind platforms in Basque country but at the same time in the Eastern Atlantic  
1235 Coast,"* [Panellist 7]. However, *"There will always be an impact [if we interfere in the  
1236 environment], but it depends on the perception of the stakeholders and the experts involved,  
1237 whether it's acceptable or not - whether you have more positive consequences, or you have  
1238 negative"* [Panellist 1].

1239 Furthermore, it's important to consider **cumulative impacts and risk-based assessments;**  
1240 *panellist 9 explained that there is the potential to incorporate risk-based assessment into  
1241 cumulative impact assessments, "For example, to better frame the cumulative effect  
1242 assessment but also the MSP [Marine Spatial Planning]; to try to harmonise the concept  
1243 provided by the mitigation hierarchy with the risk-based assessment could offer a better  
1244 opportunity to the methodology to be directly used from the practitioners and the planners,  
1245 and so on - a good next step for that can be implemented."*

1246 It's worth noting that ecosystem and ecosystem services mapping can reveal that **impacts  
1247 have already happened**, and the question then becomes **what is the baseline for mitigation  
1248 hierarchy – to avoid or to restore?** Panellist 8 explained from their experience, *"After we had  
1249 mapped this ecosystem condition and ecosystem services, we found out that it's not too  
1250 good the condition of our natural ecosystems, especially in the forests and the fields in  
1251 agriculture. But it's good that we have these maps at the moment, and we can use it [for]*

1252 *decision-makers. Also, this is how it is at the moment, we should do something, we should*  
 1253 *preserve something that we already have, at least. Very important is that we have this spatial*  
 1254 *data”.*

1255 **Current use of mitigation hierarchy in policies and regulations**

1256 Some countries have **incorporated mitigation hierarchy principles into their laws**, with some  
 1257 countries of Europe applying it *“more strategically in land use plans”* [Panellist 2]. Not all  
 1258 planning laws are helpful, though, for example, *“quite old regional rules and regional plans for*  
 1259 *land. Not so effective, not so good in avoiding the impact of the process”*[Panellist 5].

1260 It has been reported that *“the mitigation hierarchy is not very consistently used”* [Panellist 4]  
 1261 in land-use laws except for coastal land use, where *“they want to avoid the most biodiverse*  
 1262 *rich areas. While in other laws, there is not usually the requirement to find the most unharmed*  
 1263 *spot for certain projects.”* [Panellist 4]. Also, it is **difficult to assess the mitigation hierarchy**  
 1264 **use in land use plans**, as decisions taken to apply the avoidance stage of the mitigation  
 1265 hierarchy are not clearly stated [Panellist 2]. Some municipal land use plans state that they  
 1266 want to use the “no net loss approach”, an aspect of the mitigation hierarchy, and there are  
 1267 examples of pilot projects applying the concept in practice [Panellist 4, e.g., Ekoteko project  
 1268 in Finland].

1269 **Building laws and the Environmental Impact Assessment** are also relevant for applying the  
 1270 mitigation hierarchy. Some countries have introduced the concept of ecosystem services in  
 1271 their building laws, such as in Germany [Panellist 2]. However, the [German tool](#) seems more  
 1272 focused on offsetting, the last stage of the mitigation hierarchy, rather than avoidance.  
 1273 Panellists stressed that the avoidance stage in the Environmental Impact Assessment still  
 1274 plays a minor role due to unclear wording. In addition, project approvals are unbalanced since  
 1275 developers hold more power than other actors. Another problem highlighted is that: *“in*  
 1276 *environmental impact assessment, it says that you have to look for alternatives, but it does*  
 1277 *not always mean that you have to look for alternative locations”* [Panellist 4].

1278 In some countries, there are specific regulations for how you **mitigate and compensate forest**  
 1279 **clearing (deforestation)**: *“the rationale for that is that those forests provide services, and so*  
 1280 *when you determine if and how you should give a permit for that clearing, then ecosystem*  
 1281 *service aspects (...) such as the leisure activity, green spaces, all of that is taken on board”*  
 1282 [Panellist 2]. Other regulations that might be linked are those related to **gas and oil extraction**  
 1283 **in the sea** [Panellist 9].

1284 General **EU policies** mentioned by the panellists linked to the mitigation hierarchy avoidance  
 1285 stage include: the EU biodiversity strategy - i.e. the No-Net-Loss objective; the EU Green  
 1286 Deal; CAP; the EU Soil Strategy 2030; and the EU taxonomy.

1287 Furthermore, it has been suggested that **international bodies' funding project policy tools**  
1288 are successful examples of implementation. For example, *"the IFC [International Finance*  
1289 *Corporation] criteria are still the strongest around the world, but I must say for example, that*  
1290 *the EIB [European Investment Bank] has developed guidelines for hydropower which are*  
1291 *revolutionary"* [Panellist 1]. Taxes are also potential policies to impact the avoidance stage:  
1292 *"some municipality councils received (...) a proposal to increase the local taxes, local fees for*  
1293 *new buildings in order to avoid the new land take and reuse of the already taken areas in the*  
1294 *cities"* [Panellist 5].

1295 To sum up, links to the policies that may be used to implement the mitigation hierarchy can  
1296 be listed based on the following **multilevel approach**:

- 1297 • International level: Guidelines on Environmental Impact Assessment/Strategic  
1298 Environmental Assessments,
- 1299 • EU level: policies on biodiversity.
- 1300 • National level: policies on zoning.
- 1301 • Local/municipal level: policies on zoning and taxation.

### 1302 Use of ecosystem services concept and mitigation hierarchy

#### 1303 Challenges

1304 Panellists highlighted various challenges with mitigation hierarchy definitions. *"There's so*  
1305 *many different definitions of it and the ways to frame it - that doesn't help. Because when*  
1306 *you get inconsistency, it undermines the concept of it"* [Panellist 3]. **Unclear definitions** often  
1307 lead to unclear rules and a lack of consistent application of concepts, a concern of many  
1308 panellists. *"It's too easy to jump this step, the mitigation and go directly to compensation...*  
1309 *[and] In some cases municipalities that are not following these principles, guidelines at all"*  
1310 *[Panellist 5].*

1311 Furthermore, the mitigation hierarchy framework faces multiple issues at varying levels of  
1312 **governance and implementation**. Global frameworks are being developed where discussions  
1313 on biodiversity are *"not even bothering to put a quantitative assessment on the avoidance*  
1314 *side of things because it's not clear how to do that"* [Panellist 10]. *As mentioned earlier,*  
1315 *discussions at all levels can be hampered by power imbalances,* and as Panellist 2 notes:  
1316 *"Mitigation and compensation are a tool to find a common ground. The problem with that is*  
1317 *that the parties who are around the table to find this common ground are not equals" where*  
1318 *those "working on endangered species hold much less power in that discussion than, you know,*  
1319 *the multinational with deep pockets or that has managed to obtain strong support from*  
1320 *government authorities etc."*.

1321 It has also been argued that **policymakers are "not passionate" about biodiversity** and  
 1322 generally *"not so open to new policies"* [Panellist 6]. *"My concern is how we will keep this*  
 1323 *concept and that this is not just the 10 years' fashion"* [Panellist 6]. However, Panellist 11  
 1324 suggested that *"politicians have been very welcoming to these new ideas"* to incorporate  
 1325 ecological thinking. Panellist 6 also pointed out how **many governments are not stable**, so  
 1326 politicians are reluctant to take action as they are focussed on short-term gains because, as  
 1327 Panellist 2 says, *"defining a target is difficult", and "not having a target is a good way for*  
 1328 *politicians to not take a stand and remain ambiguous and make everyone happy"*.

1329 **Weaknesses at the national level of policymaking** can pose a threat to local-level  
 1330 implementation. **Weak local-level capacity** can threaten national biodiversity strategies and  
 1331 so on. As Panellist 2 argues, *"it's going to be a multilevel governance system that you'd have*  
 1332 *to put in place (...). That's why some of the biodiversity issues, some of the ecosystem*  
 1333 *services issues are managed at the EU level and others are managed only locally (...) it's not,*  
 1334 *national versus local"*. This can be further amplified by a **lack of knowledge and education**: *"We*  
 1335 *know how to do it because we have been trained on how to do it. But that's not always the*  
 1336 *case, to be very honest, we have lots of things to learn"* [Panellist 11]. They go on to argue that  
 1337 large multidisciplinary teams are needed. Panellist 2, however, highlighted that *"local*  
 1338 *governments don't necessarily have the capacity or the staff to guide them on the technical*  
 1339 *aspects. This is exacerbated by problems with "defining who have the main competences at*  
 1340 *the national level"* [Panellist 5].

1341 **A lack of resources, effective design, monitoring and application in practice** were challenges  
 1342 highlighted by several panellists because *"in order to avoid the sensitive areas, you should*  
 1343 *know where the sensitive areas are, and lack of data is a really big important problem I see"*  
 1344 [Panellist 7]. In other words, it is important *"to have good data about where you can and cannot*  
 1345 *do a project"* [Panellist 2]. *"We need something to show that no, you can't go there - you can't*  
 1346 *waste all these remaining ecosystems in good condition, you have to choose between them,*  
 1347 *and then you may build into already disturbed areas."* [Panellist 8]. But *"We really need a lot of*  
 1348 *resources to carry out investigations in marine areas. I see a lot still needs to be investigated"*  
 1349 [Panellist 6]. And in the end, it **all comes down to money** and attitudes towards it *"when talking*  
 1350 *about benefits... there might be still the economic valuation. I also know that there are*  
 1351 *different attitudes towards making a price tag or estimations in society and also among*  
 1352 *politicians"* [Panellist 6], and in practice, *"Money is a big issue to the cities that are now trying*  
 1353 *to apply it [mitigation hierarchy] to their land use planning. .... as always, it's [the] economy, at*  
 1354 *least short-term economy, that rules"* [Panellist 4]. The main threat is that developers *"want*  
 1355 *to build where it's the most cheap"* [Panellist 8], *"in practice compensation is never costly*  
 1356 *enough"* [Panellist 2].

1357 Also, having tools, even those mandated in regulations, does not mean they are effective in  
 1358 avoiding impacts or mitigating them. As Panellist 10 highlights, *"I have plenty of concerns*  
 1359 *about whether it's done properly or not, whether it's effectively resourced, whether it's*  
 1360 *effectively designed (the kind of the offsets and avoidance measures), whether it's defective,*  
 1361 *where whether it's monitored, whether it's actually done in practice, whether people are*  
 1362 *transparent about the plans and then the outcomes of the biodiversity offsets they build".* In  
 1363 other words, the **link between mitigation hierarchy and the tool can be strong in theory but**  
 1364 **weak in practice:** *"Then the other examples I've run nowadays into very, very often because*  
 1365 *I'm now involved in a panel of experts for the European Commission for the international*  
 1366 *cooperation agenda, and we have to judge all the proposed projects, and we get a very, very*  
 1367 *short notice. We get a very short summary of any proposed project they want to fund, and*  
 1368 *then we usually have to ask for Environmental Impact Assessment, and then, in the end, they*  
 1369 *come up with an Environmental Impact Assessment - you see that it's a tick mark exercise.*  
 1370 *Their project has to do an Environmental Impact Assessment, you get the obvious preferred*  
 1371 *alternative and then usually they have a few other alternatives, which they made up and didn't*  
 1372 *assess very seriously, (...)The quality still is simply unacceptable in terms of trying to avoid*  
 1373 *negative impacts, let alone try to do good for the environment [Panellist 1]".* Thus, **assessment**  
 1374 **times are too short for meaningful evaluation** of the case. As Panellist 5 points out, authorities  
 1375 have a duty to carry out monitoring activities to control and guide the processes of  
 1376 development.

1377 Finally, the **focus on the avoid stage was highlighted as a weakness** by two panellists arguing  
 1378 that a more pragmatic stance should be taken. Panellist 10 suggested that *"minimisation is*  
 1379 *also important".* However, Panellist 1 argues *"that it [mitigation hierarchy] doesn't include the*  
 1380 *very first phase",* that we should *"build back better",* and *"the first step should be enhanced".*

## 1381 Opportunities

1382 Several strengths and opportunities where the mitigation hierarchy could be used at different  
 1383 levels and in varying situations were suggested (Table 2). Three panellists agreed that the  
 1384 mitigation hierarchy is getting **increased attention and application** at local/municipality and  
 1385 regional levels. In contrast, Panellist 10 identified the opportunity to use the mitigation  
 1386 hierarchy at a national level as a *"kind of extension (...) towards the idea of a conservation*  
 1387 *hierarchy, where you're looking at national scale application in the mitigation hierarchy".* One  
 1388 panellist identified the use of the mitigation hierarchy within a No-Net-Loss approach, and  
 1389 another suggested that financial institutions also have an important role to play by *"not going*  
 1390 *to fund this project because this is a really critical habitat"* [Panellist 2].

1391 Two panellists identified the **use of payment mechanisms** in the context of the mitigation  
 1392 hierarchy. This includes, for instance, local scale application by municipalities for land use

1393 planning payments for ecosystem services modification. This proposal would include  
 1394 increasing *“the local taxes local fees for new buildings, in order to avoid the new land take and*  
 1395 *reuse the already taken areas in the cities”* [Panellist 5]. Panellist 10 identified a mechanism  
 1396 for compensation from multinational corporations to *“evaluate their biodiversity impacts year*  
 1397 *on year and then use the mitigation hierarchy as a framework for how they minimise, mitigate*  
 1398 *and ultimately compensate for those [impacts on] the biodiversity of their activities.*

1399 Panellist 3 sees the opportunity for the mitigation hierarchy to be used... *within a risk*  
 1400 *assessment framework – “this could better join this methodology with the MSP [Marine Spatial*  
 1401 *Planning] or other processes, it can provide a common background”.* **Risk-based analysis** can  
 1402 be particularly useful in territorial and Marine Spatial Planning processes and within Blue  
 1403 Growth strategies.

#### 1404 **Future directions**

1405 A diversity of views emerged from the panellists concerning future directions, in particular  
 1406 concerning pressures. Three panellists explicitly stated that the ecosystem services concept  
 1407 may be a good instrument to deal with environmental challenging topics; Panellist 6 further  
 1408 suggested that it was *“important to keep the topic of ecosystem services alive”*. In the same  
 1409 vein, two panellists mention the Green Deal and one Blue Growth that provide a framework  
 1410 to support different perceptions that may influence national policy sectors. These concepts  
 1411 were highlighted for their potential to support the first stage of the mitigation hierarchy,  
 1412 avoidance. However, they are not without opposition, as exemplified by panellist 2: *“Currently,*  
 1413 *we are in favour (as environmentalists), we have a Green Deal policy, [and] biodiversity targets*  
 1414 *(are very strict now) on how to establish protected areas - land and marine areas. But ... we*  
 1415 *also are creating very strong opposition from people who want to generate income or money,*  
 1416 *because they get restrictions or limitations, where to go and what to do.*

1417 Panellist 1 suggested that **participation and early intervention** meant better outcomes, *“and*  
 1418 *that’s the ideal situation that you try to avoid potential unacceptable negative impacts.”*  
 1419 Panellist 8 suggested that people were developing **an awareness concerning the environment,**  
 1420 and **greater value was being put on nature.** For example, *“a very strong confrontation from*  
 1421 *ordinary people”* helped to ensure detrimental laws were not passed in one case. Production  
 1422 of ecosystem service maps of the country was also an asset for raising knowledge on the  
 1423 value of nature to people.

1424 There is a perception that there is **more information on terrestrial systems,** *“where you have*  
 1425 *been studying a lot, so you know what impact will cost”* [Panellist 6] to deal with pressures and  
 1426 impacts. This contrasts with marine systems where there is *“bigger uncertainty. It’s also much*  
 1427 *more difficult to justify why we need to avoid - might be we don’t need it!”* [Panellist 6] In

1428 general, the lack of deep knowledge of different systems, pressures and impacts seems to  
1429 be of critical importance to be able to plan for the future and deploy different mechanisms  
1430 such as compensation to deal with trade-offs and decision-making to be able to plan for  
1431 future directions. This is particularly important, as pointed out by Panellist 6, *“when (...) politicians are also asking concrete arguments or facts, and you are proposing to avoid some activities”*.

1434 Another important consideration is the **spatial dimension to be able to know where to avoid or compensate** *“is an opportunity to protect the nature because if we have the maps and materials where we can show the most valuable ecosystems in good condition, then we can say where it should be preserved”* [Panellist 8], followed by *“In planning protected areas, (...). We need to prove more that these protected areas are necessary so that we need to preserve these communities and ecosystems in these specific areas (...) there are places where we have to avoid - in protected areas, the offsetting part is not even applicable at all”*;  
1441 Other than the spatial dimension it is also important **the temporal dimension towards the future** to be considered in planning projects concerning protected areas *“You have to think more about the long term impacts on biodiversity, even though you don’t go through a protected area, so that’s a bit to mitigation hierarchy”* [Panellist 1].

1445

### 1446 **FURTHER INSIGHTS FROM THE APPLIED POLICY DELPHI PANEL AND CASE STUDIES** 1447 **FOUND IN THE LITERATURE**

1448 After the first round of the Applied Policy Delphi process was completed and the results of  
1449 the systematic mapping were shared with the panellists, further research was undertaken by  
1450 the EWG on the points raised during the Applied Policy Delphi process. In this section, we  
1451 present the results from the second round of the Applied Policy Delphi process combined  
1452 with insights from the case studies found in the literature. We have included the questions  
1453 that were posed to the Applied Policy Delphi panel members under each heading for clarity.

#### 1454 **Enhance stage in the mitigation hierarchy**

1455 **Q1. Should the mitigation hierarchy embrace the enhance stage, or will this complicate the concept**  
1456 **unnecessarily? By enhance, we mean, for example, adding green spaces to developments.**

1457 Seven panellists were divided on whether an “enhance” stage should be included in the  
1458 mitigation hierarchy. Three panellists felt it would complicate or muddle the hierarchy  
1459 [Panellists 1, 2 & 8], especially since it is a recognised and well-established framework [Panellist  
1460 10]. Panellists 2 and 4 suggested that this would lead to a risk of developments with low-grade  
1461 green spaces over-riding the correct application of mitigation hierarchy principles; for  
1462 example, green areas added to housing developments. Panellist 8 pointed out that the

1463 application of an enhance stage implies that “we assume that some impact has already  
1464 happened/is going to be happen and then it would not be avoidance or first stage of the  
1465 hierarchy anymore”. This, therefore, implies that enhance is essentially about the restoration  
1466 of degraded environments. Panellist 4 added, “enhancing implies that we can ‘create better’  
1467 than what existing nature already is. The term should rather be part of the mitigation  
1468 hierarchy, but the government should make regulations that require avoiding and minimising  
1469 first. Otherwise, it will be grass and tulips”. Panellists 1, 3 and 5, however, felt this stage would  
1470 improve the mitigation hierarchy application as “it should specify the outcome for biodiversity  
1471 to achieve by following the mitigation hierarchy, i.e., net gains” [Panellist 3]. Panellist 6  
1472 suggested that if the enhance stage was added, then the mitigation hierarchy name should  
1473 be changed to mitigation governance, as this would broaden the scope of the mitigation  
1474 hierarchy to embrace the enhance stage.

1475 There is no explicit evidence found in the literature reviewed in this study for the use of the  
1476 enhance stage within the mitigation hierarchy. However, in our opinion, the enhance concept  
1477 could be applied as an overarching theme to support biodiversity conservation and the health  
1478 and well-being of society rather than in the hierarchy itself. Examples of how this could be  
1479 applied is in the use of brownfield sites rather than new sites so that green belt land is  
1480 protected from urban sprawl (Cullen, 2006) or in the provision of corridors to connect high-  
1481 quality habitats (Tarabon, 2019a). Kowarik (2021) makes the distinction between “ancient  
1482 wilderness”, or remnants of nature in need of conservation or restoration and “novel  
1483 wilderness” arising from degraded urban-post-industrial sites. These novel wilderness areas  
1484 reflect the enhance concept, where designers have incorporated them into green  
1485 infrastructure, thus supporting biodiversity and providing additional ecosystem services to  
1486 society.

1487 Schulp et al. (2016) argue that spatial flexibility potentially brings higher gains for biodiversity  
1488 and ecosystem services, as does the provision of corridors to connect high-quality habitats  
1489 in Tarabon (2019a). Therefore, we suggest embracing the concept of enhancement as an  
1490 umbrella term to frame a biodiverse future that brings multiple benefits to society, i.e., is net  
1491 positive for nature and people. It can help to set a more positive tone in the land-use debate  
1492 that recognises the important role that biodiversity plays. In conclusion, the term enhance is  
1493 not a useful term within the mitigation hierarchy itself but may prove useful in framing a more  
1494 positive debate around land use for the benefit of biodiversity and society.

### 1495 **Inclusion of ecosystem services and risk to biodiversity**

1496 **Q2. Does including ecosystem services in the mitigation hierarchy pose a risk for biodiversity**  
1497 **conservation because provisioning ecosystem services might take preference over biodiversity? If**  
1498 **ecosystem services are included, how do we ensure biodiversity conservation?**

## ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY

1499 Out of the six panellists who answered the question, three panellists [2, 3 and 8] expressed  
1500 concern with including ecosystem services in the mitigation hierarchy due to the potential  
1501 risks it poses in biodiversity conservation. This is because provisioning ecosystem services  
1502 are “easy to assess” [Panellist 8] and because “biodiversity might be downplayed against much  
1503 more vocal and organised interest groups that will favour intensive agriculture, forestry,  
1504 fisheries (a.k.a. provisioning ecosystem services)” [Panellist 2]. However, Panellist 2 does  
1505 suggest that there are opportunities to connect biodiversity to social challenges though “in  
1506 the context of an Environmental and Social Impact Assessment (ESIA)... as long as the  
1507 biodiversity issues remain under their own standard (e.g., “net gain”).

1508 In contrast, panellists 4, 5, 6 and 10 see the potential to incorporate ecosystem services into  
1509 the mitigation hierarchy, for example, by including “conservation” as an ecosystem service,  
1510 where conservation may consist of a mix of regulations applied to more than one ecosystem  
1511 service [Panellist 1]. However, Panellist 5 suggests that biodiversity and ecosystem services  
1512 should be kept separated in the evaluation in order to better protect irreplaceable areas.

1513 In addition, Panellist 10 suggested defining an “accounting for the social impacts of No Net  
1514 Loss type policies”. This would allow the social dimension values and non-values associated  
1515 with biodiversity loss to be accounted for in projects. The panellist also suggests introducing  
1516 the concept of no-worse off (Griffiths et al., 2017). No-worse-off does not substitute the No-  
1517 Net-Loss of biodiversity but works in parallel to ensure social equity of the process and  
1518 people’s well-being and health. Panellist 8 added it was necessary to assess and map  
1519 regulating and cultural ecosystem services to create a “stronger case” for the implications of  
1520 biodiversity loss and conservation. Panellist 4 suggested It would be good to integrate  
1521 ecosystem services into the mitigation hierarchy, but it should not be made too complicated  
1522 since mitigation hierarchy, especially the aspects of ecological compensations, are  
1523 complicated enough alone. Also, they argue that there should be a hierarchy of ecosystem  
1524 services; for example, life-serving ecosystem services should be prioritised and safeguarded  
1525 before those ecosystem services that grant economic profits.

1526 The literature showed that although there is increasing support for the use of ecosystem  
1527 services in planning, there is little evidence in the mitigation hierarchy literature that the use  
1528 of ecosystem services poses a threat to biodiversity. A wider search of the literature is  
1529 needed to bring these elements together as the expert working group is aware of the  
1530 literature that suggests the use of ecosystem services does potentially impact biodiversity.  
1531 In the marine environment, according to (Azzellino et al., 2013; Farella et al., 2021), there is no  
1532 evidence of ecosystem services being used in marine assessment and mapping procedures.  
1533 However, Farella et al. (2021) use regulatory measures and zoning principles to mitigate  
1534 impacts on marine biodiversity (habitats, seabirds, mammals, fish) from human activities.  
1535 According to Kyriazi et al. (2016), the governance of marine natural resources means trade-  
1536 offs between multiple biotic ecosystem services conserved through a Marine Protected Area

1537 (MPA) and the enabling of abiotic ecosystem services (wind, wave or tidal energy) in its spatial  
 1538 proximity as a societal demand for energy. However, the preference for biodiversity  
 1539 conservation over abiotic energy provision and vice versa does not always occur as they may  
 1540 co-exist in marine realms.

1541 In terrestrial environments, Eyvindson et al. (2018) demonstrate that combining different  
 1542 forest management regimes reduces the negative effects of increasing harvest levels to  
 1543 biodiversity and non-wood ecosystem services. Good landscape-level forest management  
 1544 planning is crucial to minimise ecological costs by prioritising biodiversity values that need to  
 1545 be safeguarded. Biodiversity and ecosystem processes, however, are not evenly distributed  
 1546 over time and space, and that may result in a mismatch of priorities between biodiversity and  
 1547 ecosystem services. Using a landscape-level assessment (Hayes et al., 2015) demonstrates  
 1548 that key biodiversity and ecological processes that characterise a landscape can also support  
 1549 a wide range of ecosystem services in an equitable manner.

1550 Lerouge et al. (2017) state that buffer zones provide spatial resilience to biological functions  
 1551 and services to protect against internal and external shocks. Spatial resilience, however, is a  
 1552 socio-ecological system term. Schulp et al. (2016) identifies the effectiveness of policy  
 1553 options in a mitigation hierarchy context by distinguishing biodiversity and ecosystem  
 1554 services. However, it remains challenging to achieve No Net Loss for biodiversity and  
 1555 ecosystem services at a large spatial scale.

1556 The Mapping and Assessment of Ecosystem Services (MAES) process in Europe proved to  
 1557 be a very constructive and successful way to engage stakeholders from the member states,  
 1558 test methods and deliver relevant outcomes. Some successful examples from Bulgaria  
 1559 (Nedkov et al., 2018), Latvia (Ruskule et al., 2018), among others (Santos-Martin et al., 2018),  
 1560 provided good insights in terms of the advantage of mapping ecosystem services to improve  
 1561 assessments. However, it was difficult to downscale the process from the national level to  
 1562 the territorial planning level in order to reach practical outputs to target the needs of  
 1563 avoiding or/mitigating biodiversity loss. Still, the integration of ecosystem services  
 1564 supported knowledge development and cooperation to improve biodiversity conservation  
 1565 (Maes et al., 2012, 2018).

1566 **Implementing effective avoidance**

1567 **Q3: What does effective avoidance look like, at what level should it happen, e.g., landscape, species,**  
 1568 **ecosystems, and how do you measure it?**

1569 All panellists who responded to the question agreed that *“avoidance should happen at all*  
 1570 *scales, from policies, (programmes), plans to projects”* [Panellist 2] and from species to  
 1571 ecosystems. *“The level depends on the intended scale of activities”* [Panellist 6], and

1572 determining the *“appropriate level should be project-specific”* [Panellist 5]. Moving from  
 1573 species to landscape and ecosystem level makes avoidance more complicated as ecological  
 1574 corridors, and other essential elements of ecological networks are often not under  
 1575 protection. Hence, early stages of development were emphasised, as this is when decisions  
 1576 to use or not to use untouched sites can be taken. Four panellists highlighted the importance  
 1577 that *“development is planned based on spatial / mapped conservation priorities with zones of  
 1578 development and zones of no-development based on conservation priorities”* [Panellist 3].  
 1579 This makes avoidance effective and *“makes business sense as it is a much more cost-  
 1580 effective way to plan [compared to the current system]”* [Panellist 3]. Of course, *“to choose,  
 1581 which areas have to be untouched, a good input spatial data of the ecosystems, their  
 1582 ecological connectivity and other aspects characterising their condition and ecological value  
 1583 is needed”* as Panellist 8 put it.

1584 Only three panellists commented on how effective avoidance should be measured, but those  
 1585 that did highlight measuring both actions (what actions are taken) and outcomes (are  
 1586 decisions adhered to) [Panellist 3] and the cost aspects *“It should be measurable in the same  
 1587 units as offsets to allow a loss gain balance”* [Panellist 10].

1588 Evidence from case studies and policy documents is aligned with the panellists’ views. Case  
 1589 studies support early analysis of potential direct and cumulative impacts as a means for  
 1590 effective avoidance (Bigard et al., 2017, 2020), as well as avoidance measures targeting all  
 1591 levels from landscape to species that take into account connectivity (Bigard et al., 2020;  
 1592 Pontoppidan MB & Nachman G, 2013; Sahraoui et al., 2021; Tarabonet al., 2019b). The  
 1593 importance of landscape scale is emphasised, especially in places undergoing rapid landscape  
 1594 changes (Tarabon et al., 2019a). Based on their analysis of several Environmental Impact  
 1595 Assessments over a decade, Bigard et al. (2017) conclude that: *“The development of a  
 1596 territorial strategy that shifts from an approach based on treating “symptoms” at the scale  
 1597 of individual projects to a more preventive approach focused on the avoidance of  
 1598 biodiversity loss and mitigation of cumulative impacts is now necessary”*. Similarly to the  
 1599 panellists’ views, mapping and good input spatial data is highlighted in several of the case  
 1600 studies (e.g., Bennett, 2018; Pontoppidan & Nachman, 2013; Tarabon et al., 2019a; Tarabon et  
 1601 al., 2019b;) and incorporating biodiversity into natural capital assessments is recommended  
 1602 (Cambridge Conservation Initiative, 2020).

### 1603 Improving the effectiveness of the decision-making process

1604 **Q4. Stakeholders have different degrees of power to influence decisions under the mitigation**  
 1605 **hierarchy. How can the decision-making process that supports the delivery of mitigation hierarchy be**  
 1606 **made more effective?**

## ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY

1607 Of the six panellists who answered this question, each interpreted it in a different way. They  
1608 all agreed that the mitigation hierarchy should be incorporated into the initial stages of  
1609 decision-making, policy design and planning. Panellist 2 also suggests that consideration of  
1610 ecosystem services is also one way of incorporating stakeholders into the process. Panellist  
1611 3 argues that the most effective application of the mitigation hierarchy is *“at the earliest*  
1612 *possible stage”* of policymaking, for example, locally when development plans are made.  
1613 Panellist 4 also stated that research in Finland concluded that citizens should be involved as  
1614 early as possible and kept involved during the land use planning process (or the Environmental  
1615 Impact Assessment process). However, the process of applying the mitigation hierarchy should  
1616 be made more clearly a part of these processes. As panellist 4 explains, it is especially difficult in  
1617 land use planning to get all segments of society to participate in public hearings etc.

1618 While the answers provided by the six panellists were not explicit regarding the effectiveness  
1619 of citizens’ engagement in mitigation hierarchy decision-making processes, they all agreed  
1620 that support mechanisms were important, such as legislation and regulation. Panellists 2 and  
1621 6 highlighted the challenges of implementation and enforcement of the European legislative  
1622 framework. This is due to the discrepancies in incorporating EU law and policy into national  
1623 regulations and in the capacity and political will of those involved in enforcing it [Panellist 2 &  
1624 6]. Panellist 10 highlights that it is a challenging problem, as the proper application of the  
1625 mitigation hierarchy requires consideration of avoidance measures very early on in the project  
1626 concept design and planning stages. Whilst they would advocate for including community  
1627 stakeholders at that stage, they highlight that the input needs to be treated meaningfully, and  
1628 such input could substantially alter the direction of the entire project; they are, therefore,  
1629 “sceptical about the degree to which project proponents would accept that! So, this is a tricky  
1630 one”.

1631 With regard to the dynamic of the decision-making process, Panellist 10 argues that there is  
1632 a difference between equity, which refers to a power imbalance in the decision-making  
1633 process, where stakeholders have different levels of recognition, reputation and influence,  
1634 and efficacy, where the power dynamics results in good decision-making. Panellist 8  
1635 suggested a practical measure to encourage social participation was to raise the awareness  
1636 of *“the value of other valuable ecosystems and their processes”* and to integrate them *“into*  
1637 *spatial plans and in the decision-making process as a whole”*. Panellist 5 added that an *“explicit*  
1638 *definition of impacts”* will help to increase public awareness. Panellist 11 added from their  
1639 experience that in *“any participatory process, there is a need to explain and educate people*  
1640 *on the qualities of the ecosystem services. If properly done, the communities will not just*  
1641 *support an ecosystem service approach; they will embrace it”*. Panellist 1 also suggests that  
1642 people can easily understand the role nature plays in providing water to drink, air to breathe, food  
1643 to eat and a place to live safely. So in this respect, it is not a difficult topic to comprehend at this  
1644 level.

1645 The scientific evidence underlines the need to consider the environment in strategic  
1646 decision-making across various sectors and activities, as the panellists suggested. The need  
1647 for the engagement of stakeholders is one of the governance aspects mentioned in the  
1648 literature. Several studies reported the engagement of stakeholders, namely (Fontaine et al.,  
1649 2014; Ottersen et al., 2011; Sahraoui et al., 2021; Schulp et al., 2016). The engagement of  
1650 stakeholders, who were experts in the field, included ranking co-existence challenges and  
1651 opportunities (Farella et al., 2021; Kyriazi et al., 2016). In addition, the conservation NGOs are  
1652 engaged in working with companies to develop conservation strategies (CEMEX UK & RSPB,  
1653 2020), and the stakeholder consultation and involvement throughout the entire design  
1654 process is reported in Iberdrola (2019). In Sahraoui (2021), co-creation brings various actors  
1655 together, but it was perceived that there was a lack of participation by the public authorities.  
1656 The community-based research is mentioned explicitly in only two articles that refer to  
1657 meetings with local fishermen (Aunins et al., 2018) and local forest managers' participation  
1658 (Fontaine et al., 2014).

1659 The literature showed that most studies dedicated to the mitigation hierarchy do not include  
1660 community-based stakeholders. The usual suspects continue to be the target audience, and  
1661 when they participate, their engagement occurs during the co-design or co-implementation  
1662 phases, in some instances as consultants. This lack of diversity of stakeholders makes us  
1663 question whether there is a lack of recognition of non-technical and non-academic knowledge  
1664 or the influence of socio-political dimensions within the mitigation hierarchy agenda. In  
1665 addition, it is unclear whether the opportunities for participation include stakeholders at all  
1666 stages of the decision-making process and at which level of intensity (consultation,  
1667 information, collaboration, co-production, empowerment).

1668 Significant values agreed on by stakeholders' active involvement are highlighted in Fontaine  
1669 et al. (2014) as a way to improve the appreciation of ecosystem services concept by citizens  
1670 to decision-makers and to identify the owners and beneficiaries of ecological functions. For  
1671 instance, the VOTE (Fontaine et al., 2014) as a framework solution focused on ecosystem  
1672 services participatory valuation to achieve sustainable ecosystem services management.  
1673 Despite some authors highlighting the risks of ignoring customers' or citizens' values  
1674 (Cambridge Conservation Initiative, 2020; Cullen, 2006), there is no active involvement of  
1675 stakeholders mentioned in their literature.

1676 Generally speaking, there is consensus in the literature regarding the importance of citizen  
1677 engagement, but, hitherto, the roles, the tools, the responsiveness, and the degrees are still  
1678 unspecified. The lack of literature that scrutinises what really happens in the application of  
1679 the mitigation hierarchy decision-making process is an obstacle to understanding the power  
1680 imbalance and dynamics, as mentioned by the Applied Policy Delphi panel.

1681 **Strengthening capacity to implement mitigation hierarchy**

1682 **Q5. Education and capacity are clearly weaknesses at various levels/settings. In your opinion, at which**  
 1683 **level/setting is the need to strengthen the capacity the greatest? How would this influence discourse**  
 1684 **on trade-offs?**

1685 Out of the five panellists who answered this question, four stressed the influence of power  
 1686 on education and capacity as a weakness, and two stressed the need for better education to  
 1687 improve the capacity to make better decisions.

1688 The capacity is related to “power imbalances among stakeholders” [Panellist 6]. Panellist 2  
 1689 argues that “The current pressure to expedite environmental permitting (e.g., for renewable  
 1690 energy) is likely to make power imbalances worse” and suggests strategy games as an  
 1691 approach to improve environmental decision-making. They also emphasised it is “important  
 1692 to give the less powerful time to organise, fund-raise, etc.” and that transparency is crucial.  
 1693 However, Panellist 6 stated that “even if the process is transparent with public participation,  
 1694 still the politicians will take decisions according to their political priorities, considering trade-  
 1695 offs. Mitigation hierarchy can only support wiser, smarter decisions, but still, the decisions  
 1696 cannot be in favour of biodiversity conservation but for socio-economic benefits”.

1697 Regarding the need for building capacity, panellist 8 suggested that “raising awareness, giving  
 1698 solid facts and scenarios (“what happens if”) and explanations of the benefits that protection  
 1699 of nature entails might help”. For this, we need “better background information (consistent  
 1700 data collection about nature, not only species but the overall state of the ecosystems, etc.),  
 1701 better tools for communication, visualisation, etc.”. Indeed, to educate, knowledge is needed,  
 1702 followed by effective communication.

1703 Panellist 10 suggested that “the greatest need for capacity [building] surely has to be in  
 1704 validation, enforcement, and monitoring – at the scale of local/regional public decision-  
 1705 makers”. They also suggested that those enforcing policies should be able to “enforce  
 1706 penalties for non-compliance”. Panellist 3, however, pointed out that even if capacity building  
 1707 and education is needed at all levels, “the senior leadership who have the biggest influence  
 1708 on decision-making, be this in Government and industry, and the financial sector,” must be  
 1709 considered as a priority.

1710 Within the literature that applies the mitigation hierarchy in case studies, there is little  
 1711 information on the limiting factors of education and capacity. This may reflect the use of  
 1712 experts in the field as stakeholders, as detailed in the previous section. Hayes et al. (2015),  
 1713 however, highlight the “lack of the capacity and resources for enforcement in many  
 1714 developing nations”. They also say that there is a “lack of capacity within both governments  
 1715 and companies themselves and a lack of data with which to assess impacts”. Also, “insufficient  
 1716 funding for education and awareness rising” is also highlighted (Aunins et al., 2018).

1717 The lack of knowledge and sufficient expertise in relevant government departments and  
 1718 agencies has been confirmed by Moreira (2019). Whilst the issue of weak enforcement and  
 1719 poor long-term monitoring is supported by evidence in national case studies, the lack of  
 1720 knowledge is a barrier to effective enforcement and monitoring (Moreira, 2019). This has been  
 1721 seen, for example, when valuing the impact of energy projects in the marine environment  
 1722 (Kyriazi et al., 2016).

### 1723 Regulatory approaches towards avoidance

1724 **Q6. Is there a need for a stronger regulatory approach towards avoidance of impacts and inclusion of**  
 1725 **mitigation hierarchy in general? I.e., How can EU/national/regional laws improve the implementation of**  
 1726 **the mitigation hierarchy, or what alternatives are there to the regulatory approach?**

1727 Among the various tools that can support a shift towards the avoidance stage of the  
 1728 mitigation hierarchy or its valorisation, regulation has been mentioned by most of the seven  
 1729 panellists who answered this question (five panellists). Three panellists [Panellists 10, 5 and 3]  
 1730 support a stronger regulatory approach, and three [Panellists 10, 8 and 6] point out possible  
 1731 reasons why regulations fail to achieve their goals, such as how the mitigation hierarchy is  
 1732 only vaguely embedded in land-use planning. However, panellist 2 suggests that it does  
 1733 depend on the country and the regulatory framework already in place. Among those  
 1734 suggesting a stronger regulatory approach, Panellist 10 reports that there is evidence that  
 1735 stronger regulation ensures more widespread and effective implementation of the mitigation  
 1736 hierarchy. Likewise, Panellist 3, drawing on the UK as an example, supports a stronger  
 1737 regulatory approach to strengthen avoidance in land planning in high biodiversity areas where  
 1738 the development is extremely time-consuming and costly. Panellist 5 suggests a “national-  
 1739 level adoption of the hierarchy as the ‘normal principle’ of planning” with an explicit monitoring  
 1740 strategy.

1741 Notwithstanding the advantages of regulations, the experts recognised the following  
 1742 obstacles that are likely to hinder the regulatory approach:

- 1743 1. Lack of a clear **definition** and framing of avoidance in regulations [Panellist 10];
- 1744 2. **Weak enforcement and monitoring** of the outcomes of the avoidance stage, e.g., a  
 1745 public register on the model of offsetting public registers [Panellist 10];
- 1746 3. Insufficient **technical capacity** of regulators, especially of local government officials  
 1747 and those not working in the environmental sector but still involved in development  
 1748 projects, e.g., finance officials [Panellist 8]. Panellist 6 adds that education and  
 1749 capacity are needed at all levels since “staff are frequently changing”.

1750 The evidence primarily supports the current role of regulations when it comes to mitigation  
 1751 hierarchy. Indeed, most countries require impact avoidance to be considered as part of the  
 1752 Environmental and Social Impact Assessment process (Pope et al., 2013). However, other  
 1753 studies point out various hindering factors, which include those mentioned by the panellists  
 1754 but are not limited to them. The **lack of a clear definition** is supported by various case studies.  
 1755 Bigard et al. (2017) provided evidence from the introduction of a new policy in France in 2010  
 1756 to integrate the Environmental Impact Assessment legislation (law n 2010-788)<sup>3</sup>. Likewise, the  
 1757 definition of “environmental objective” in the National Planning Policy Framework of England  
 1758 (Cullen, 2006) is too vague. To overcome this, these scholars propose consulting agencies  
 1759 provide clearer explanations or standardised methods. Likewise, the case study by Bigard et  
 1760 al. (2017) shows that avoidance should take place in the early stage of project development.  
 1761 For Gelot and Bigard (2021), a clear definition of the stakeholders’ roles and responsibilities  
 1762 is also needed to enter the correct information into datasets and allow effective long-term  
 1763 monitoring of the mitigation hierarchy at the national scale (see below).

1764 Generally, **weak enforcement and insufficient technical expertise** hamper the application of  
 1765 the hierarchy, but a joint report by CEMEX UK & RSPB (2020) on the biodiversity  
 1766 management of quarry sites and the impact of extractive manufacturing industries in the UK  
 1767 highlights that good results can be achieved through the collaboration of local conservation  
 1768 officials and business. The lack of knowledge and sufficient expertise in relevant government  
 1769 departments and agencies has been confirmed by Moreira (2019). Gelot and Bigard (2021)  
 1770 show that the mitigation hierarchy in France has been poorly implemented and primarily  
 1771 focused on reduction/offset measures based on technical solutions rather than geographical  
 1772 or temporal solutions. They recommend nationwide, up-to-date datasets to improve  
 1773 enforcement. The lack of knowledge is a barrier to effective enforcement and monitoring  
 1774 (Moreira, 2019), especially in the marine environment (Kyriazi et al., 2016). In addition to the  
 1775 above, the evidence supports other issues equally likely to hinder the achievement of  
 1776 avoidance in regulations, e.g., the lack of **landscape-scale planning** (Bigard et al., 2020;  
 1777 Tarabon et al., 2019).

1778 Lastly, the evidence shows the role of voluntary tools (third-party certification standards and  
 1779 financial loan requirements) are equally needed to create incentives and requirements for  
 1780 impact avoidance. Sustainability standards include those set by financial institutions, such as  
 1781 the Performance Standard of the International Finance Corporation, as well as **sector-specific**  
 1782 **standards**, such as those of the Roundtable on Sustainable Palm Oil. Increasingly, however,  
 1783 companies are adopting commitments to No Net Loss or Net Positive Impact to reduce

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<sup>3</sup> Loi n° 2010-788 du 12 juillet 2010 portant engagement national pour l’environnement

1784 negative impacts on biodiversity and ecosystem services (Gardner et al., 2013; Rainey et al.,  
1785 2015). Biodiversity impact indicators and target setting for the analysis of **supply chains** have  
1786 the potential of avoiding biodiversity impacts during business operations by first anticipating  
1787 the potential impacts of business activity and then putting in place measures to prevent these  
1788 adverse impacts (Cambridge Conservation Initiative, 2020). According to a recent report  
1789 (TBC, 2012), 38 companies (15 of which were extractives companies) have now set ambitious  
1790 biodiversity commitments towards No Net Loss or Net Positive Impact that will require  
1791 significant avoidance of biodiversity impacts (see also Rainey et al., 2015). Two examples  
1792 include ASN Bank, a finance organisation committed to sustainable and socially responsible  
1793 investment and Kering S.A, Supply chain to the fashion industry. Greater uptake of these  
1794 internal policies will be needed for the widespread application of impact avoidance. A number  
1795 of challenges remain with regard to effective avoidance. For example, the speed by which  
1796 corporate decisions need to be made may preclude effective analysis of the avoidance  
1797 options/need to avoid (Hayes et al., 2015).

### 1798 **Cost of compensation to incentivise impact avoidance**

1799 **Q7. One panellist suggested that "in practice, compensation is never costly enough". Do you have any**  
1800 **thoughts or comments on this quote?**

1801 Five panellists who replied to the question agreed with the fact that compensation needs to  
1802 be costly in order to properly account for everything that is lost (from carbon sequestration  
1803 to people's wellbeing), as well as to incentivise impact avoidance. However, the  
1804 implementation of effective compensation measures requires transparency (i.e., the costs  
1805 should be clearly disclosed) and a guarantee of enforcement by permitting authorities.

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### 1815 DISCUSSION

#### 1816 QUALITY OF EVIDENCE AND KNOWLEDGE GAPS

1817 During the research, we identified several knowledge gaps. There is a lack of studies on  
1818 marine and freshwater environments. Terrestrial environments are more widely studied, but  
1819 there were only a few studies on riparian landscapes and wetlands/peatlands. Geographically,  
1820 studies from Eastern Europe are lacking.

1821 Although the concept of mitigation hierarchy is relatively well known in the literature, when  
1822 we look at avoid and mitigation stages of the mitigation hierarchy, the body of literature  
1823 where the application of mitigation hierarchy in practice has been studied is small. This is  
1824 especially true when we look at different topics linked with the application of the mitigation  
1825 hierarchy. There is a lack of studies on risks, trade-offs and impacts. Also, the role of  
1826 ecosystem services under the mitigation hierarchy has rarely been studied. We found hardly  
1827 any literature that scrutinises what happens in the application of the mitigation hierarchy  
1828 decision-making process in practice and the role and contributions of community-based  
1829 stakeholders in that process.

1830 Similarly, there was little information on the limiting factors of education and capacity. Overall,  
1831 ecological aspects of avoid and mitigation stages have been studied more than social or  
1832 governance aspects. However, to succeed in using mitigation hierarchy to its full potential in  
1833 practice, we need an understanding of all three aspects.

1834 We used the Applied Policy Delphi to supplement the literature and address knowledge gaps.  
1835 Hence, the results and recommendations presented in this report are based on the best  
1836 available evidence. Of course, there is an element of subjectiveness in the Applied Policy  
1837 Delphi process, and the panel composition may have influenced the results. However, the  
1838 results from the Applied Policy Delphi aligned with the literature and within the panel,  
1839 indicating agreement on the topics. Where panel members had differing views, we have noted  
1840 those in the text to give an unbiased perspective.

1841

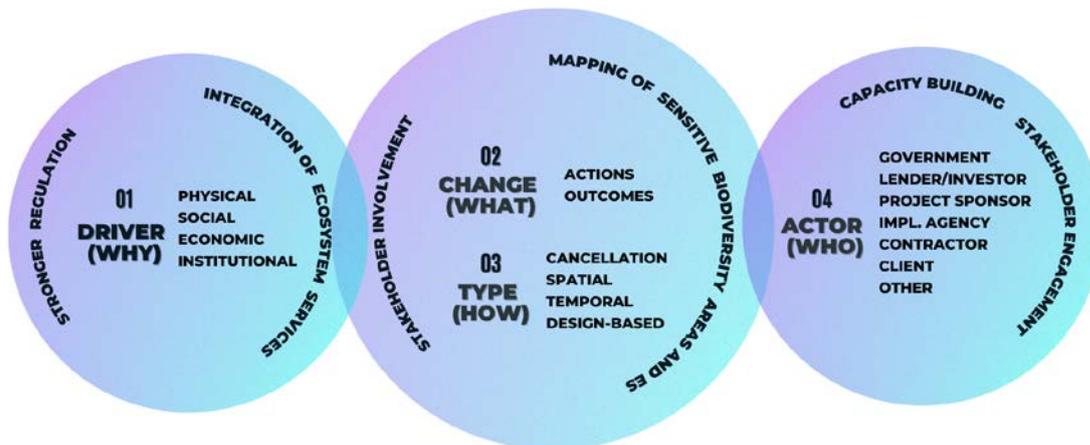
#### 1842 ENHANCING LANDSCAPES THROUGH THE USE OF MITIGATION HIERARCHY

1843 Based on our results, it is clear that there is room for improvement in understanding and  
1844 implementing the concept of mitigation hierarchy in practice. There is a need to ensure the  
1845 strengthening of the implementation of the mitigation hierarchy, especially the avoid stage,  
1846 to protect remaining natural ecosystems as they are irreplaceable habitats. There is also a  
1847 need to enhance managed landscapes to achieve overall net biodiversity gains. Therefore,

1848 we suggest embracing the positive concept of landscape-level enhancement as an umbrella  
 1849 term to frame a biodiverse future that brings multiple benefits to society. We do not mean  
 1850 enhancement of natural areas in the sense of restoration but rather assessing our landscapes  
 1851 and thinking proactively about where to avoid, mitigate or restore and how to ensure nature-  
 1852 positive developments to achieve landscapes that ensure net gains for biodiversity and  
 1853 human wellbeing. This also includes management practices required to ensure the  
 1854 biodiversity of the particularly biodiverse vulnerable landscapes, for example, management  
 1855 required of semi-natural grasslands and the wildlife management of expansive/invasive  
 1856 species (e.g., Růsiņa et al. 2017). Support for these managed landscapes is needed but outside  
 1857 of the scope of this report. The landscape-level enhancement framing can also help to set a  
 1858 more positive tone in the land-use debate that recognises the important role that  
 1859 biodiversity plays.

1860 In the rest of the section, we will focus on how the conservation of biodiversity can be  
 1861 improved through the better application of mitigation hierarchy. A recent conceptual  
 1862 framework on avoidance by Bull et al. (2022), suggested by two panellists, highlights different  
 1863 categories of environmental avoidance and serves as a starting point for our discussion  
 1864 (Figure 11). We will recommend actions that strengthen the implementation of the mitigation  
 1865 hierarchy in relation to each of these categories. We will also discuss how to integrate  
 1866 ecosystem services into the mitigation hierarchy to make the social benefits of avoidance  
 1867 more visible and strengthen biodiversity conservation through synergies with ecosystem  
 1868 services.

1869



1870

1871 **Figure 11.** A conceptual framework of different categories of environmental avoidance and issues linked  
 1872 with them; adapted from Bull et al. 2022.

1873

## 1874 NEED FOR A STRONGER REGULATION TO STRENGTHEN ENFORCEMENT OF THE 1875 MITIGATION HIERARCHY

1876 Our results support a stronger regulatory approach to mitigation hierarchy from the EU to  
1877 national levels. Although mitigation hierarchy exists in various regulations and guidance  
1878 documents, it is not consistently and systematically applied across European countries and  
1879 within different planning levels. As land-use planning is often a separate process from planning  
1880 and conserving natural areas, effective application of mitigation hierarchy would require that  
1881 it is systematically considered at all planning levels, from local to national, as an overarching  
1882 principle of planning. The evidence indicates that existing systems do not guarantee effective  
1883 implementation of the existing approaches, e.g., Environmental Impact Assessments, and  
1884 hence, strengthening both regulations and their governance is recommended. Voluntary  
1885 standards can support and provide guidance on impact avoidance but cannot be relied upon  
1886 alone.

1887 There was consensus that a stronger focus should be put on avoidance and minimisation  
1888 rather than offsetting. Based on our results and feedback from the panellists, we have made  
1889 recommendations on a regulatory approach to ensure mitigation hierarchy is firmly  
1890 established in law in all EU countries following the example of France (Box 1).

### 1891 **Box 1. France – leading the way in including mitigation hierarchy into legislation**

1892 The first reference to the definition of the mitigation hierarchy in France dates back to  
1893 1976 with the approval of the law on nature protection that stated this procedure was to  
1894 be followed when assessing projects, plans and programmes (*Loi relative à la protection  
de la nature n°76-629 du 10 Juillet 1976 and article 1.122-3 du code de l'environnement*).  
1895 In 2004, the adoption of the Charte de l'environnement with constitutional relevance  
1896 marked a crucial step forwards towards the recognition of a new human right related to  
1897 the environment (*art. 1, Droit de vivre dans un environnement équilibré et respectueux de  
la santé*), as well as towards the obligation of public authorities to implement the  
1898 precautionary principle (*art.5, principe de précaution*) to reduce the risk of environmental  
1899 damages. In 2012, the development of a national doctrine on the mitigation hierarchy  
(Doctrines nationale relative à la séquence ERC) brought to the adoption in 2013 of  
1900 Guidelines on the mitigation hierarchy aimed at harmonizing definitions of basic  
1901 concepts. Lastly, in 2016 the law on recovering biodiversity, nature and landscape (Loi de  
reconquête de la biodiversité, de la nature et des paysages n° 2016-1087 du 8 août 2016)  
1902 added two crucial result obligations to the National Doctrine of 2012: 1. The respect of  
the sequence order (and not only the mitigation hierarchy). 2. The non-realisation of the  
project if the impacts on biodiversity cannot be avoided, reduced and compensated for  
in a satisfactory and appropriate way. Moreover, the 2016 Biodiversity Law improved the  
definition of the principle of preventive action with regard to the mitigation hierarchy by  
adding a reference to the No-Net-Loss principle.

1903 **MAINSTREAMING ECOSYSTEM SERVICES AS PART OF THE MITIGATION HIERARCHY**

1904 The advisability of including ecosystem services in the mitigation hierarchy has been deeply  
1905 debated by the panel and in the literature. The general conclusion is that ecosystem services  
1906 should be mainstreamed into the mitigation hierarchy in an attempt to address biodiversity  
1907 values from a broader perspective, raising awareness of the societal benefits of nature  
1908 conservation and highlighting the dependency on nature for the livelihoods of different  
1909 stakeholder groups. The integration of ecosystem services provides the opportunity to  
1910 better connect biodiversity issues with social challenges in the context of specific decision-  
1911 making processes, allowing the meaning and implications of concepts to be expanded, such  
1912 as impact “mitigation” and “No Net Loss”, and to use different types of valuation approaches,  
1913 methods and indicators (see Box 2). In addition, the separation of ecosystem services and  
1914 biodiversity conservation would not be consistent with the idea that biodiversity is essential  
1915 to support all ecosystem services and that conservation and sustainable use of ecosystems  
1916 and their services are part of the same issue. However, concerns emerged related to the risk  
1917 that the inclusion of ecosystem services could be disadvantageous to biodiversity (e.g., in  
1918 cases where the conservation or enhancement of specific ecosystem services will be  
1919 considered an acceptable substitute for biodiversity loss). Concerns related particularly to  
1920 the fact that biodiversity might be downplayed against more vocal and organised interest  
1921 groups that might favour, for example, provisioning services or, more generally, services that  
1922 can be easily measured and quantified.

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**Box 2. Integration of ecosystem services to design more equitable mitigation strategies.**

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Mandle et al. (2015) developed an approach to mitigate the negative impacts from development that tracks how people are affected by environmental degradation. The approach combines an ecosystem services modeling framework with data on where people live and how they rely on benefits from ecosystems, and use the information to design more equitable mitigation strategies than would be created by simply focusing on biodiversity or ecosystem services. The approach is illustrated for a case study in road development, focusing on four ecosystem services (sediment retention, nitrogen regulation, phosphorus regulation and carbon storage), which are likely to be unaccounted for in classic impact assessment. They have a clear importance to local stakeholders and are likely to be affected by the proposed road. The concept of “serviceshed” (i.e., the area that provides a particular ecosystem service to a particular beneficiary, Tallis et al. 2016) is applied to determine the location and degree of mitigation needed to offset the impact on ecosystem services to those people who would be negatively affected by the road construction. This approach allows to transparently assess the equity of the positive and negative environmental impacts resulting from development and mitigation actions. It also makes apparent how these impacts are distributed across the landscape and different segments of the society.

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Source: Mandle et al. 2015. Who loses? Tracking ecosystem services redistribution from road development and mitigation in the Peruvian Amazon.

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Tallis, H., Kennedy, C.M., Ruckelshaus, M., Goldstein, J., Kiesecker, J.M. 2016. Mitigation for the people: an ecosystem services framework. In: Geneletti, D (Ed). Handbook on biodiversity and ecosystem services in impact assessment, Edward Elgar Publishing, 41-61.

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**EFFECTIVE AVOIDANCE**

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It is clear from the results (see the section on “Avoidance as a concept”) that there is a need for a proactive approach to ensure effective avoidance. One approach that came up in the research process is landscape-scale mapping of biodiversity and sensitive ecosystems along with their relevant ecosystem services. It was emphasised that there is a need to bring scientists and stakeholders together in a mutual learning process, linking expert and local

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## ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY

1961 knowledge(s). The aim of this process would be to implement meaningful territorial strategies  
1962 and build local capacity to understand and implement the strategies in an inclusive process.

1963 As one of the panellists pointed out, mapping cultural ecosystem services can be difficult.  
1964 Still, the expert working group is aware of methodologies that could be helpful here, for  
1965 example, Bachi et al. (2020), Crossman et al. (2013) and Ribeiro and Ribeiro (2016).

1966 A multi-species approach is also important at the landscape scale, which takes into account  
1967 their varying vulnerabilities, such as the mobility of the species and their sensitivity to habitat  
1968 fragmentation. Trade-offs are inevitable in such approaches, but it is our opinion from the  
1969 sum of the evidence that at the landscape scale, it is easier to minimise these trade-offs to  
1970 ensure the maximum ecological benefit for a greater number of species by ensuring  
1971 functional connectivity. As the literature states, however, these trade-offs do need to be  
1972 identified and managed in a transparent manner. This is particularly important in cases where  
1973 species have large spatial requirements. Thus, landscape mapping of the functional ecological  
1974 units can highlight where further fragmentation of the landscape can be avoided and draw  
1975 attention to the potential threats from multiple sources and their cumulative impacts.

1976 Buffer zones can also be identified around sensitive habitats in need of protection, and  
1977 habitats can be connected to ensure the long-term sustainability of biodiversity.  
1978 Development of this blue and green infrastructure has the potential to support not just  
1979 biodiversity but also a range of ecosystem services, such as food provisioning; improving  
1980 water quality from agricultural agrochemicals and urban runoff; and biomass production for  
1981 novel products and energy as part of the bioeconomy initiatives within the EU. It is therefore  
1982 important not just to map biodiversity but also ecosystem services that people rely on to  
1983 ensure a holistic overview of dynamic landscapes and the underlying processes to be able to  
1984 minimise the trade-offs incurred.

1985 Furthermore, landscape connectivity frameworks based on single or multi-species, including  
1986 habitat networks, are popular approaches within the mitigation hierarchy (Berges et al. 2020;  
1987 Préau et al. 2022). A connectivity approach based on species observations provides good  
1988 insights not only to tackle avoidance, reduction but also to develop scenarios of  
1989 compensation oriented towards planning. A habitat connectivity framework for the mitigation  
1990 hierarchy provides direct benefits providing practical recommendations to be implemented  
1991 at the local to the regional level (e.g., Préau et al. 2022a; Préau et al. 2022b). In addition, a  
1992 well-conducted connectivity analysis for target species shows the multifunctionality and gain  
1993 for certain species when mitigation measures are in place. Providing measures and scenarios  
1994 based on connectivity approaches would improve the overall ecological network (Clauzel and  
1995 Godet 2020) and thus provide a set of ecosystem services (Keesstra et al. 2018, Liquelette et  
1996 al. 2016), as well as increasing the potential for species to adapt to climate change (Chausson  
1997 et al. 2020).

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**Box 3. French example avoidance for territorial planning**

For connectivity assessments, context prioritisation is likely to differ depending on the species considered. These decisions are key for territorial planning. Here, we provide an example of areas identified in six urban sprawl projects inside a local management scheme (SCOT) in the South of France in the territory of the Thau Lagoon, to demonstrate the methodological approach. The objective was to assess the relevance of identifying priority areas for connectivity of groups of species based on common dispersal abilities. We aimed to address avoidance by assessing the impact of target groups' choices on predicted priority areas. The choice of species was made in agreement with stakeholders in accordance with their interest in biodiversity conservation measures and the knowledge base to be implemented. Ecological niche modelling was used to quantify species resistance and to identify suitable habitat patches and connectivity (see Preau et al. 2022). We found important differences in identified priority areas between groups with dissimilar dispersal abilities, with little overlap between highly connected areas. We identified a gap between the level of protection of low dispersal species and highly connected areas. We found mismatches between existing corridors and connectivity in low dispersal species and a greater impact in areas of expected urban sprawl projects on favourably connected areas for species with high dispersal capabilities.

This study demonstrates the importance of selecting a diversity of species with different dispersal capacity ranges to identify ecological corridors in programmes that aim to restore habitat connectivity at territorial levels. These findings are oriented to support decisions of planning initiatives at both local and regional scales working in tandem with local knowledge and stakeholders.

For more details: Pr au C, Dubos N, Lenormand M, Denelle P, Le Louarn M, Alleaume S & Luque S (2022) Dispersal-based species pools as sources of connectivity area mismatches. *Landscape Ecology* 37, 729-743. [[arXiv](#)][[pdf](#)][[code](#)] [https://doi.org/10.1007/s10980-021-01371-y\(0123456789\)](https://doi.org/10.1007/s10980-021-01371-y(0123456789)).

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**Box 4. Estonian example of ecosystem services mapping.**

Estonian has been actively mapping ecosystem services with the view of integrating the values into the planning processes of the country. The ELME project is a nationwide project to map terrestrial ecosystems and their services, and LIFE IP CleanEst deals with aquatic ecosystems. The results from both projects are meant to be used in practice.

Mapping of the biophysical ecosystem services and the condition of the ecosystems are finished, and mapping of the monetary values of ecosystem services is currently ongoing. The mapping has involved some very emotional discussions, such as in the siting of wind arms with obvious divergent views of stakeholders. However, mapping is viewed as an important step in protecting nature as it is possible to see where the most valuable ecosystems in good condition are located and make it easier to implement nature protection in practice. It also helps planners to be aware of the ecosystem services provided by nature to stakeholders.

Estonian website for the public: <https://loodusveeb.ee/en>

**Effective avoidance from infrastructure projects**

The effective avoidance of impacts from infrastructure projects may be addressed in certain circumstances by addressing spatio-temporal dimensions of the impacts that affect the biodiversity or local communities. It was documented by a panellist in the Applied Policy Delphi process that conservation should be considered as an ecosystem service per-se. Conservation may consist of a mix of regulations and spatial-temporal measures that can be applied to multiple ecosystem goods and services, and sectors. While the application of cumulative effects assessments is seeing increased momentum in Maritime Spatial Planning, their application in territorial planning still needs further work. Overall, the cumulative effects exerted by a development project need to be determined by identifying the physical (e.g., soil sealing), ecological (e.g., chemical pollutants, nutrients), and energy-related (e.g., electromagnetic field, light or noise pollution) pressures. In addition, there is a need to examine how the impacts affect biodiversity and societal dimensions in terms of the sectors that depend on the impacted ecosystem. An operational framework for identifying pressures is provided for aquatic environments: Annexe III of the Marine Strategy Framework Directive (MSFD), which identifies the pressures exerted by anthropogenic coastal and marine activities. The advantage of the pressure framework is that it is 1) possible to formalise avoidance measures in spatio-temporal terms (pressure and intensity-based avoidance

2051 buffers, wildlife-specific avoidance buffers, and seasonal avoidance buffers); 2) pressure  
2052 propagation patterns (e.g., buffers of influence) can be defined and 3) the sensitivity of  
2053 biodiversity components to the pressures addressed. Other examples of the categorisation  
2054 of threats and pressures are provided by Art.17 of the Habitat Directive and Art. 12 of the  
2055 Birds Directive (EIONET, 2015). This is used to report species and conservation status and  
2056 address particular threats and pressures in protected sites.

2057 Technological innovations and design can, in some cases, further alleviate the impacts of  
2058 infrastructure projects on biodiversity. However, they may bring with them uncertainty with  
2059 new and diversified pressures and pressure mechanisms on biodiversity. The cancellation of  
2060 infrastructure projects should be considered if technology and design lead to high  
2061 uncertainty of impacts on biodiversity and society. To increase the effectiveness of  
2062 avoidance mechanisms of the infrastructure project, it should be identified how the social  
2063 dimension could be included in the avoidance measures.

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### 2065 **STAKEHOLDER ENGAGEMENT IN POLICY AND DECISION-MAKING PROCESSES**

2066 The need to strengthen the active involvement of different stakeholders is one of the  
2067 governance aspects which can be concluded from the results. The literature showed that in  
2068 nearly all case studies dedicated to the mitigation hierarchy, community-based stakeholders  
2069 were not included, apart from cases reported, which included the engagement of local  
2070 fishermen (Farella et al. 2021; ICES 2016). Thus, it is crucial to challenge the viewpoints of  
2071 scientific and non-scientific players when assessing the sustainability of local ecosystems  
2072 through the services they can provide to the local community. Although it is documented  
2073 that a sustainable development scenario is developed with the contribution of stakeholders  
2074 (Sahraoui et al., 2016), a number of studies dedicated to the mitigation hierarchy only included  
2075 the usual suspects as a target audience, and their engagement occurred during the co-design  
2076 or co-implementation phases, in some instances as consultants.

2077 Fontaine et al. (2014) highlighted that significant values agreed on by stakeholders' active  
2078 involvement are a way to improve the appreciation of the ecosystem services concept by  
2079 citizens to decision-makers and to identify the owners and beneficiaries of ecological  
2080 functions. The objective to achieve consensus building implies that there are possibilities to  
2081 influence, negotiate and deliberate on decisions by all stakeholders. Conflicts are a part of  
2082 this kind of process, as well as the resources required for this implementation. The  
2083 engagement of stakeholders not usually reached in engagement processes depends on  
2084 explicit inclusion into the political agenda and the definition of clear strategies. The mapping  
2085 of local participatory culture can support the identification of the stakeholder groups, their  
2086 level of influence, the activities that already exist and, more importantly, how to engage them.  
2087 Attention to inclusiveness ensures that priority groups who are sub-represented, such as

2088 women, elderly people, children, immigrants, and traditional communities, are recognised. The  
2089 institutionalisation of citizens' engagement is a solution to the difficulties of upscaling and  
2090 replicability by strengthening local and more sustainable dynamics.

2091 Several opportunities arise from the prioritisation of this type of collaboration, also  
2092 recognised as co-production, e.g. to inform the decision-making process better (Farella et  
2093 al., 2016; Fontaine et al., 2014; ) to include a diversity of knowledge, to better evaluate the  
2094 process, to include socio-cultural values and needs from a heterogeneous group of  
2095 stakeholders (Barbe 2021, Sahraoui et al., 2021). The core leverage and enabling factors for  
2096 the success of citizens' engagement are the transparency of the co-governance structure,  
2097 the trust in the relational dynamic, the communication and interaction among all participants,  
2098 the inclusion of different groups, the quality of deliberation and the co-production  
2099 opportunities (see Box 5 for a possible method). Despite the numerous benefits, possible  
2100 challenges, such as delays and problems getting the stakeholders to respond, need to be  
2101 considered as potential problems.

#### 2103 **Box 5. Strategy games – one way for a meaningful stakeholder engagement**

2104 One way to explore trade-offs and consequences of different land-use scenarios are  
2105 strategy games (Garcia et al 2022). Strategy games provide an opportunity to test  
2106 probable impacts of different land-use scenarios and policies and find solutions that are  
2107 acceptable to different stakeholders. The way the games are designed makes it possible  
2108 for stakeholders to experience the consequences of decisions from the perspective of  
2109 other stakeholders and engage in collaborative learning. Through the collective, explicit,  
2110 and transparent problem exploration and solution identification processes, power  
2111 imbalances can be revealed and addressed, and mental models updated to better  
2112 correspond with realities of different stakeholders. This form of decision-making  
2113 counterbalances hidden, unformulated and/or opaque decision-making processes and  
2114 should lead to improved outcomes in land-use decisions because stakeholders jointly  
2115 agree on the future they want to see.

2116 Source: Garcia, C. A., Savilaakso, S., Verburg, R. W., Stoudmann, N., Fernbach, P., Sloman, S. A.,  
2117 Peterson, G. D., Araújo, M. B., Bastin, J.-F., Blaser, J., Boutinot, L., Crowther, T. W., Dessard, H., Dray,  
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2119 Strategy games to improve environmental policymaking. *Nature Sustainability*, 5(6), 464–471.  
2120 <https://doi.org/10.1038/s41893-022-00881-0>

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### 2120 CAPACITY BUILDING

2121 The scientific evidence underlines the complexity and dynamic nature of the issues involved  
2122 and the necessity to clarify definitions and terminology to ensure common understanding.  
2123 However, it is also important to construct narrative accounts that are specific to a place, as  
2124 each landscape unit presents unique challenges to biodiversity and the people who live and  
2125 work in that landscape. Building the capacity to understand this natural capital across sectors  
2126 is critical.

2127 There are several challenges regarding stakeholder engagement and capacity building. These  
2128 include, for example, the limited knowledge of the participants, the loss of motivation of the  
2129 public authorities and organisations, and insufficient funding for implementation. These all  
2130 pose a risk for conflicts, lead to response delays and affect institutional capacity.

2131 According to the results from the Applied Policy Delphi process, greater transparency  
2132 generates numerous positive effects on decision-making processes. The transparency is  
2133 underlined as crucial for education and capacity, both associated with the validation,  
2134 enforcement and monitoring process. Moreover, the degrees of power to influence decisions  
2135 were pointed out through two approaches suggested for further exploration, the power  
2136 imbalances associated with equity distribution and power dynamics related to the efficacy of  
2137 the decision-making process.

2138 It is recommended from the results that active participation should be encouraged by raising  
2139 awareness through better, solid background information about the ecological status of the  
2140 ecosystems (or the site-specific ecosystem). In this sense, specific language, tailored formats  
2141 and appropriate communication channels to engage the different stakeholder groups and to  
2142 ensure the “translation” of technical information into language easily understood is also  
2143 recommended. These strategies can improve the transparency process, at the same time  
2144 that it educates individuals and institutions to enhance the mitigation hierarchy impacts.

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**Box 6. Multi-stakeholder collaboration to create an operational model for municipalities to implement mitigation hierarchy**

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A multi-stakeholder process was used in the No Net Loss City project in Finland to develop an operational model for municipalities to effectively use mitigation hierarchy in their land-use planning, and where needed, implement biodiversity offsets (Hohti et al. 2022). The development process was built on collaboration between researchers and practitioners and combined researchers' scientific knowledge on ecological compensation with practitioners' knowledge about land-use planning processes.

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At the beginning, objectives for the work were jointly defined. Based on these objectives, the development for practical solutions took place in four workshops, which had different themes. The first workshop focused on knowledge needs and challenges of biodiversity offsets, in the second workshop a preliminary operational model was introduced and discussed, and compensation was looked at more in detail (e.g. ecological values to be compensated, data availability). The third workshop focused on land-use planning and decision making in municipalities, the processes, and responsibilities. In the fourth workshop the operational model was finalised. Overall, 40 people participated in the process. Of those, 13 were experts on land-use planning at municipality level, 18 were researchers, and 9 people represented other stakeholders. Two of the workshops were held virtually and two in person. The whole process took around 9 months to complete. The operational model is being piloted in Jyväskylä, a mid-sized city in Finland. More information can be found here: <https://boostbiodiversityoffsets.fi/en/>.

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Source: Hohti, J., Nieminen, E., Jalkanen, J., Oinonen, I., Huttunen, S., Pappila, M., Halme, P., Salokannel, V., Pietilä, K., Kujala, H. (2022). Kunnat hidastamaan luontokatoa – Suosituksia luontohaittojen välttämiseksi, lieventämiseksi ja kompensoimiseksi kuntien maankäytössä. *Wisdom Letters*.

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2174 **CONCLUSIONS**

2175 The aim of this report was to provide evidence-based knowledge on if and how ecosystem  
2176 services can be considered in projects, programmes, policies and associated impact  
2177 assessments with a particular focus on the avoid stage of the mitigation hierarchy. The focus  
2178 was particularly on three questions 1) If and how the consideration and operationalisation of  
2179 ecosystem services can be integrated into natural capital assessments, impact assessments,  
2180 and policymaking processes to enhance biodiversity conservation; 2) What kind of impacts  
2181 and challenges may occur when the ecosystem services concept is used in the mitigation  
2182 hierarchy and similar processes?; and 3) how replicable and transferable are tools and  
2183 processes in countries or regions have been used successfully in the avoid stage?

2184 We conclude that ecosystem services can be mainstreamed into the mitigation hierarchy,  
2185 but care will need to be taken to ensure that biodiversity and life-serving ecosystem services  
2186 are prioritised and safeguarded over those ecosystem services that grant economic profits.  
2187 Integrating ecosystem services into mitigation hierarchy and land-use planning processes is  
2188 also an opportunity for improved stakeholder engagement. By engaging with local  
2189 stakeholders in an area from the very early stages, land-use planners and decision-makers  
2190 can integrate stakeholder values and perspectives into planning and ensure land-use planning  
2191 is driven by local experience and knowledge, together with the best available scientific  
2192 evidence.

2193 We did not find much evidence of the use of the ecosystem services concept in the  
2194 mitigation hierarchy. Therefore, we cannot give an evidence-based answer to the question of  
2195 what impacts may occur when the ecosystem concept is used in the mitigation hierarchy.  
2196 When we look at the identified impacts on biodiversity, it is clear that impacts occur across  
2197 different spatial and temporal scales and can be synergistic, antagonistic or dominant. In  
2198 practice, a lack of resources is the biggest challenge to ensuring effective design,  
2199 implementation, monitoring and evaluation practices. Other identified challenges were a lack  
2200 of clear definitions, effective regulation, capacity building and true stakeholder engagement  
2201 and collaboration.

2202 None of the challenges identified are insurmountable nor novel in environmental governance  
2203 and land-use planning. Nor are we lacking solutions to address them. In this report, we provide  
2204 examples of solutions and tools and practices that are transferable and replicable from one  
2205 context to another.

2206 Within the upscaling process, we recommend that the information produced is made  
2207 accessible to a broad set of stakeholders and to adapt communication strategies to different  
2208 target audiences to ensure a wide reach of knowledge.

2209 Finally, we conclude that putting biodiversity first and avoiding further loss is both possible  
2210 and needed for the benefit of society and the planet we live on. Moving towards sustainability  
2211 requires fundamental transformations, including changes in how biodiversity is perceived and  
2212 valued. Newly established relations between societal actors are also required. This demands  
2213 a holistic vision for the maintenance of biodiversity that balances conservation and mitigation  
2214 processes and the sustainable provision of ecosystem services. The mitigation hierarchy and  
2215 its effective implementation are central to fulfilling this vision. The recommendations in this  
2216 report provide a roadmap on how to do this, but they are only effective if decision-makers,  
2217 land use planners and practitioners commit to improving legislation and practices. Hence, we  
2218 end our report with a call for action to all those involved in land-use planning: it is time to act  
2219 to get effective mitigation practices put into place before it is too late.

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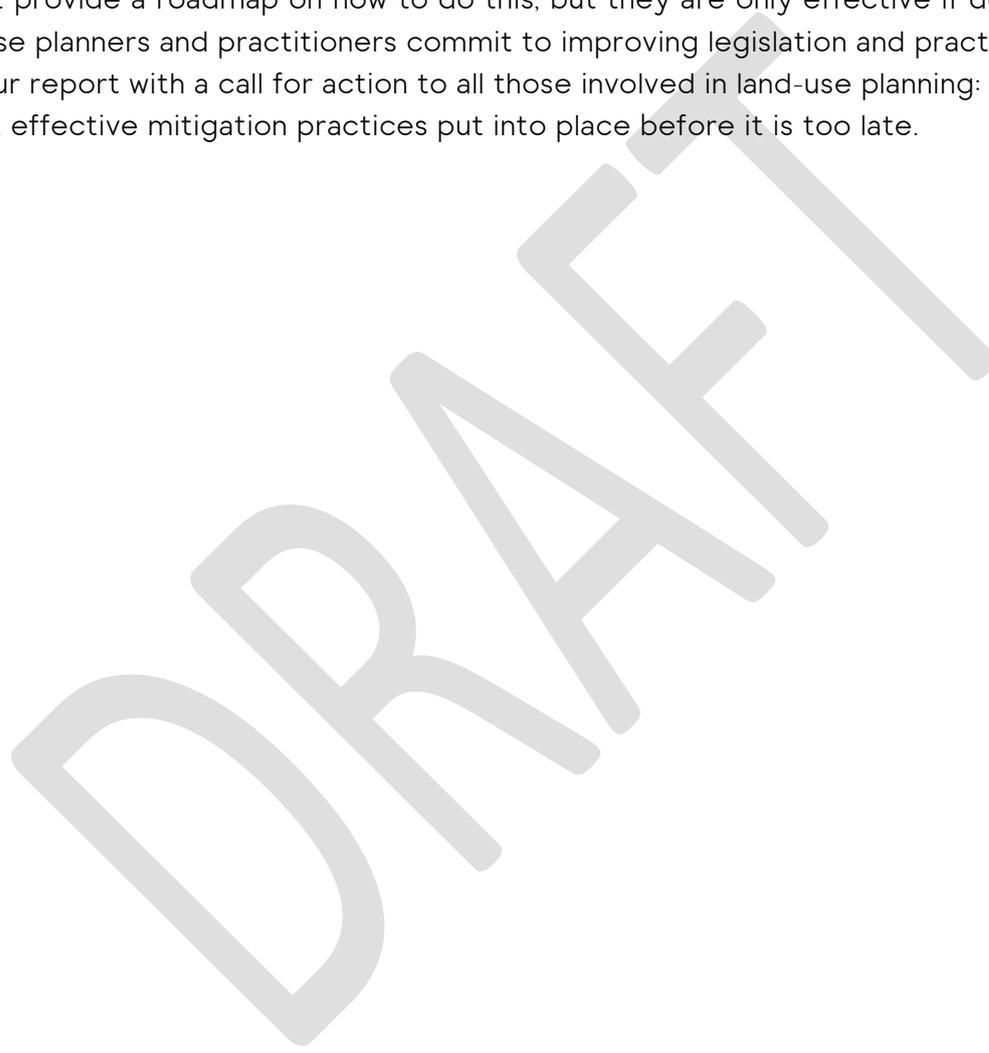
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2236 **ACKNOWLEDGEMENTS**

2237 First and foremost, we thank our panellists for their commitment to participating and sharing  
2238 their knowledge. It's been a pleasure working with you, and your knowledge has been of  
2239 enormous value for the compilation of this report. To our research assistants, Karoliina  
2240 Haikoski and Fiona Nevzati: not only do you work hard, but you are wonderful people. Thank  
2241 you for everything. We also thank Alister Scott, Lyudmyla Zahvoyska, and Nils Bunnefeld for  
2242 their input as members of Eklipse's Methods Expert Group. Alister deserves a special mention  
2243 for his active participation and the valuable support he provided for the Applied Policy Delphi  
2244 process. We thank Onno Knol and Agnes Zolyomi from the Eklipse Knowledge Coordination  
2245 Body for being critical friends throughout the process and making the final report all the  
2246 better for it. Finally, Karla Locher-Krause, thank you for steering us through the Eklipse  
2247 process and taking care of all the practicalities and logistics. Without you, this report would  
2248 not be here, so a big thank you from all of us.

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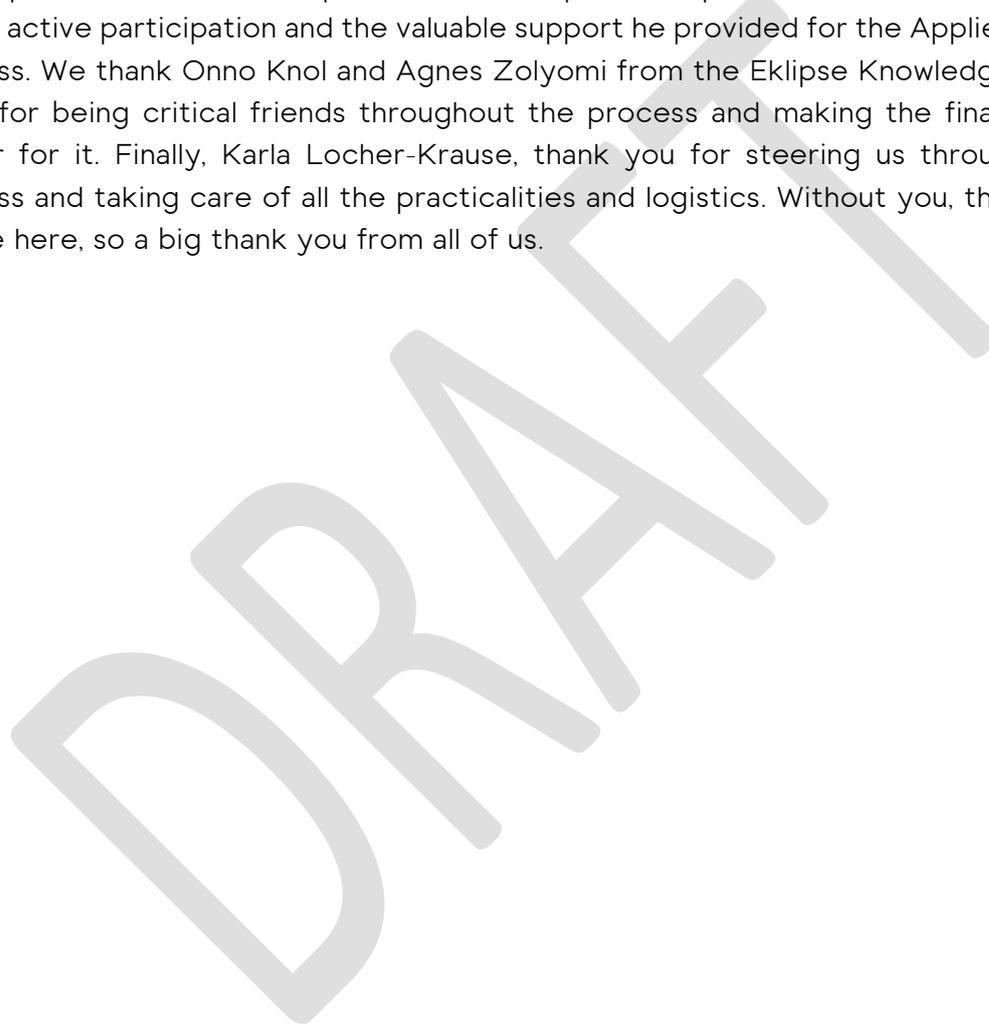
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ANNEXES

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## ANNEXE 1: THE PERFORMANCE OF THE SEARCH STRING.

(The final search string is in bold)

Search string	Hits (WoSCC)	Hits (Scopus)	Date	Who did the search	Which access	Comments	Test list articles found in WoSCC	Articles not found in testing
"Mitigation hierarchy" AND "avoid\$stage"	0		19.7.2021	Sini Savilaakso	University of Helsinki			
"Mitigation hierarchy"	125		19.7.2021	Sini Savilaakso	University of Helsinki			
"mitigation hierarchy" AND avoid*	58		19.7.2021	Sini Savilaakso	University of Helsinki			
mitigation AND avoid AND biodiversity	317		19.7.2021	Sini Savilaakso	University of Helsinki			
mitigat* AND avoid* AND biodiversity	516		19.7.2021	Sini Savilaakso	University of Helsinki			

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mitigat* AND (avoid* OR prevent*) AND biodiversity	966		19.7. 2021	Sini Savilaakso	University of Helsinki			
mitigat* AND (avoid* OR prevent*) AND biodiversity AND Europ*	219		19.7. 2021	Sini Savilaakso	University of Helsinki			
mitigat* AND (avoid* OR prevent*) AND "ecosystem service**"	452		19.7. 2021	Sini Savilaakso	University of Helsinki			
mitigat* AND (avoid* OR prevent*) AND (ecosystem service* OR biodiversity)	1230		19.7. 2021	Sini Savilaakso	University of Helsinki			
(biodiversity OR "ecosystem services") AND mitigat*	9328		19.7. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent*) AND (ecosystem service* OR biodiversity)	11530		19.7. 2021	Sini Savilaakso	University of Helsinki			
"mitigation hierarchy" AND biodiversity	111		21.7. 2021	Sini Savilaakso	University of Helsinki			
mitigation AND hierarchy	894	988	25.8. 2021	Sylvie Campagne	CNRS, France			

## ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY

mitigation AND hierarchy AND avoid	71	56	25.8.2021	Sylvie Campagne	CNRS, France			
mitigation AND hierarchy AND "ecosystem services"	48	35	25.8.2021	Sylvie Campagne	CNRS, France			
mitigation AND hierarchy AND ("ecosystem services" OR biodiversity)	143	160	25.8.2021	Sylvie Campagne	CNRS, France			
mitigation hierarchy "ecosystem services" biodiversity			25.8.2021	Sylvie Campagne	CNRS, France			
avoid* AND "ecosystem services"	1156							

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<p>(avoid* OR prevent* OR mitigat*) AND (ecosystem service* OR biodiversity OR "nature's contribution to people" OR aesthet* OR "air quality" OR aquacultur* OR art OR assimilat* OR attenuat* OR biodiversity OR biofilt* OR "biogeochemical services" OR biomass OR bioremediation OR biosecurity OR birdwatching OR buffer* OR catch OR "coastal protection" OR cognitive OR conservat* OR control OR cultur* OR denitrificat* OR deposit* OR detoxific* OR disease OR diversity OR "ecosystem services" OR ecosystem* OR ecotouris* OR filter* OR fish* OR habitat OR harvest* OR hunting OR identity OR improve* OR informat* OR inspirat* OR leisure OR minerali* OR mitigat* OR "non-consumptive use" OR nursery OR "nutrient cycl*" OR "nutrient recycl*" OR pest OR photosynthesis OR pollinat* OR prevention OR product* OR provision* OR purificat* OR "quality maint*" OR "raw materials" OR recreat* OR reduct* OR refug* OR regenerat* OR regulat* OR remov* OR research OR resources OR retent* OR sequestrat* OR stabilisation OR storage OR touris* OR treatment OR uptake OR "water quality" OR wildlife)</p>	<p>2248362</p>		<p>8.9. 2021</p>	<p>Sini Savilaakso</p>	<p>University of Helsinki</p>			
<p>(avoid* OR prevent* OR mitigat*) AND (ecosystem service* OR biodiversity OR "nature's contribution to people" OR aesthet* OR "air quality" OR aquacultur* OR biofilt* OR "biogeochemical services" OR bioremediation OR biosecurity OR birdwatching OR "coastal protection" OR denitrificat* OR deposit* OR detoxific* OR ecotouris* OR filter* OR hunting OR leisure OR minerali* OR "non-consumptive use" OR "nutrient cycl*" OR "nutrient recycl*" OR pest OR photosynthesis OR pollinat* OR prevention OR product* OR provision* OR purificat* OR "quality maint*" OR recreat* OR refug* OR regulat* OR resources OR retent* OR sequestrat* OR stabilisation OR storage OR touris* OR "water quality" OR wildlife)</p>	<p>1298326</p>		<p>8.9. 2021</p>	<p>Sini Savilaakso</p>	<p>University of Helsinki</p>			

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(avoid* OR prevent* OR mitigat*) AND (ecosystem service* OR biodiversity OR "nature's contribution to people")	17923		8.9. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent* OR mitigat*) AND (ecosystem service* OR biodiversity OR "nature's contribution to people" OR aesthet*)	23003		8.9. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent* OR mitigat* OR "land use planning") AND (ecosystem service* OR biodiversity OR "nature's contribution to people")	18758		8.9. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "impact assessment") AND (ecosystem service* OR biodiversity OR "nature's contribution to people")	19773		8.9. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "impact assessment" OR "environmental compensation") AND (ecosystem service* OR biodiversity OR "nature's contribution to people")	19787		8.9. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "impact assessment" OR "environmental compensation") AND (ecosystem service* OR biodiversity)	19786		8.9. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "impact assessment" OR "environmental compensation") AND (ecosystem service* OR biodiversity OR "blue infrastructure" OR "green infrastructure" OR "green space" OR "blue space")	20907		9.9. 2021	Sini Savilaakso	University of Helsinki			

ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY

(avoid* OR prevent* OR mitigat* OR "land use planning" OR "impact assessment" OR "environmental compensation") AND (ecosystem service* OR biodiversity OR "blue infrastructure" OR "green infrastructure" OR "green space" OR "blue space" OR "urban green*")			9.9. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent* OR mitigat* OR "impact assessment" OR "environmental compensation") AND ((ecosystem service* OR biodiversity) OR (("ecosystem service*" OR biodiversity) NEAR5 ( "land use planning" OR "blue infrastructure" OR "green infrastructure" OR "green space" OR "blue space")))	18982		9.9. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent* OR mitigat* OR "impact assessment" OR "environmental compensation") AND ((ecosystem service* OR biodiversity) OR (("ecosystem service*" OR biodiversity) NEAR5 ( "land use planning" OR "blue infrastructure" OR "green infrastructure" OR "green space" OR "blue space" OR "urban green*")))	18982		9.9. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent* OR mitigat* OR "impact assessment" ) AND ((ecosystem service* OR biodiversity) OR (("ecosystem service*" OR biodiversity) NEAR5 ( "land use planning" OR "blue infrastructure" OR "green infrastructure" OR "green space" OR "blue space")))	18968		9.9. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "impact assessment" OR "environmental compensation") AND (ecosystem service* OR biodiversity OR "nature's contribution to people")	13782		9.9. 2021	Sini Savilaakso	University of Helsinki	Title, abstract and author keywords only		

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(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "impact assessment" OR "environmental compensation") AND (ecosystem service* OR biodiversity OR "nature's contribution to people")	13794		9.9. 2021	Sini Savilaakso	University of Helsinki			
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "impact assessment" OR "environmental compensation") AND (ecosystem service* OR biodiversity OR "nature's contribution to people")	14371		9.9. 2021	Sini Savilaakso	University of Helsinki	Title, abstract and author keywords only		
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "environmental compensation") AND (ecosystem service* OR biodiversity OR "nature's contribution to people")	20832		9.9. 2021	Sini Savilaakso	University of Helsinki	Topic search		
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "environmental compensation") AND (ecosystem service* OR "environmental services" OR "ecological services" OR biodiversity OR "nature's contribution to people")	21140		9.9. 2021	Sini Savilaakso	University of Helsinki	Topic search		
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "environmental compensation") AND (ecosystem service* OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people")	21176		9.9. 2021	Sini Savilaakso	University of Helsinki	Topic search		

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(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "environmental compensation") AND (ecosystem service* OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people")	21217	14964	14.9.2021	Sini Savilaakso	University of Helsinki	Topic search	3/4	Almeida et al. 2018
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation") AND (ecosystem service* OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people")	21252	14985	15.9.2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC	4/4, 5/5	
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation") AND (ecosystem service* OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value*")	21326		21.9.2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC		
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation") AND (ecosystem service* OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "natural capital")	21404		21.9.2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC	6/7	Almeida et al. 2018

## ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY

(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation") AND (ecosystem service* OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people")	21301		21.9. 2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC	6/7	Almeida et al. 2018
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation") AND (ecosystem service* OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")	21420		21.9. 2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC	6/7	Almeida et al. 2018
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation" OR "marine spatial planning") AND (ecosystem service* OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")	21420		21.9. 2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC		

## ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY

(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation" OR "green corridors") AND (ecosystem service* OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")	21467		21.9.2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC		
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation" OR "green corridors" OR "functional urban area*") AND (ecosystem service* OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")	21473		21.9.2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC		
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "marine planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation" OR "green corridors" OR "functional urban area*") AND (ecosystem service* OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")	21498		21.9.2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC	6/7	Almeida et al. 2018

## ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY

(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "marine planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation" OR "green corridors" OR "functional urban area*") AND ("ecosystem service*" OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")	20512		23.9. 2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC	6/7	Almeida et al. 2018
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "management plan*" OR "urban greening" OR "spatial planning" OR "marine planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation" OR "green corridors" OR "functional urban area*") AND ("ecosystem service*" OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")	22114	26,584	23.9. 2021	Sini Savilaakso (WoSCC) / Sylvie Campagne (Scopus)	University of Helsinki / CNRS, France	Topic search in WoSCC	7/8	Almeida et al. 2018
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "urban greening" OR "spatial planning" OR "marine planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation" OR "green corridors" OR "functional urban area*") AND ("ecosystem service*" OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")	20512	24,817	23.9. 2021	Sini Savilaakso (WoSCC) / Sylvie Campagne (Scopus)	University of Helsinki / CNRS, France	Topic search in WoSCC		

ECOSYSTEMS SERVICES IN MITIGATION HIERARCHY POLICY

(avoid* OR prevent* OR mitigat* OR "impact assessment" OR "environmental compensation") AND ("land use planning" OR "urban greening" OR "spatial planning" OR "marine planning" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "green corridors") AND ("ecosystem service*" OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")	406		23.9.2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC		
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "management plan*" OR "urban greening" OR "spatial planning" OR "marine planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation" OR "green corridors" OR "functional urban area*") AND ("ecosystem service*" OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")	22123	25959	23.9.2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC; title, abstract, keywords in Scopus	8/8	
(avoid* OR prevent* OR mitigat* OR "land use planning" OR "management plan*" OR "urban greening" OR "spatial planning" OR "marine planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation" OR "green corridors") AND ("ecosystem service*" OR "ecosystem goods and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")	22117		23.9.2021	Sini Savilaakso	University of Helsinki	Topic search in WoSCC	8/8	

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<p>(avoid* OR prevent* OR mitigat* OR "land use planning" OR "management plan*" OR "urban greening" OR "spatial planning" OR "marine planning" OR "impact assessment" OR "county plan*" OR "municipal* plan*" OR "theme plan*" OR "environmental compensation" OR "green corridors" OR "functional urban area*") AND ("ecosystem service*" OR "ecosystem goods and services" OR "ecosystem function and services" OR "environmental service*" OR "ecological service*" OR biodiversity OR "nature's contribution to people" OR "nature value" OR "natural capital")</p>	<p>22163</p>		<p>29.9. 2021</p>	<p>Sini Savilaakso</p>	<p>University of Helsinki</p>	<p>Topic search in WoSCC; title, abstract, keywords in Scopus</p>	<p>8/8</p>	
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## ANNEXE 2: LIST OF ARTICLES USED TO TEST THE COMPREHENSIVENESS OF THE SEARCH STRING

1. Almeida, E. de L.; Nascimento, A.P.B. do; Gallardo, A.L.C.F.; Claudio, C.F.B.R. & Ruiz, M.S. (2018) Contribuições da avaliação de impacto ambiental à redução dos impactos sobre a biodiversidade em região de alto fluxo turístico em São Paulo, Brasil. *Revista Rosa dos Ventos Turismo e Hospitalidade*, 10(3), pp. 464-482, DOI: <http://dx.doi.org/10.18226/21789061.v10i3p464>.
2. [Contributions of Environmental Impact Assessment to Reduce Impacts on Biodiversity in a High Tourism Flow Region in São Paulo, Brazil]
3. Laurent Bergès, Catherine Avon, Lucie Bezombes, Céline Clauzel, Rémi Duflot, Jean-Christophe Foltête, Stéphanie Gaucherand, Xavier Girardet, Thomas Spiegelberger (2020) Environmental mitigation hierarchy and biodiversity offsets revisited through habitat connectivity modelling, *Journal of Environmental Management*, Volume 256, 109950, DOI: <https://doi.org/10.1016/j.jenvman.2019.109950>.
4. Hansen, K., Malmaeus, M., Hasselström, L., Lindblom, E., Norén, K., Olshammar, M., Söderqvist, T., & Soutukorva, Å. (2018). Integrating ecosystem services in Swedish environmental assessments: an empirical analysis. *Impact Assessment and Project Appraisal*, 36(3), 253–264. <https://doi.org/10.1080/14615517.2018.1445178>
5. Timo P. Karjalainen, Mika Marttunen, Simo Sarkki, Anne-Mari Rytönen (2013) Integrating ecosystem services into environmental impact assessment: An analytic–deliberative approach. *Environmental Impact Assessment Review*, Volume 40, Pages 54-64, <https://doi.org/10.1016/j.eiar.2012.12.001>.
6. Phalan, B., Hayes, G., Brooks, S., Marsh, D., Howard, P., Costelloe, B., . . . Whitaker, S. (2018). Avoiding impacts on biodiversity through strengthening the first stage of the mitigation hierarchy. *Oryx*, 52(2), 316–324. doi:10.1017/S0030605316001034
7. Rozas-Vásquez, D., Fürst, C., & Geneletti, D. (2019). Integrating ecosystem services in spatial planning and strategic environmental assessment: The role of the cascade model. *Environmental Impact Assessment Review*, 78(February), 106291. <https://doi.org/10.1016/j.eiar.2019.106291>
8. Heather Tallis, Christina M. Kennedy, Mary Ruckelshaus, Joshua Goldstein, Joseph M. Kiesecker (2015) Mitigation for one & all: An integrated framework for mitigation

of development impacts on biodiversity and ecosystem services. *Environmental Impact Assessment Review*, Volume 55, Pages 21-34, DOI: <https://doi.org/10.1016/j.eiar.2015.06.005>.

9. Léa Tardieu, Sébastien Roussel, John D. Thompson, Dorothee Labarraque, Jean-Michel Salles (2015). Combining direct and indirect impacts to assess ecosystem service loss due to infrastructure construction, *Journal of Environmental Management*, Volume 152, Pages 145-157.

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## ANNEXE 3: SEARCH STRINGS

<https://docs.google.com/spreadsheets/d/1OhVeTem51Qkl2lgqA7eCQkzvP4OMn8rC/edit#gid=634614416>

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## ANNEXE 4: ORGANISATIONS WITH RELEVANT LITERATURE ON MITIGATION HIERARCHY (FULL LIST OF ORGANISATIONS)

Organisation	Website
ACCOBAMS (The Agreement on the Conservation of Cetaceans of the Black Sea, Mediterranean Sea and contiguous Atlantic area)	<a href="https://accobams.org/news-publications/outreach-materials/">https://accobams.org/news-publications/outreach-materials/</a>
Offshore Coalition for Energy and Nature	<a href="https://offshore-coalition.eu/publications">https://offshore-coalition.eu/publications</a>
ICES (International Council of the Exploration of the Sea)/OSPAR	<a href="https://ices-library.figshare.com/search">https://ices-library.figshare.com/search</a>
HELCOM	<a href="https://helcom.fi/helcom-at-work/publications/">https://helcom.fi/helcom-at-work/publications/</a>
IUCN	<a href="https://portals.iucn.org/library/dir/publications-list">https://portals.iucn.org/library/dir/publications-list</a>
IUCN	<a href="https://portals.iucn.org/library/search">https://portals.iucn.org/library/search</a>
OFB	<a href="https://www.ofb.gouv.fr/documentation">https://www.ofb.gouv.fr/documentation</a>
FRB	<a href="https://www.fondationbiodiversite.fr/publications/">https://www.fondationbiodiversite.fr/publications/</a>
CEREMA	<a href="https://www.cerema.fr/fr/centre-ressources/boutique/general">https://www.cerema.fr/fr/centre-ressources/boutique/general</a>
<a href="https://theses.fr">theses.fr</a>	<a href="https://theses.fr/">https://theses.fr/</a>
IFREMER	<a href="https://archimer.ifremer.fr/search">https://archimer.ifremer.fr/search</a>
Biodiversité outre-mer	<a href="https://biodiversite-outre-mer.fr/">https://biodiversite-outre-mer.fr/</a>
PNDB	<a href="https://www.pndb.fr/">https://www.pndb.fr/</a>
INPN	<a href="https://inpn.mnhn.fr/accueil/index">https://inpn.mnhn.fr/accueil/index</a>
Latvian Institute for Environmental solutions	
SYKE - Finnish Environment Institute	<a href="https://www.syke.fi/">https://www.syke.fi/</a>
Tiede ja tutkimus - a website that collects and shares information on research conducted in Finland	<a href="https://tiedejatutkimus.fi/fi/">https://tiedejatutkimus.fi/fi/</a>

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Tiede ja tutkimus - a website that collects and shares information on research conducted in Finland	<a href="https://tiedejatutkimus.fi/fi/">https://tiedejatutkimus.fi/fi/</a>
Luke - Natural Resources Institute Finland	<a href="https://www.luke.fi/">https://www.luke.fi/</a>
Luke - Natural Resources Institute Finland	<a href="https://www.luke.fi/">https://www.luke.fi/</a>
Ympäristöministeriö	<a href="https://ym.fi/etusivu">https://ym.fi/etusivu</a>
Ympäristöministeriö	<a href="https://ym.fi/etusivu">https://ym.fi/etusivu</a>
WWF Suomi	<a href="https://wwf.fi/">https://wwf.fi/</a>
SLL	<a href="https://www.sll.fi/">https://www.sll.fi/</a>
Birdlife	<a href="https://www.birdlife.fi/">https://www.birdlife.fi/</a>
Birdlife	<a href="https://www.birdlife.fi/">https://www.birdlife.fi/</a>
Forestry Research Institute of Sweden	<a href="https://www.skogforsk.se/">https://www.skogforsk.se/</a>
Forestry Research Institute of Sweden	<a href="https://www.skogforsk.se/">https://www.skogforsk.se/</a>
Forestry Research Institute of Sweden	<a href="https://www.skogforsk.se/">https://www.skogforsk.se/</a>
Forestry Research Institute of Sweden	<a href="https://www.skogforsk.se/">https://www.skogforsk.se/</a>
Swedish Forest Society	<a href="https://www.skogssallskapet.se/">https://www.skogssallskapet.se/</a>
Swedish Research Council Formas	<a href="http://www.formas.se/">http://www.formas.se/</a>
Swedish Research Council Formas	<a href="http://www.formas.se/">http://www.formas.se/</a>
Swedish Research Council Formas	<a href="http://www.formas.se/">http://www.formas.se/</a>
Swedish Research Council Formas	<a href="http://www.formas.se/">http://www.formas.se/</a>
<a href="https://www.naturvardsverket.se/">https://www.naturvardsverket.se/</a>	<a href="https://www.naturvardsverket.se/">https://www.naturvardsverket.se/</a>
Swedish environmental protection agency	<a href="https://www.naturvardsverket.se/">https://www.naturvardsverket.se/</a>
Swedish environmental protection agency	<a href="https://www.naturvardsverket.se/">https://www.naturvardsverket.se/</a>

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Swedish environmental protection agency	<a href="https://www.naturvardsverket.se/">https://www.naturvardsverket.se/</a>
Istituto Superiore per la Protezione e la Ricerca Ambientale	<a href="http://www.isprambiente.it">www.isprambiente.it</a>
Umweltbundesamt	<a href="https://www.umweltbundesamt.de/">https://www.umweltbundesamt.de/</a>
Bundesamt für Umwelt	<a href="https://www.bfn.de/">https://www.bfn.de/</a>
Bundesministerium für Klimaschutz	<a href="https://www.bmk.gv.at/">https://www.bmk.gv.at/</a>
Umweltdachverband	<a href="https://www.umweltdachverband.at/">https://www.umweltdachverband.at/</a>
EUROBATS	
DG - Território	<a href="https://www.dgterritorio.gov.pt">https://www.dgterritorio.gov.pt</a>
Instituto da Conservação da Natureza e das Florestas	<a href="https://www.icnf.pt">https://www.icnf.pt</a>
Environmental and Climate Action Ministry -	<a href="https://www.sgambiente.gov.pt">https://www.sgambiente.gov.pt</a>
National System Geographical information	<a href="https://snig.dgterritorio.gov.pt">https://snig.dgterritorio.gov.pt</a>
Ecodes	<a href="https://ecodes.org/quienes-somos">https://ecodes.org/quienes-somos</a>

## ANNEXE 5: APPLIED POLICY DELPHI PANEL - ETHICS

### Briefing material

#### 1. Introduction to the process

The Eklipse mechanism is an EU initiative established in 2016 to help governments, institutions, businesses, and NGOs make better-informed decisions when it comes to biodiversity in Europe (<https://www.eklipse.eu/>). It is set up to address specific requests made by policy-makers by gathering and synthesising existing evidence and knowledge.

This process is part of a request that the French Biodiversity Agency (OFB) made in 2020 to explore and map existing knowledge and identify knowledge gaps to improve adherence to the mitigation hierarchy using ecosystem services with a particular focus on the avoid stage (<https://eklipse.eu/request-mitigation/>).

To address this request, an Expert Working Group (EWG) was established in June 2021, which adopted a Method Protocol consisting of two main elements:

- A systematic mapping of the literature;
- A Delphi survey with about ten experts (from the scientific, policy, and academic sector).

The full Method Protocol is available [here](#):

#### 2. Overview of the Delphi survey

As you know, you are one of the experts selected for the Delphi survey. The survey is structured into a preliminary scoping interview to collect your initial view on the topic (about 45-60 min) and three rounds of an email survey, where we will ask you a few open and close-ended questions. After each round, you will be provided with a summary of the replies of the other experts. We expect each survey to require about 60-90 minutes to be completed, including the time needed to read the summary material. In the Method Protocol (pages 10-11), you can find more information about the expected content of the three rounds.

The outline of the preliminary interview includes:

- Introduction and Q&A about the process, as needed;
- Exploration of the perception of the panellist about the mitigation hierarchy and use of avoid stage;
- Exploration of the perception and expectation of the panellist about the outcomes of the process.

#### 3. Research protocol

- **Informed consent:** according to the ethical guidelines within the EU's General Data Protection Regulation GDPR, during the data collection activities, the

researchers applied two modalities of informed consent: verbal consent in the first part of the interview and written informed consent based on the models provided previously by Eklipse. During all interviews, participants were informed of its purpose, duration, recording authorisation and context of the research. Also, how the interview could be used and the institution responsible for data storage, treatment and analysis.

- **Anonymity:** following the European standards, data collected during the interviews will be audio-recorded, transcribed and anonymised, eliminating names and other identity markers and references that might identify the interviewee, except specific cases previously identified and with formal permission. The transcriptions will be kept separately from the codes that correspond to the real names of the respondents. Only the data protection officer and relevant team members will have access.
- **Data storage:** the semi-structured interviews will be stored for five years following the GDPR rules. The Eklipse Mechanism will be responsible for managing and storing all data collected. The participants will be able to request a withdrawal of their participation in the survey at any time. The Eklipse data protection officer will adopt the procedure needed. Data protection officer email: [emb@eklipse.eu](mailto:emb@eklipse.eu)
- **Data protection:** Eklipse will keep on file the declarations on compliance and authorisations for collecting and processing personal data-informed consent. Detailed information on the informed consent procedures with regard to the collection, storage, and protection of personal data will also be kept on file, as well as templates of the informed consent forms and information sheets. The audio recorded files will be password-protected, and only team members will have access to them. The objective is to ensure compliance with the GDPR procedures and the rights and interests of the voluntary research participants.
- **Data use:** the data collected will be used within the EWG mitigation hierarchy, whose goal is to implement a research process to find out the extent to which the implementation of the hierarchy is correctly applied and ecosystem services are considered and well documented. The qualitative interviews will contribute to the Delphi Panel technique and the final report. Other uses of data collected will be exclusively for scientific purposes, with the adequate reference and citation of the source and primary research.

For further information on the purpose and lawful basis for processing your personal data, please check the Eklipse privacy policy publicly available on our website: <http://eklipse.eu/privacy-policy/>.

## Declaration of consent under data protection law filled by the Expert panel.

### 1. Information:

The members of the Expert Working Group<sup>4</sup> on how to incorporate ecosystem services in mitigation hierarchy policy working under the frame of the Eklipse mechanism are conducting interviews. These interviews are in the context of the request put to Eklipse by the French Biodiversity Agency (OFB) about 'how can we improve adherence to the mitigation hierarchy using ecosystem services with particular focus on the avoid stage'. For reports and scientific publications, interviews will be conducted with experts from various organizations (civil society, politics, business, science).

The following personal data will be collected, processed and stored during the interviews:

- First name and surname
- Function
- Professional e-mail address

The interviews may be recorded on the platform zoom and then transcribed. For the further scientific evaluation of the interview texts, all information that could lead to an identification of the interviewed person is removed from the text. In the reports and scientific publications, interviews are quoted only in excerpts and without personal reference. This ensures that the interviewed person cannot be identified by third parties.

All personal data is administered solely by those responsible. Contact data and recording of the interview are stored separately from the transcript. The video/sound recording is only stored until a transcript is created and then deleted.

The aforementioned personal data will not be processed for purposes other than

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<sup>4</sup> Members of the Experts working group on how to incorporate ecosystem services in mitigation hierarchy policy:

Sini Savilaakso (University of Helsinki, Finland) (Co-chair)  
Daniel Depellegrin (Oceans and Human Health Chair, Institute of Aquatic Ecology, University of Girona, Spain) Co-chair  
Joanna Storie (Estonian University of Life Sciences) (Co-chair)  
Danica Lacarac (The National Green Roof Association, Serbia) (Co-chair)  
Sylvie Campagne (Station Biologique de Roscoff, CNRS and the Sorbonne University, Paris, France)  
Beatriz Caitana Da Silva (Centre for Social Studies – CES, Portugal)  
Davide Geneletti (University of Trento, Italy)  
Ifigeneia Kagkalou (Democritus University of Thrace, Greece)  
Francesca Leucci (Bologna/Rotterdam/Hanburg Universities)  
Sandra Luque (INRAE – Institut national de recherche pour l'agriculture, l'alimentation et l'environnement, France)

those described and will not be passed on to third parties.

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## 2. Consent:

Yes, I consent to the processing of my personal data listed in section 1 above in the form of original sound recordings and transcripts of the interview for the stated purposes.

I am aware that these consents are voluntary and can be revoked at any time. A revocation, however, does not eliminate the legality of the processing retroactively, but only for the future. The revocation is to be addressed to Dr. Marie Vandewalle, Head of the Eklipse Management Body and Coordinator of Eklipse:

by e-mail:

[marie.vandewalle@ufz.de](mailto:marie.vandewalle@ufz.de)

or by post:

Dr. Marie Vandewalle

Helmholtz Centre for Environmental Research – UFZ  
Department of Conservation Biology & Social-Ecological Systems  
Permoserstraße 15  
04318 Leipzig, Germany

After receipt of the revocation, the relevant data will no longer be used and processed or immediately deleted.

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Person interviewed (surname, first name in block letters; signature)

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Place, date